

Неоднородные фазы в фазовой диаграмме КХД



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ИНСТИТУТ ФИЗИКИ ВЫСОКИХ ЭНЕРГИЙ
ИМЕНИ А.А. ЛОГУНОВА НАЦИОНАЛЬНОГО ИССЛЕДОВАТЕЛЬНОГО ЦЕНТРА
«КУРЧАТОВСКИЙ ИНСТИТУТ»

ИФВЭ

ИЗМИРАН

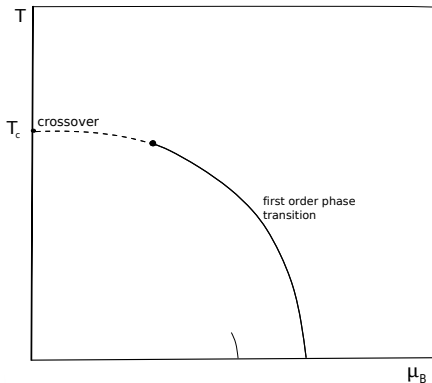
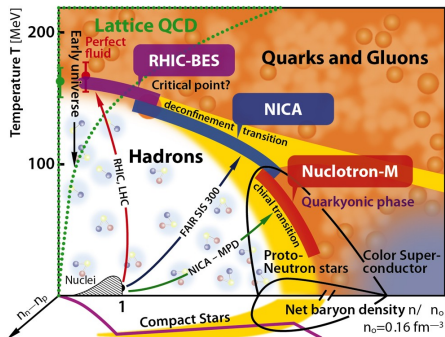
ИЕНП

**КОНФЕРЕНЦИЯ
ФИЗИКА ЧАСТИЦ ПРИ СРЕДНИХ
И ВЫСОКИХ ЭНЕРГИЯХ**

**2-5 ИЮНЯ
2026
Г.ПРОТВИНО
МОСКОВСКАЯ ОБЛАСТЬ**

K.G. Klimenko, IHEP

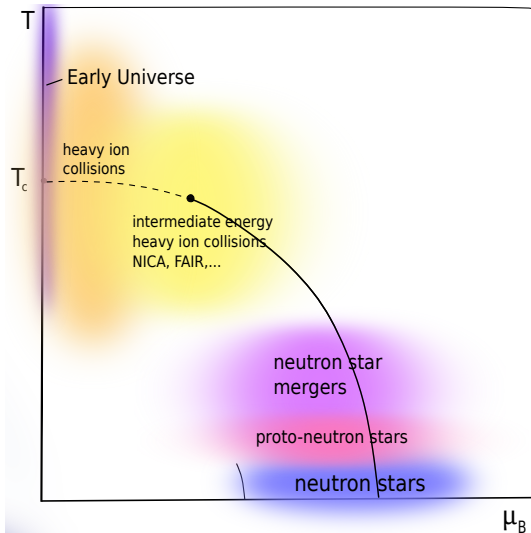
A. Kozhakin



High (non-zero) baryon density — Intermediate energy heavy-ion collisions

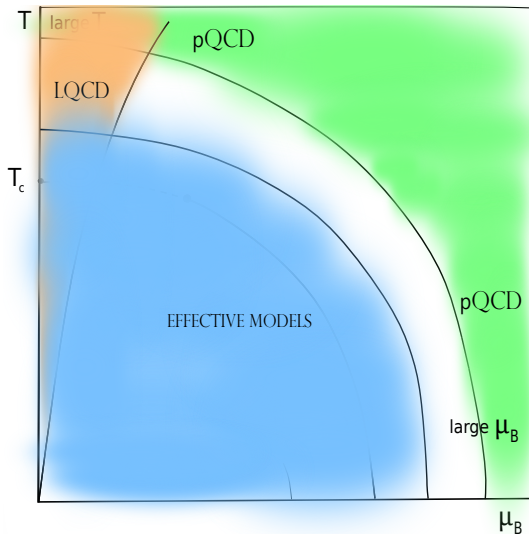
QCD at T and μ
(QCD at extreme conditions)

- ▶ Early Universe
- ▶ heavy ion collisions
- ▶ neutron stars
- ▶ proto- neutron stars
- ▶ neutron star mergers



Methods of dealing with QCD

- ▶ Perturbative QCD
- ▶ First principle calculation
– lattice QCD
- ▶ Effective models
- ▶ DSE, FRG
- ▶



Lagrangian of GN model

$$\mathcal{L} = i\bar{\psi}\not{\partial}\psi + \frac{G}{2N_c}(\bar{\psi}\psi)^2 \quad \psi = (\psi_1, \dots, \psi_{N_c})$$

Discrete chiral symmetry: $\psi \rightarrow \gamma_5\psi$, $\bar{\psi}\psi \rightarrow -\bar{\psi}\psi$

Auxiliary Lagrangian

$$\tilde{\mathcal{L}} = \bar{\psi} \left[\gamma^\rho i\partial_\rho - \sigma - i\gamma^5\pi \right] \psi - \frac{N_c}{4G}\sigma^2$$

Generating functional

$$Z = \int \mathcal{D}\bar{\psi}\mathcal{D}\psi\mathcal{D}\sigma e^{\int dx \tilde{\mathcal{L}}(\bar{\psi}, \psi, \sigma)}$$

$$\sigma(x) = \bar{\sigma} + \delta\sigma(x), \quad \sigma(x) = \langle\sigma\rangle + \delta\sigma(x)$$

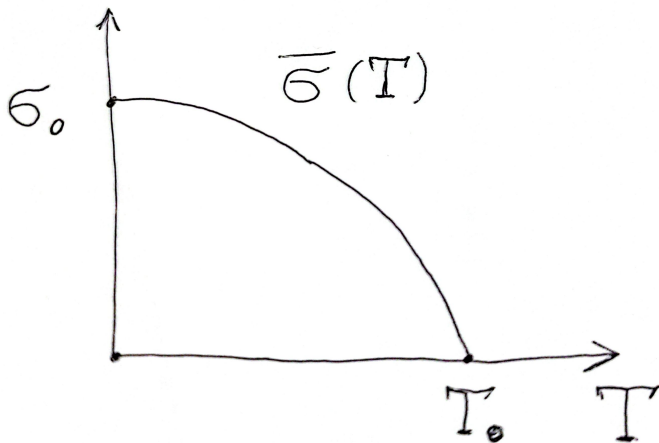
In the leading order of $1/N_c$ expansion or in mean field one can omit the fluctuations $\delta\sigma(x)$

$$Z = \int \mathcal{D}\bar{\psi}\mathcal{D}\psi \exp\left\{ \int dx \tilde{\mathcal{L}}(\bar{\psi}, \psi, \bar{\sigma}) \right\}$$

Chiral symmetry breaking

$$\langle\bar{\psi}\psi\rangle \neq 0, \quad \langle\sigma\rangle \sim \langle\bar{\psi}\psi\rangle \neq 0$$

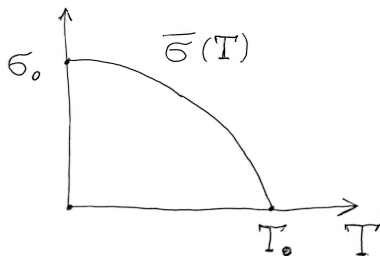
$$\langle\sigma\rangle \neq 0 \quad \longrightarrow \quad \tilde{\mathcal{L}} = \bar{\psi} \left[\gamma^\rho i\partial_\rho - \langle\sigma\rangle \right] \psi$$



Order parameter $\bar{\sigma} = \bar{\sigma}(T, \mu)$

Order parameter $\bar{\sigma}$

$$\bar{\sigma} = \bar{\sigma}(T, \mu)$$



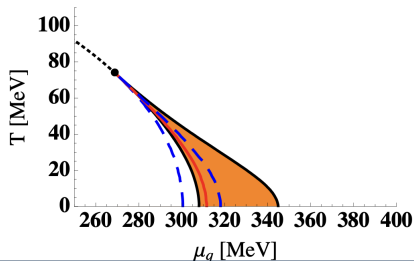
$$\bar{\sigma} = \bar{\sigma}(\vec{x})$$

$$\bar{\sigma} = \bar{\sigma}(\vec{x} | T, \mu)$$

Chiral Density Wave (CDW) or Chiral spiral ansatz

$$\langle \sigma \rangle = M \cos(bx)$$

$$\langle \pi_3 \rangle = M \sin(bx)$$



Ansatz Method for inhomogeneous phases

Effective action satisfies

$$\left. \frac{d\Gamma[\phi]}{d\phi(x)} \right|_{\phi(x)=\phi_c} = 0 \quad \phi_c(x) = \langle \phi \rangle = \bar{\phi}, \quad \Gamma[\bar{\phi}]$$

Effective potential

$$\Gamma[\phi_c] = - \int dx V_{\text{eff}}[\phi_c], \quad V_{\text{eff}}[\bar{\phi}] = -\frac{1}{TV} \Gamma[\bar{\phi}]$$

find **the minimum of effective potential or TDP** with respect to **condensate** $\bar{\phi}$

For quark-antiquark condensate

$$\langle \bar{q}q \rangle \sim \langle \sigma \rangle = \bar{\sigma} = M \quad V_{\text{eff}}[\bar{\sigma}] = -\frac{1}{TV} \Gamma[\bar{\sigma}]$$

$$\langle \phi \rangle = \bar{\phi}(x) = F(x, a_i)$$

Chiral spiral ansatz

$$\langle \sigma \rangle = M \cos(bx) \quad \langle \pi \rangle = M \sin(bx)$$

where M and b parameters

Effective potential

$$V_{\text{eff}} = V_{\text{eff}}(M, b)$$

So one should find the minimum with respect to parameters M and b

Even if one gets exact V_{eff} ,
for example, in $1/N_c$ approximation or
mean field

Minimum of effective potential

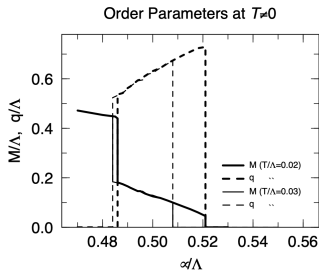
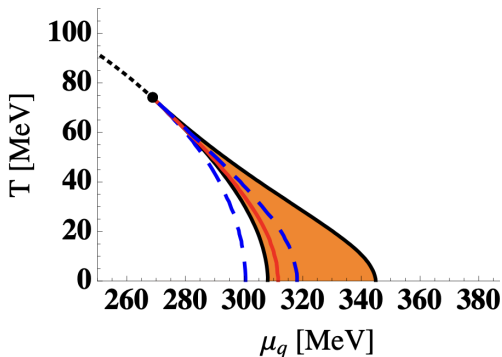
$$V_{\text{eff}}(M, b)$$

**does not necessarily gives
true ground state**

Chiral Density Wave (CDW) or Chiral spiral ansatz

$$\langle \sigma \rangle = M \cos(bx)$$

$$\langle \pi_3 \rangle = M \sin(bx)$$



E. Nakano, T. Tatsumi, Phys.Rev.D 71 (2005) 114006 arXiv:hep-ph/0411350

D. Nickel, Phys.Rev.D 80 (2009) 074025 arXiv:0906.5295 [hep-ph]

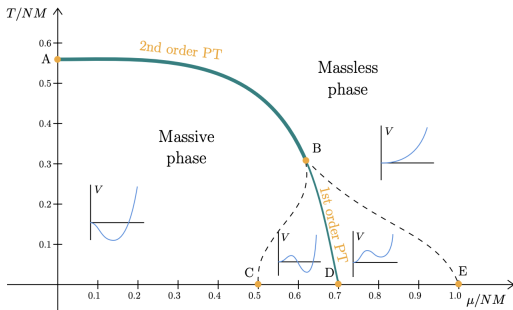
Inhomogeneous phases in the Nambu-Jona-Lasino and quark-meson model

Lagrangian of (1+1)-dim GN model

$$\mathcal{L} = \bar{\psi}(\gamma^\nu i\partial_\nu + \mu\gamma^0)\psi + \frac{G}{N_c}(\bar{\psi}\psi)^2$$

Homogeneous condensate

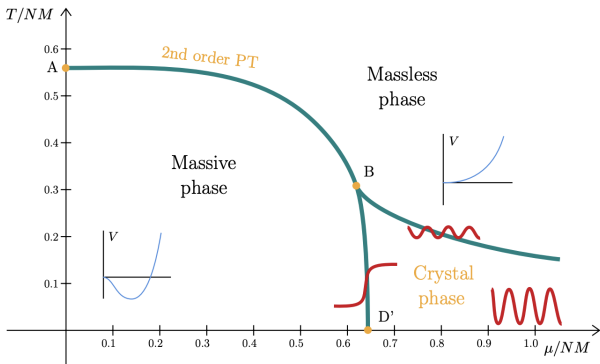
$$\langle\sigma\rangle = M = \text{const}$$



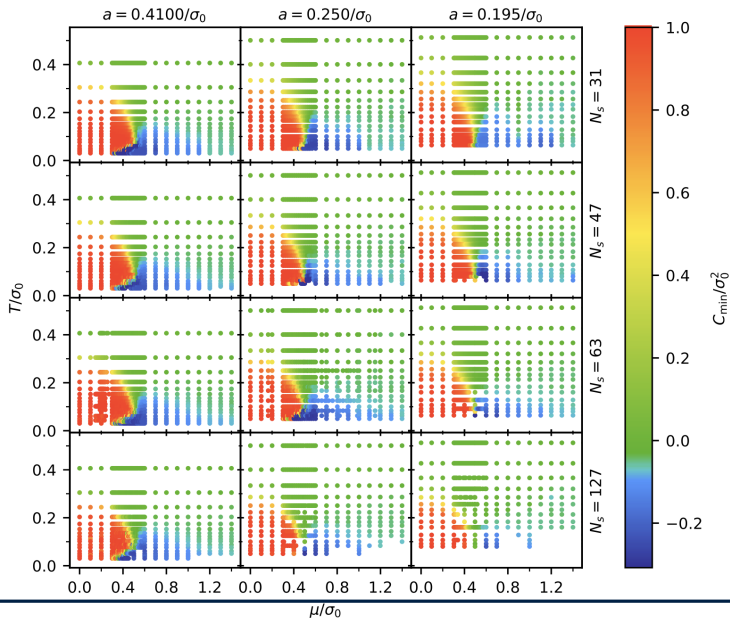
For example cosine ansatz

$$\langle \sigma \rangle = M \cos(bx)$$

$$\sigma(x) = M \operatorname{sn}(Mx|\nu)$$



(μ, T) -phase diagram of GN model with possibility of inhomogeneous case

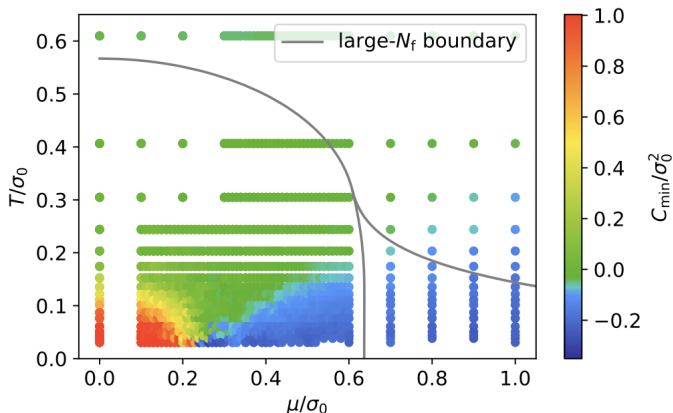


As a rule one employs **large N limit** to suppress bosonic fluctuations

they can easily **destroy** the condensation and **inhomogeneous phases**

It is extremely hard to get **full picture** with bosonic fluctuations (at finite N without mean field approximation)

It is **possible** and was done on **lattice** in (1+1)-dim GN model



the phase diagram from C_{min} for $N_f = 2$

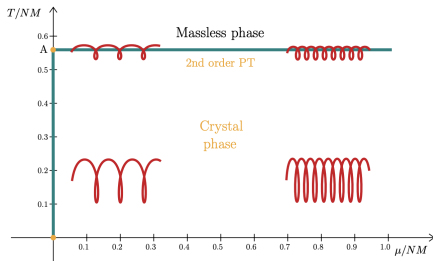
Unexpected feature is that the temperature range with negative C_{min} grows with increasing μ , which is qualitatively different from the situation at large N_f

Lagrangian of (1+1)-dim NJL model

$$\mathcal{L} = \bar{\psi}(\gamma^\nu i\partial_\nu + \mu\gamma^0)\psi + \frac{G}{N_c} \left[(\bar{\psi}\psi)^2 + (\bar{\psi}i\gamma^5\psi)^2 \right]$$

Chiral spiral ansatz

$$\langle \sigma \rangle = M \cos(bx) \quad \langle \pi \rangle = M \sin(bx)$$



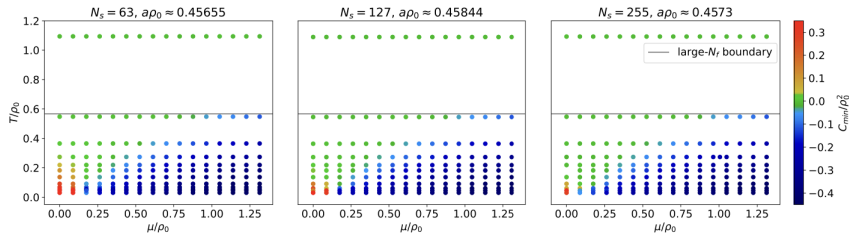
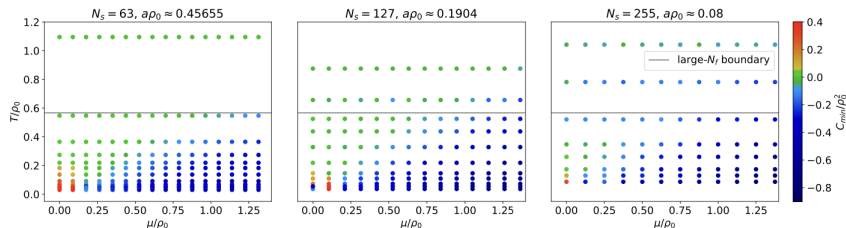


FIG. 9: Infinite-volume extrapolation: phase diagrams for fixed lattice spacing and $N_s = 63, 127$ and 255 .



$N_f = 2$ result

$$\sigma(\mathbf{x}) = \bar{\sigma} + \delta\sigma(\mathbf{x}),$$

where $\bar{\sigma}$ is ground state assuming only homogeneous condensates

Expanding effective action with respect to $\delta\sigma$

$$\begin{aligned} S_{\text{eff}}^{(0)} &= N_f \left(\frac{\beta V}{2\lambda} \bar{\sigma}^2 - \text{Tr}(\ln(\bar{Q})) \right) \\ S_{\text{eff}}^{(1)} &= N_f \left(\frac{\beta}{\lambda} \bar{\sigma} \int d^2x \delta\sigma(\mathbf{x}) - \text{Tr}(\bar{Q}^{-1} \delta\sigma) \right) \\ S_{\text{eff}}^{(2)} &= N_f \left(\frac{\beta}{2\lambda} \int d^2x (\delta\sigma(\mathbf{x}))^2 + \frac{1}{2} \text{Tr}(\bar{Q}^{-1} \delta\sigma \bar{Q}^{-1} \delta\sigma) \right), \end{aligned}$$

$$S_{\text{eff}}^{(2)} = \frac{1}{2} \beta \int \frac{d^2q}{(2\pi)^2} |\delta\tilde{\sigma}(\mathbf{q})|^2 \Gamma^{-1}(\mathbf{q}^2),$$

If there is instability

$$\text{If } \Gamma_{min} = \min_q \Gamma^{(2)}(q) < 0$$

then **homogeneous ground state with condensate $\bar{\sigma}$ is unstable** with respect to fluctuations

But $\bar{\sigma}$ is **true ground state, i. e. minimum**, among homogeneous configurations

So true ground state **should be only inhomogeneous**

- If $\Gamma_{min} = \min_q \Gamma^{(2)}(q) < 0$

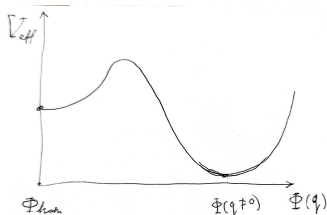
There is instability hence the true ground state is **inhomogeneous phase**

The homogeneous state is a saddle point, not a minimum. The system will definitely roll away from it. Since the instability is at $q \neq 0$, the true ground state must involve spatial modulation. This is a sufficient condition.

- But if $\Gamma_{min} = \min_q \Gamma^{(2)}(q) > 0$

The homogeneous state could still be not the true ground state

The effective potential could look like this in field space:



In general we know nothing about true ground state, inhomogeneous phase and its configuration

Only know that true ground state is inhomogeneous phase, that is all

Stability analysis and Moat Regime

Assume that $\Gamma^{(2)}(q)$ depends on q^2 . Then the expansion of $\Gamma^{(2)}(q)$ around $q = 0$ is given by

$$\Gamma^{(2)}(q) = \Gamma^{(2)}(0) + \frac{q^2}{2} \left. \frac{d^2 \Gamma^{(2)}(q)}{dq^2} \right|_{q=0}$$

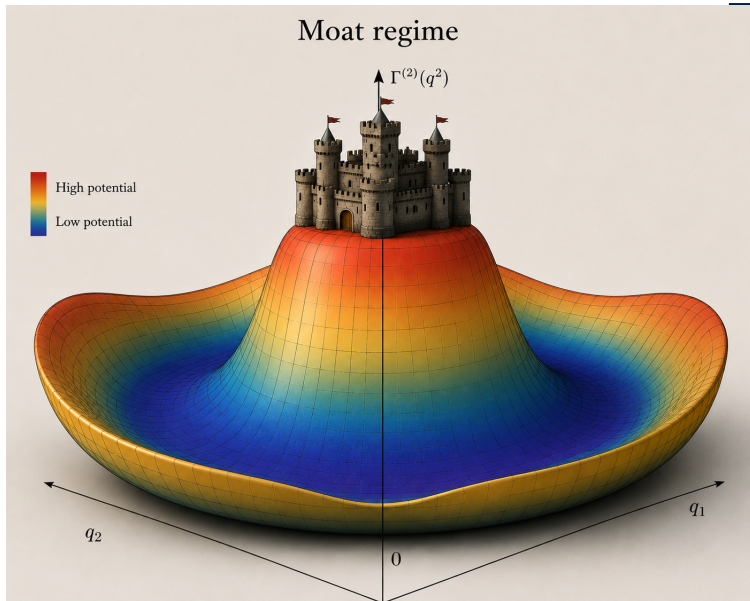
Instability to inhomogeneous phase if

$$\Gamma_{min} = \min_q \Gamma^{(2)}(q) < 0$$

One as a rule define

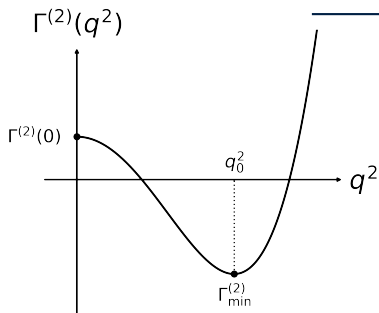
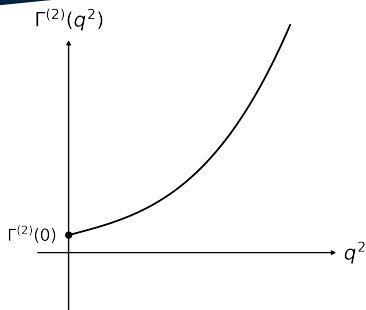
$$Z = \left. \frac{d^2 \Gamma^{(2)}(q)}{dq^2} \right|_{q=0}$$

And if $Z < 0$ then this regime is called **moat regime**



Moat Regime is considered as
an

Indication or a Precursor
of Inhomogeneous phase

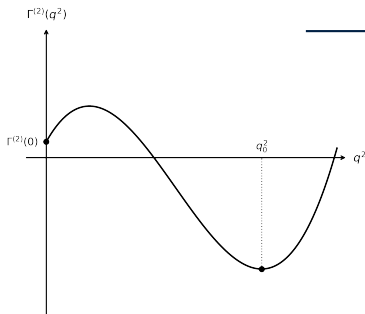
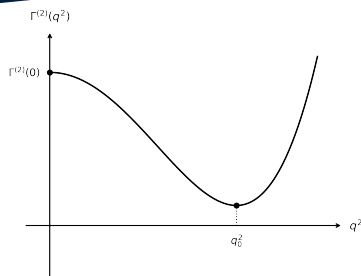


$$Z = \left. \frac{d^2 \Gamma^{(2)}(q)}{dq^2} \right|_{q=0} > 0, \quad \Gamma_{min} > 0$$

$$Z < 0, \quad \Gamma_{min} < 0$$

- **No inhomogeneous phase**
- **no moat regime**

- **Inhomogeneous phase**
- **moat regime**

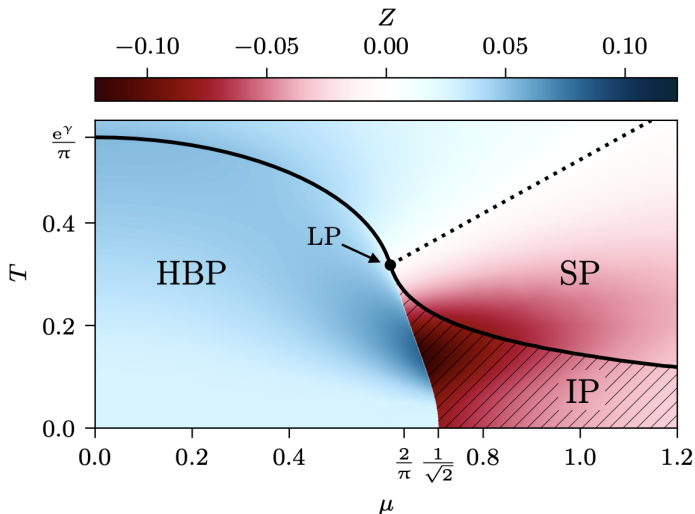


$$Z = \left. \frac{d^2 \Gamma^{(2)}(q)}{dq^2} \right|_{q=0} < 0, \quad \Gamma_{min} > 0$$

$$Z > 0, \quad \Gamma_{min} < 0$$

- No inhomogeneous phase
 - moat regime

- Inhomogeneous phase
 - no moat regime



A. Koenigstein, L. Pannullo, S. Rechenberger, M. Steil, M. Winstel, J.Phys.A 55 (2022) 37, 375402, arXiv:2112.07024 [hep-ph]

Detecting inhomogeneous chiral condensation from the bosonic two-point function in the (1+1)-dimensional Gross-Neveu model in the mean-field approximation

- **Standard crossover**

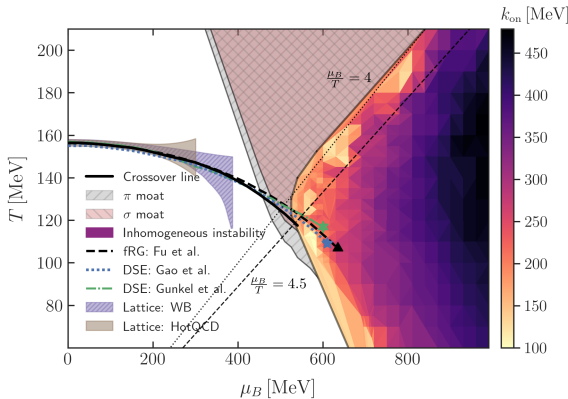
$$\mu_B/T < 4$$

- **moat regime** appears at

$$\mu_B/T \approx 4$$

- **Instability at finite momentum for $\mu_B/T > 4.5$ inhomogeneous phase**

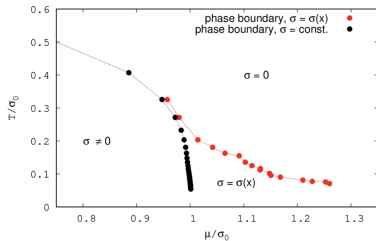
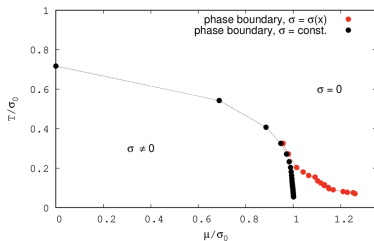
- onset chemical potential μ_B of the instability region on the crossover line shows a mild regulator dependence: $\mu_B \approx 540 - 620$ MeV, consistent with systematic error estimate of 10%



Moat regimes: pions - hatched grey, sigma - hatched red. The region with signatures of inhomogeneous condensation is shown with a heatmap whose colour indicate value of k_{on} which is the lowest value of the RG-scale that can be reached before the instability terminates the flow.

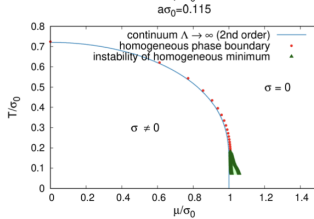
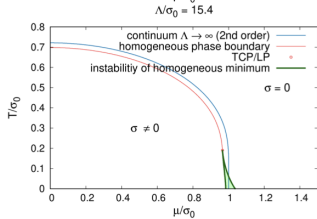
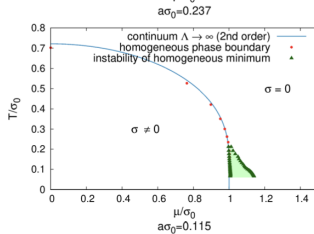
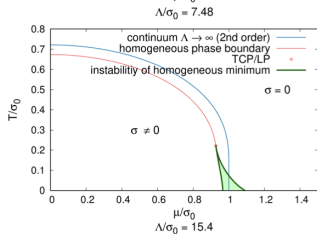
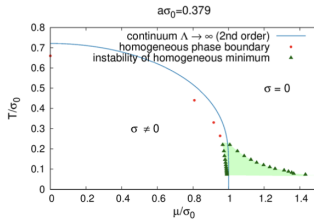
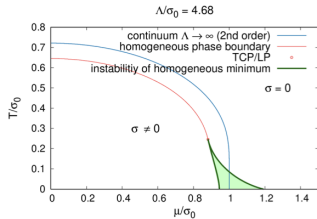
**No papers on inhomogeneous phases in
 $(2+1)$ -dim models such as GN model**

**A plethora of studies of inhomogeneous phases
in $(1+1)$ and $(3+1)$ dimensional models**



M. Winstel, J. Stoll, M. Wagner, J.Phys.Conf.Ser. 1667 (2020) 1, 012044, arXiv:1909.00064 [hep-lat]

Lattice investigation of an inhomogeneous phase of the 2+1-dimensional Gross-Neveu model in the limit of infinitely many flavors



- Call for a critical revision of the role of the regularization.
- For instance, inhomogeneous phases have also been found in the $(3+1)$ -dim NJL model. Unlike in $(2+1)$ dimensions, $(3+1)$ -dim NJL model is non-renormalizable and therefore the studied with finite regulators.
- Given that inhomogeneous phases exist in the renormalized $(1+1)$ -dim GN and NJL models, while in the $(2+1)$ -dim GN model they are only present at finite regulator values, one might suspect that the observed inhomogeneous phases at $(3+1)$ dimensions could be regularization artifacts.

NJL model with chiral imbalance in (2+1) dimensions 5

$$\psi_n \rightarrow \gamma_4 \psi_n, \quad \bar{\psi}_n \rightarrow -\bar{\psi}_n \gamma_4, \quad (11)$$

$$\psi_n \rightarrow \gamma_5 \psi_n, \quad \bar{\psi}_n \rightarrow -\bar{\psi}_n \gamma_5 \quad (17)$$

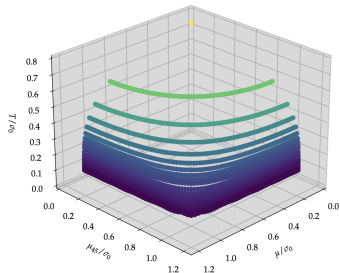
with

$$\gamma_{45} = i\gamma_4\gamma_5 = \tau_3 \otimes \mathbb{1}_2 = \begin{pmatrix} +\mathbb{1}_2 & 0 \\ 0 & -\mathbb{1}_2 \end{pmatrix}$$

$$\gamma_4 = \tau_1 \otimes \mathbb{1}_2 = \begin{pmatrix} 0 & +\mathbb{1}_2 \\ +\mathbb{1}_2 & 0 \end{pmatrix}, \quad \gamma_5 = -\tau_2 \otimes \mathbb{1}_2 = \begin{pmatrix} 0 & +i\mathbb{1}_2 \\ -i\mathbb{1}_2 & 0 \end{pmatrix}. \quad (13)$$

Both γ_4 and γ_5 anticommute with γ_0, γ_1 and γ_2 , thus fulfilling the necessary properties

$$\begin{aligned} Q[\mu, \sigma] &= Q^{(4)}[\mu, \sigma] \rightarrow Q[\mu, \mu_{45}, \sigma] = Q^{(4)}[\mu, \mu_{45}, \sigma] = \gamma_\nu \partial_\nu + \gamma_0 \mu + \gamma_{45} \gamma_0 \mu_{45} + \sigma \\ &= \begin{pmatrix} Q^{(2)}[\mu + \mu_{45}, \sigma] & 0 \\ 0 & \tilde{Q}^{(2)}[\mu - \mu_{45}, \sigma] \end{pmatrix}. \end{aligned}$$



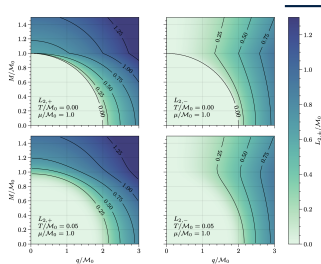
L. Pannullo, M. Wagner, and M. Winstel, Symmetry 14, 265 (2022), arXiv:2112.11183 [hep-lat]
 Inhomogeneous Phases in the Chirally Imbalanced (2+1)-Dimensional Gross- Neveu Model and

Their Absence in the Continuum Limit

- For (2+1)-dimensional GN model chiral imbalance μ_{45} , it was shown that an **isospin chemical potential μ_I is equivalent to the chiral chemical potential μ_{45}**
- Thus, all results can be interpreted in the context of chiral imbalance or of isospin imbalance. In particular the **μ - μ_I - T phase diagram was identical to the μ - μ_{45} - T phase diagram**
- μ_{45} seems to **disfavor inhomogeneous modulations**
- The inhomogeneous phase **shrank** for decreasing lattice spacing and was expected to **disappear in the continuum limit**

$$\mathcal{S}_{\text{FF}}[\bar{\psi}, \psi] = \int_0^\beta d\tau \int d^2x \left\{ \bar{\psi} (\not{\partial} + \gamma_3 \mu) \psi - \left[\sum_{j=1}^{16} \frac{\lambda_j}{2N} (\bar{\psi} c_j \psi)^2 \right] \right\},$$

$$C = (c_j)_{j=1, \dots, 16} = (1, i\gamma_4, i\gamma_5, \gamma_{45}, \vec{\tau}, i\vec{\tau}\gamma_4, i\vec{\tau}\gamma_5, \vec{\tau}\gamma_{45})$$



- ▶ no instability towards an inhom phase.
- ▶ no so-called moat regime

L. Pannullo, M. Winstel, Phys.Rev.D 108 (2023) 3, 036011, arXiv:2305.09444 [hep-ph]

Absence of inhomogeneous chiral phases in (2+1)-dimensional four-fermion and Yukawa models

(3+1)-dim NJL model is effective model

It is **non-renormalizable**

it is valid up to some energy scale

And one cannot reduce the regulator,
**the regulator and the fit is the part of the
model**

(G, Λ) from experimental data such as m_π, f_π, \dots

One should relate (f_π, M_0) to (G, Λ)

- $(1+1)$ -dim — inhomogeneous phases
- $(2+1)$ -dim — no inhom phases if no regulator
- $(3+1)$ -dim — inhom phases

$(d+1)$ -dimensional NJL type model

$$\begin{aligned}\bar{U}_{\text{eff}}(\bar{\sigma}, \mu, d) &= \frac{\bar{\sigma}^2}{2\lambda} - \frac{1}{\beta V} \ln \text{Det} (\not{\partial} + \gamma_0 \mu + \bar{\sigma}) = \\ &= \frac{\bar{\sigma}^2}{2\lambda} - \frac{N_\gamma}{2} \int \frac{d^d p}{(2\pi)^d} [E - \Theta(\mu^2 - E^2)(E - |\mu|)]\end{aligned}$$

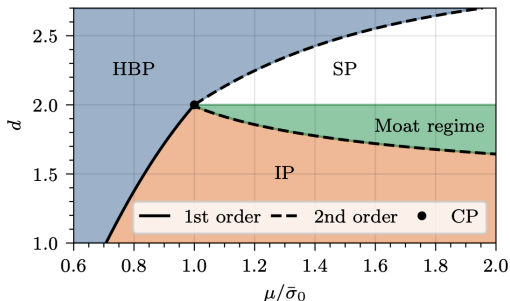
GN model in d dimensions

$$\begin{aligned}\bar{U}_{\text{eff}}(\bar{\sigma}, \mu, d) &= \frac{N_\gamma}{2^d \pi^{\frac{d}{2}}} \left[\frac{(d+1)\Gamma(-\frac{d+1}{2})}{8\sqrt{\pi}} \left(-\frac{\bar{\sigma}_0^{d-1} \bar{\sigma}^2}{2} + \frac{|\bar{\sigma}|^{d+1}}{d+1} \right) + \right. \\ &\quad \left. + \frac{\Theta(\bar{\mu}^2)}{d\Gamma(\frac{d}{2})} |\bar{\sigma}|^{d+1} \left| \frac{\bar{\mu}}{\bar{\sigma}} \right|^d \left({}_2F_1\left(-\frac{1}{2}, \frac{d}{2}; \frac{d+2}{2}; -\frac{\bar{\mu}^2}{\bar{\sigma}^2}\right) - \left| \frac{\mu}{\bar{\sigma}} \right| \right) \right]\end{aligned}$$

L. Pannullo, Phys.Rev.D 108 (2023) 3, 036022

arXiv:2306.16290 [hep-ph]

Inhomogeneous condensation in the Gross-Neveu model in noninteger spatial dimensions $1 < d < 3$



(μ, d) -phase diagram of GN model with possibility of inhomogeneous case

L. Pannullo, Phys.Rev.D 108 (2023) 3, 036022

arXiv:2306.16290 [hep-ph]

A. Koenigstein, L. Pannullo, Phys.Rev.D 109 (2024) 5, 056015

arXiv:2312.04904 [hep-ph]

$$\mathcal{S}[\bar{\psi}, \psi] = \int_0^\beta d\tau \int d^d x \left[\bar{\psi}(\not{\partial} + \gamma_0 \mu)\psi - \frac{\lambda}{2N} (\bar{\psi}\psi)^2 \right]$$

GN model in d dimensions

$$\mathcal{S}[\bar{\psi}, \psi] = \int_0^\beta d\tau \int d^d x \left[\bar{\psi}(\gamma \partial + \gamma_0 \mu)\psi - \frac{\lambda}{2N} \left\{ (\bar{\psi}\psi)^2 + (i\bar{\psi}\gamma_5\psi)^2 \right\} \right]$$

NJL model (or chiral GN model) in d dimensions

But ambiguities with γ_5 , $\{\gamma_\mu, \gamma_5\}$ make stability analysis of NJL model in d dimensions less clear

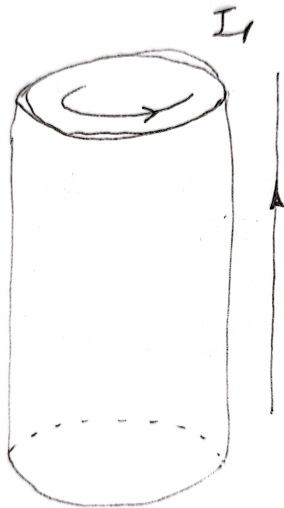
(2+1)-dimensional NJL model with Lagrangian

$$L = \bar{q} \left[\gamma^\rho i \partial_\rho + \mu \gamma^0 \right] q + \frac{G}{N} \left[(\bar{q}q)^2 + (\bar{q}i\gamma^5 q)^2 \right],$$

Chiral density wave (CDW) or chiral spiral

$$\langle \sigma(t, \vec{r}) \rangle = M \cos(2bx_1), \quad \langle \pi(t, \vec{r}) \rangle = M \sin(2bx_1)$$

where M and b are time- and \vec{r} - independent quantities.



(2+1)-dimensional NJL model

$$L = \bar{q} \left[\gamma^\rho i \partial_\rho + \mu \gamma^0 \right] q + \frac{G}{N} \left[(\bar{q}q)^2 + (\bar{q}i\gamma^5 q)^2 \right]$$

with one compactified spacial dimension
of circumference L

- at small L closer to (1+1) dimensions
- at $L \rightarrow \infty$ it is (2+1) dimensional model

(2+1)-dimensional NJL model with Lagrangian

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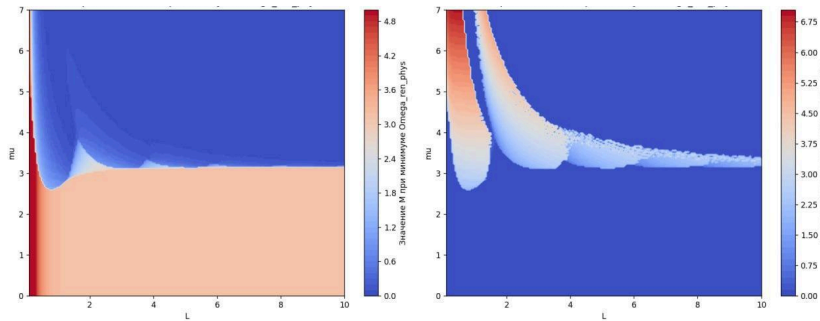
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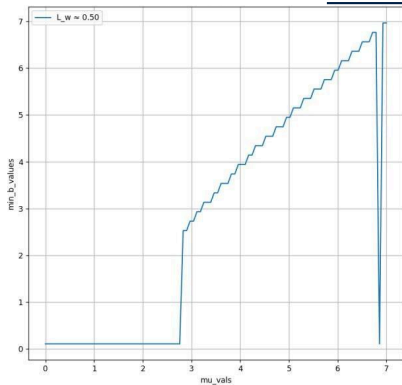
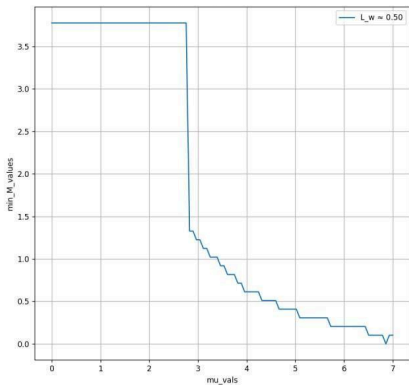
M and b are time and \vec{r} - independent quantities.

Final renormalized expression for the TDP

$$\Omega_{phys}^{ren}(M, b) \equiv \frac{M^2}{2g} + \Delta V_{phys}(M, b) + \tilde{V}_L(M, b) + W_{\mu L}(M, b)$$

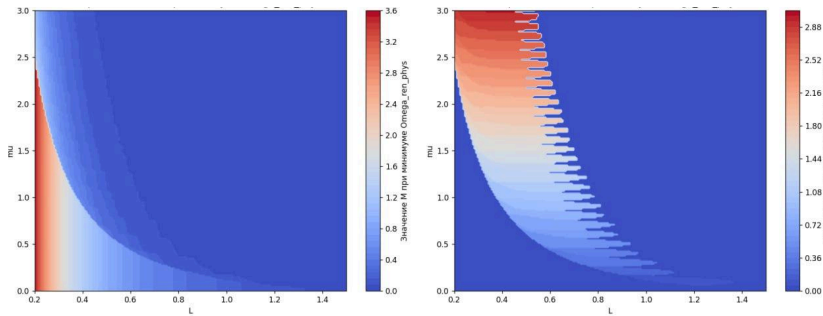


There are several **inhomogeneous** phases
in phase diagram



M and b as a function of μ at $L = 0.5$

There are **inhomogeneous phases**
in wide interval of μ



- At small enough L there is chiral symmetry breaking
- But first inhomogeneous chiral symmetry breaking
- Inhomogeneous phase even at rather small μ

Thanks for Your Attention
