

# Chiral symmetry, Conformal breaking, and transport coefficients in the two-flavour PNJL theory

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# Outline

- 1 Introduction to QCD Phases
- 2 Effective Models of QCD
- 3 Transport Theory
- 4 CEP Observables and Phenomenology
- 5 Discussion and Outlook
- 6 Conclusion

## QCD Matter: Phases and Symmetries

- Thermodynamics of QCD is controlled by temperature  $T$  and baryon chemical potential  $\mu_B$ .
- Two limiting regimes:
  - **Hadronic phase**: confinement + spontaneous chiral symmetry breaking ( $S_\chi$ SB).
  - **Quark–Gluon Plasma (QGP)**: deconfinement + (approx.) restored chiral symmetry.
- Lattice QCD at small  $\mu_B$  shows a smooth crossover near  $T_c \sim 155$  MeV; a first-order line and a critical end point (CEP) may appear at larger  $\mu_B$ .

# QCD Lagrangian and Global Symmetries

$$\mathcal{L}_{\text{QCD}} = -\frac{1}{4}F_{\mu\nu}^a F^{a\mu\nu} + \sum_{f=1}^{N_f} \bar{\psi}_f (i\gamma^\mu D_\mu - m_f) \psi_f$$

- Local  $SU(3)_c$  gauge invariance.
- Approximate chiral symmetry  $SU(N_f)_L \times SU(N_f)_R$  for light quarks; explicitly broken by  $m_f$ .
- $U(1)_A$  axial symmetry is broken by the anomaly.
- Symmetry realization changes with  $T, \mu_B$ , altering spectra, susceptibilities and transport.

## Order Parameters: $\langle \bar{q}q \rangle$ and $\Phi$

- Chiral condensate:

$$\langle \bar{q}q \rangle = -\text{Tr} S(x, x) = - \int \frac{d^4 p}{(2\pi)^4} \text{Tr} S(p)$$

drops quickly around  $T_c$ .

- Polyakov loop (pure gauge order parameter):

$$\Phi = \frac{1}{N_c} \text{Tr}_c \mathcal{P} \exp \left[ i \int_0^\beta d\tau A_4(\tau, \vec{x}) \right]$$

with  $\Phi \approx 0$  (confined) and  $\Phi > 0$  (deconfined).

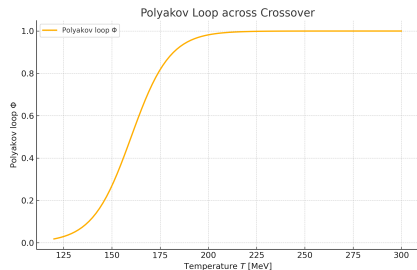


Figure 1: Polyakov loop crossover (lattice inspired).

# Thermodynamic Observables from Lattice QCD

- Trace anomaly  $\Theta^\mu_\mu = \epsilon - 3P$  peaks near  $T_c$ , signaling strong interactions.
- Speed of sound  $c_s^2 = \partial P / \partial \epsilon$  exhibits a dip (“softest point”).

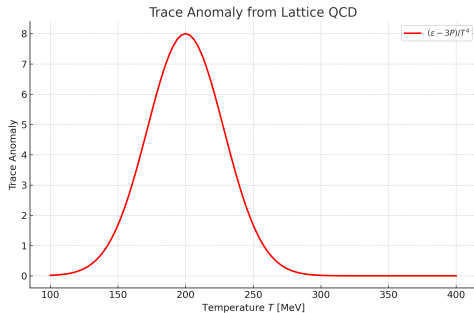


Figure 2:  $(\epsilon - 3P)/T^4$  vs  $T$ .

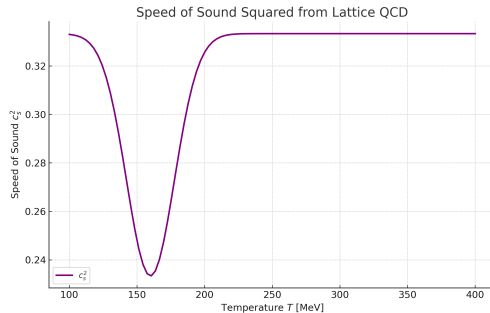


Figure 3:  $c_s^2(T)$  minimum near  $T_c$ .

## Experimental Access to the Phase Diagram

- RHIC/LHC: high  $T$ , low  $\mu_B$ ; RHIC BES explores finite  $\mu_B$ .
- Fluctuations of conserved charges, flow harmonics, dileptons probe phase structure.
- Transport coefficients ( $\eta/s$ ,  $\zeta/s$ ) are essential inputs to viscous hydrodynamics.

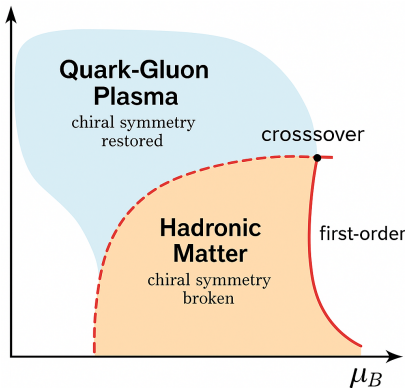


Figure 4: Sketch of QCD phase diagram with crossover, CEP and 1st-order line.

## Section Summary

- Chiral and deconfinement transitions intertwine across the  $(T, \mu_B)$  plane.
- Lattice QCD constrains low- $\mu_B$  EOS; effective models extrapolate to higher  $\mu_B$ .
- Heavy-ion data + transport modeling aim to localize the CEP and constrain the EOS.



# Polyakov–Nambu–Jona-Lasinio (PNJL) Model

## Lagrangian (Euclidean)

$$\mathcal{L}_{\text{PNJL}} = \bar{q}(i\gamma^\mu D_\mu - m_0)q + G[(\bar{q}q)^2 + (\bar{q}i\gamma^5 \vec{\tau}q)^2] - \mathcal{U}(\Phi, \bar{\Phi}, T).$$

- $G$  induces  $S_\chi$ SB:  $M = m_0 - 2G\langle\bar{q}q\rangle$ .
- $\mathcal{U}$  mimics (statistical) confinement via  $Z(3)$  symmetry.
- Mean-field: quarks couple to a static temporal  $A_4$  background.
- Outputs:  $\langle\bar{q}q\rangle$ ,  $\Phi$ , EOS and susceptibilities.

# Polyakov Potential and Statistical Confinement

$$\frac{\mathcal{U}(\Phi, \bar{\Phi}, T)}{T^4} = -\frac{a(T)}{2} \bar{\Phi}\Phi + b(T) \ln \left[ 1 - 6\bar{\Phi}\Phi + 4(\Phi^3 + \bar{\Phi}^3) - 3(\bar{\Phi}\Phi)^2 \right].$$

- $a(T), b(T)$  fitted to pure-gauge lattice data (reproduce  $T_c$ ,  $Z(3)$  structure).
- Modified Fermi factors  $f_{\pm}$  suppress colored states at low  $T$ .

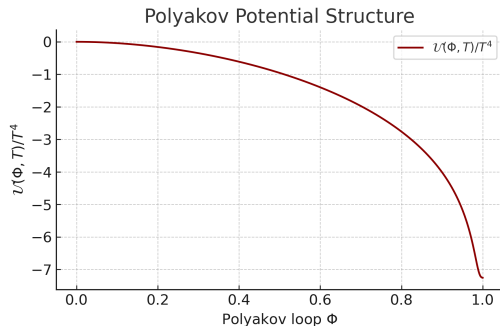


Figure 5: Shape of  $\mathcal{U}$  across the crossover.

# Thermodynamics in PNJL

$$\Omega(T, \mu_B) = \mathcal{U}(\Phi, \bar{\Phi}, T) + \frac{(M - m_0)^2}{4G} - 2N_f N_c \int_0^\Lambda \frac{d^3 p}{(2\pi)^3} E_p \\ - 2TN_f \int \frac{d^3 p}{(2\pi)^3} [\ln f_+(p) + \ln f_-(p)].$$

- Solve  $\partial\Omega/\partial M = \partial\Omega/\partial\Phi = \partial\Omega/\partial\bar{\Phi} = 0$ .
- EOS:  $P = -\Omega$ ,  $s = -\partial\Omega/\partial T$ ,  $n_B = -\partial\Omega/\partial\mu_B$ .
- $T_c(\mu_B)$ : from inflection points of order parameters; CEP: divergent susceptibilities.

# QM/PQM Models and FRG Improvements

## (P)QM Lagrangian:

$$\mathcal{L}_{\text{QM}} = \bar{q}(i\gamma^\mu\partial_\mu - g(\sigma + i\gamma_5\vec{\tau} \cdot \vec{\pi}))q + \mathcal{L}_\sigma, \quad \text{PQM: add } \mathcal{U}(\Phi, \bar{\Phi}, T).$$

- Mesonic  $O(4)$  fields  $(\sigma, \vec{\pi})$  encode explicit chiral dynamics and fluctuations.
- Adding the Polyakov loop (PQM) provides statistical confinement.
- FRG: evolve  $\Gamma_k$  to include quantum/thermal fluctuations  $\rightarrow$  smoother crossovers, shifted CEP.

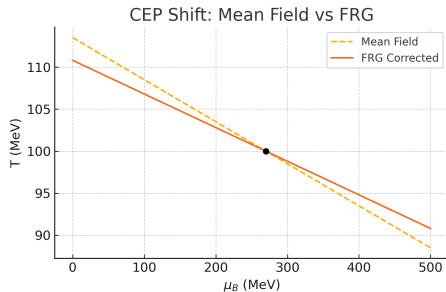


Figure 6: CEP shift: mean-field (black) and FRG (red).

# Model Comparison Summary

Model	Chiral	Conf.	Order Params	Pros / Limitations
NJL	✓	×	$\sigma$	Simple; analytic; no gluons/Polyakov; no confinement
PNJL	✓	$\triangle$	$\sigma, \Phi$	Adds Polyakov loop; semi-quantitative deconfinement; MF artifacts
QM	✓	×	$\sigma$ (mesonic)	Includes meson fluctuations; quarks unconfined
PQM	✓	$\triangle$	$\sigma, \Phi$	Chiral + (de)confinement trends; parameter sensitive
PQM+FRG	✓	$\triangle$	$\sigma, \Phi$	Fluctuations via FRG; better CEP; numerically heavy

Table 1: ✓: present; ×: absent;  $\triangle$ : approximate/statistical.

## AdS/CFT Bounds and Comparison

- KSS bound:  $\eta/s \geq 1/4\pi$  in strongly coupled  $\mathcal{N}=4$  SYM; PNJL values approach but respect it.
- No strict lower bound for  $\zeta/s$ ; often scales with conformal breaking  $(\epsilon - 3P)$  or  $(1/3 - c_s^2)$ .
- Holographic estimate:  $\zeta/\eta \sim (1/3 - c_s^2)$ —qualitatively consistent with our trends.

## Microscopic Origin of $\tau_f$

- Parametrizations:  $\tau_f = C/T$ ; or  $\tau_f = 1/(n\sigma v)$  with  $n$  the thermal density and  $\sigma$  an effective cross section.
- pQCD/HTL: at high  $T$ ,  $\tau^{-1} \sim g^4 T \ln(1/g)$ ; near  $T_c$  hadronic/mesonic scatterings dominate.
- Lattice guidance: spectral reconstructions of correlators can constrain rates, but remain challenging.

# Kubo Formalism for Viscosity

## Retarded correlators

$$\eta = \lim_{\omega \rightarrow 0} \frac{1}{20\omega} \int d^4x e^{i\omega t} \theta(t) \langle [T_{xy}(x), T_{xy}(0)] \rangle,$$

$$\zeta = \lim_{\omega \rightarrow 0} \frac{1}{9\omega} \int d^4x e^{i\omega t} \theta(t) \langle [T^\mu_\mu(x), T^\nu_\nu(0)] \rangle.$$

Spectral densities enter via  $\text{Im } G^R$ . Non-perturbative extraction is hard  $\Rightarrow$  we resort to kinetic theory / RTA.



## Kinetic Theory: Relaxation Time Approximation

$$(\partial_t + \vec{v} \cdot \nabla)f = -\frac{f - f^{(0)}}{\tau_f}, \quad f^{(0)} = \frac{1}{e^{(E_p - \mu)/T} + 1}.$$

- Linearize:  $f = f^{(0)} + \delta f$ , solve for  $\delta f$  under shear/bulk perturbations.
- Single  $\tau_f$  captures microscopic scattering; can depend on  $T, \mu_B, p$ .
- Use PNJL quasiparticle dispersion  $E_p(T, \mu_B)$  for consistency.

## Final Expressions for $\eta$ and $\zeta$

$$\eta(T, \mu_B) = \frac{1}{15T} \int \frac{d^3p}{(2\pi)^3} \frac{p^4}{E_p^2} \tau_f f^{(0)} [1 - f^{(0)}],$$

$$\zeta(T, \mu_B) = \frac{1}{T} \int \frac{d^3p}{(2\pi)^3} \tau_f f^{(0)} [1 - f^{(0)}] \left( \frac{p^2}{3E_p} - c_s^2 E_p \right)^2.$$

- $c_s^2 = \partial P / \partial \epsilon$  from the PNJL EOS.
- Bulk viscosity is controlled by conformal breaking  $(\epsilon - 3P)$  or  $(1/3 - c_s^2)$ .

## Numerical Scheme

- Grid:  $T \in [60, 300]$  MeV,  $\mu_B \in [0, 600]$  MeV; solve the coupled gap equations point-by-point.
- Momentum integrals: Gauss–Legendre in  $p$  (radial) + analytic  $4\pi$  angular factor; cutoff  $p_{\max} \sim 3$  GeV.
- $\tau_f$ : choose model ( $C/T$  or  $1/(n\sigma v)$ ); test sensitivity.
- Error control: refine  $p$  grid until  $< 1\%$  variation in  $\eta/s$ ,  $\zeta/s$ .

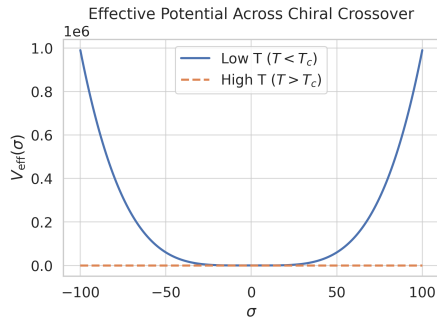


Figure 7:  $V_{\text{eff}}(\sigma, T)$  flattens near  $T_c$ .

## Results: $\eta/s$ and $\zeta/s$ Maps

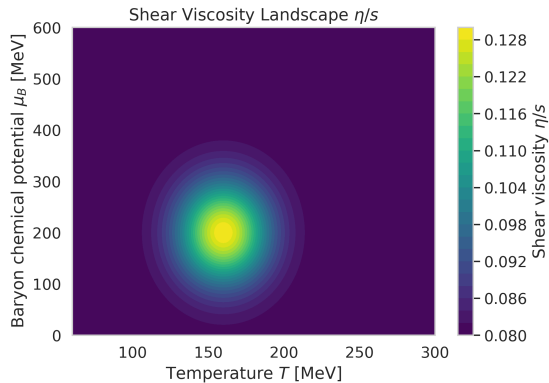


Figure 8:  $\eta/s$  valley along the crossover.

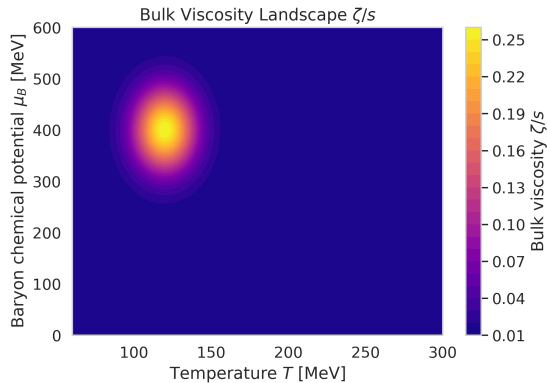


Figure 9:  $\zeta/s$  peak near the CEP.

- $\eta/s$  minimized around  $T_c(\mu_B) \Rightarrow$  enhanced scattering/collective modes.
- $\zeta/s$  enhanced where  $c_s^2 \rightarrow 0 \Rightarrow$  strong conformal breaking.

## Critical Dynamics Interpretation

- Near the CEP, long-range fluctuations (soft modes) drive bulk viscosity up (critical slowing down).
- Shear viscosity dips as scattering off critical modes increases.
- Phenomenology: affects flow fluctuations, higher-order cumulants of conserved charges.

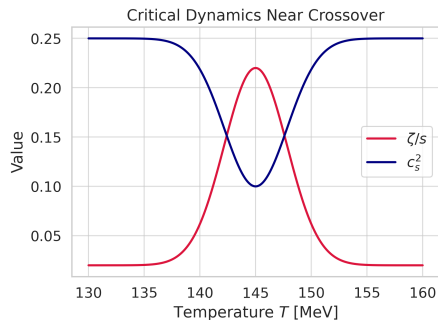


Figure 10: Soft modes boost  $\zeta/s$  near criticality.

# Uncertainties and Sensitivity Analysis

- **Parameter scan:** vary  $G$ ,  $\Lambda$ ,  $T_0$ ,  $\tau_f$  model; record shifts in minima/maxima of  $\eta/s$ ,  $\zeta/s$ .
- **Grid convergence:** increase  $N_p$ ,  $N_T$ ,  $N_\mu$  until changes  $< 1\%$ .
- **Model comparison:** benchmark against lattice-inspired parametrizations or FRG/DSE outputs.
- **Systematics:** choice of Polyakov potential, momentum cutoff, quasiparticle ansatz.

## Beyond Viscosity: Other Transport Coefficients

- **Baryon diffusion**  $D_B$  and conductivity  $\kappa_B$ : control charge transport near CEP.
- **Electric conductivity**  $\sigma_{\text{el}}$ : enters electromagnetic emissivities (photons/dileptons).
- **Thermal conductivity**  $\kappa_T$ : relevant at finite  $\mu_B$  for heat flow.
- Methods: Kubo formulas for conserved currents, kinetic theory with PNJL quasiparticles, or FRG functional transport.

## CEP-sensitive Observables (Heavy-ion Experiments)

- Higher-order cumulants of net-proton/net-charge:  $C_4/C_2 = \kappa\sigma^2$ ,  $C_3/C_2 = S\sigma$ , etc.
- Non-monotonic energy dependence (RHIC BES-II): search for peak/dip structures vs.  $\sqrt{s_{NN}}$ .
- Intermittency, scaled factorial moments in transverse-momentum bins.
- Softening signals: directed flow  $v_1$ , bulk viscosity imprint on longitudinal decorrelations.
- Dilepton/soft photon rates: sensitive to spectral changes near chiral restoration.



## Benchmarking vs Other Approaches

- **FRG/PQM**: compare  $\eta/s$ ,  $\zeta/s$  near CEP (shape and magnitude).
- **Lattice-inspired fits**: EOS,  $c_s^2(T)$  at  $\mu_B \approx 0$  set baseline.
- **Dyson–Schwinger (DSE)**: transport via Schwinger-Keldysh or memory-function methods.
- Overlay key curves (PNJL vs FRG vs hydro-extracted ranges) to assess robustness.

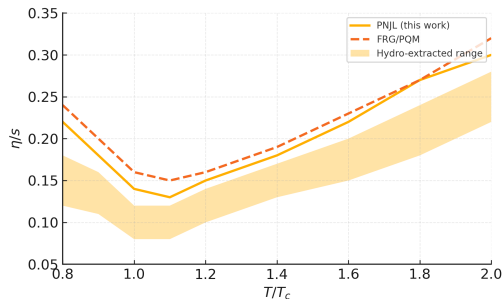


Figure 11: Overlay of  $\eta/s(T)$  from different methods.

# Effects of Magnetic Field and Vorticity

- Strong  $B$  fields in non-central collisions ( $eB \sim m_\pi^2$ ) modify dispersion and scattering  $\Rightarrow$  transport anisotropy.
- Chiral Magnetic/Vortical Effects (CME/CVE): induce anomalous currents, alter conductivities.
- Shear viscosity splits into longitudinal/transverse parts in  $B \neq 0$ ; bulk viscosity gains extra terms.
- Vorticity couples to spin degrees of freedom (global polarization), sensitive to relaxation times.

# Limitations and Future Work

## Model content

- 2-flavor PNJL: no strange quark; extend to 2+1 or full QCD-like Polyakov sector.
- Mean-field artifacts: missing mesonic/critical fluctuations (FRG/DSE can cure partly).

## Microscopic inputs

- $\tau_f$  is model-dependent; needs kinetic-theory or lattice-informed scattering rates.
- Near the CEP the quasiparticle picture weakens (critical slowing down).

## Next steps

- Bayesian model-to-data calibration with heavy-ion observables.
- Event-by-event hydro including critical fluctuations and  $T, \mu_B$ -dependent transport.
- Couple to astrophysical EOS (NS mergers, cooling) to constrain  $\eta, \zeta$ .

# Conclusion

- Built a PNJL-based framework to compute  $\eta/s$  and  $\zeta/s$  on the  $(T, \mu_B)$  plane.
- Found an  $\eta/s$  valley along the crossover and a  $\zeta/s$  peak near the CEP.
- These patterns provide guidance for viscous hydrodynamics and CEP searches.
- The setup is extensible: add flavors, FRG fluctuations, and microscopic rates from first principles.

# Acknowledgments

- Faculty of Physics, Moscow State University for continuous support.
- GeZhi Theoretical Physics Reading Group for insightful discussions.
- Lanzhou Center for Theoretical Physics for the collaborative environment.
- Thanks to the audience for questions and feedback!
- Endless thanks to my beloved Li Xin, whose support turns every challenge in physics into possibility

# Questions and Discussion

## Executive Summary (Take-home Messages)

- ①  $\eta/s$  hits a minimum,  $\zeta/s$  spikes near criticality — both trace phase structure.
- ② PNJL reproduces lattice trends at low  $\mu_B$  and extrapolates to finite density.
- ③ Transport inputs are pivotal for hydrodynamic modeling and CEP searches.
- ④ Uncertainties stem from  $\tau_f$  modeling and mean-field limitations.

## What's New in This Work

- Joint treatment of chiral restoration and transport in a single PNJL framework.
- Systematic  $(T, \mu_B)$  scan with consistent gap-equation solutions for  $M, \Phi$ .
- Combined analysis of  $\eta/s$  and  $\zeta/s$ , highlighting CEP sensitivity.
- Clear road map for upgrading to FRG-based fluctuations and microscopic  $\tau_f$ .



# Astrophysical Relevance

- Bulk viscosity impacts damping of density oscillations in neutron stars / mergers.
- Shear viscosity affects r-mode stability, differential rotation, and thermalization.
- Finite- $\mu_B$  transport data inform EOS tables used in multi-messenger simulations.
- Future: interface PNJL/FRG transport with relativistic MHD codes.

## Slide Index (Backup Navigation)

- Appendix A: RTA derivation details
- Appendix B: Numerical workflow & parameters
- Appendix C: Extra Kubo formulas (diffusion, conductivity)
- Appendix D: Benchmarks & cross-checks

# Style/Practical Notes (For Presenter)

- Keep  $\leq 1$  minute per technical slide; dwell longer on result plots.
- Have backup slides ready for  $\tau_f$  modeling, sensitivity, CEP observables.
- If time-limited: show “Executive Summary” + two key result plots + What’s New.

## RTA Derivation (Details)

Start from Boltzmann in LR frame:

$$(\partial_t + \vec{v} \cdot \nabla_{\vec{x}})f = C[f], \quad C[f] \approx -\frac{\delta f}{\tau_f}, \quad f = f^{(0)} + \delta f.$$

Shear perturbation:  $u_i(\vec{x})$ ,  $\nabla_{\langle i} u_{j \rangle}$ . Project  $\delta f$  onto tensor basis  $\sim p_{\langle i} p_{j \rangle}$ :

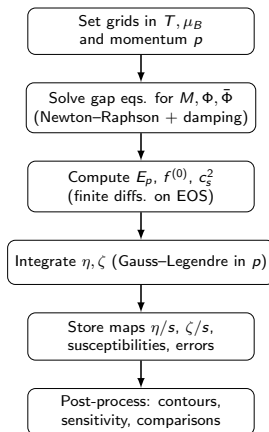
$$\delta f = -\tau_f f^{(0)}(1 - f^{(0)}) \frac{p_{\langle i} p_{j \rangle}}{2TE_p} \nabla_{\langle i} u_{j \rangle}.$$

Insert into  $T_{ij}$ , angular average  $\Rightarrow$

$$\eta = \frac{1}{15T} \int \frac{d^3p}{(2\pi)^3} \frac{p^4}{E_p^2} \tau_f f^{(0)}(1 - f^{(0)}).$$

Analogous bulk channel uses  $\delta f \propto (\frac{p^2}{3E_p} - c_s^2 E_p)$  giving  $\zeta$ .

# Numerical Workflow (Flowchart)



# Symbols and Parameters

Symbol	Meaning	Source/Note
$T, \mu_B$	Temperature, baryon chem. pot.	Input grid
$M(T, \mu_B)$	Constituent quark mass	PNJL gap eq.
$\Phi, \bar{\Phi}$	Polyakov loop variables	PNJL potential $\mathcal{U}$
$c_s^2$	Speed of sound squared	$c_s^2 = \partial P / \partial \epsilon$
$\tau_f$	Relaxation time	Model: $C/T$ or $1/(n\sigma v)$
$\eta, \zeta$	Shear/Bulk viscosity	RTA integrals (this work)
$s$	Entropy density	$s = -\partial \Omega / \partial T$
$\epsilon, P$	Energy density, pressure	From PNJL EOS

# Kubo for Other Transport Coefficients

- **Baryon conductivity**  $\kappa_B$ :  $\kappa_B = - \lim_{\omega \rightarrow 0} \frac{1}{\omega} \text{Im } G_{J_B^i J_B^i}^R(\omega, 0)$ .
- **Electric conductivity**  $\sigma_{\text{el}}$ :  $\sigma_{\text{el}} = - \lim_{\omega \rightarrow 0} \frac{1}{\omega} \text{Im } G_{J_{\text{em}}^i J_{\text{em}}^i}^R$ .
- **Thermal conductivity**  $\kappa_T$ : via heat current correlator at finite  $\mu_B$ .

In kinetic theory, replace  $T_{ij}$  operator with appropriate current and repeat RTA steps.

## Benchmark & Cross-checks















- Compare EOS pieces ( $P/T^4$ ,  $\epsilon/T^4$ ) with lattice (HotQCD, Wuppertal-Budapest).
- Check  $\eta/s$  minimum  $\sim (0.1-0.2)$  vs hydro-extracted values from RHIC/LHC.
- Verify  $\zeta/s$  peak width/height sensitivity to  $\tau_f$ ,  $c_s^2$  parametrization.
- Cross-check CEP location with FRG/PQM and Dyson–Schwinger results.



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