

# Recent results on kaon physics from OKA experiment

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# The talk layout

- OKA beam, detector, data Search for the ALP in the  $K^+ \rightarrow \pi^+ \pi^0$  a decay
- $K^+ \rightarrow \mu^+ \nu \gamma$  decay study, measurement of  $F_V F_A$
- $K^+ \rightarrow \mu^+ \nu \pi^0 \gamma$  decay study

# OKA: The experiment with RF-separated K<sup>±</sup> beam @U-70

RF separation with Panofsky scheme is realised. It uses two Karlsruhe-CERN SC RF deflectors. Sophisticated cryogenic system, built at IHEP provides superfluid He for cavities cooling.





Z, m



# **OKA detector**



- 1. Beam spectrometer: 1mm pitch BPC ~1500 channels; Sc and  $\check{C}$  counters
- 2. Decay volume with Veto system:

L=11m; Veto: 670 Lead-Scintillator sandwiches 20\* (5mm Sc+1.5 mmPb), WLS readout

- **3**. PC's, ST's and DT's for magnetic spectrometer:
- ~5000 ch. PC (2 mm pitch) + 1300 DT (1 and 3 cm)
- 4. Pad(Matrix) Hodoscope ~300 ch. WLS+SiPM readout
- 5. Magnet: aperture 200\*140 cm<sup>2</sup>
- 6. Gamma detectors: GAMS2000, BGD EM cal. ~ 4000 LG.
- 7. Muon identification: GDA-100 HCAL + 4 muon counters ( $\mu$ C) behind
- 8. For some runs Cu target inside decay volume was used: Ø=8 cm, t=2mm and C3 big Cerenkov counter

The main triggers	$S_1 \cdot S_2 \cdot S_3 \cdot \overline{C_1} \cdot C_2 \cdot \overline{S_{bk}} \cdot (\Sigma_{GAMS} > 2.5  GeV) \cup (2 \leq MH \leq 4)$
Prescaled triggers	$\mathbf{S}_1 \cdot \mathbf{S}_2 \cdot \mathbf{S}_3 \cdot \mathbf{C}_1 \cdot \mathbf{C}_2 \cdot \mathbf{S}_{bk} / 10 \qquad \mathbf{S}_1 \cdot \mathbf{S}_2 \cdot \mathbf{S}_3 \cdot \mathbf{C}_1 \cdot \mathbf{C}_2 \cdot \mathbf{S}_{bk} \cdot \mu \mathbf{C} / 4$
-	Run's in 2010-2013, 2016, 2018 $N_{K} \sim 5 \ge 10^{10}$
	Main directions of the data analysis:

 $K^{\scriptscriptstyle +} \rightarrow e^{\scriptscriptstyle +} \nu \pi^{\scriptscriptstyle 0}, K^{\scriptscriptstyle +} \rightarrow \mu^{\scriptscriptstyle +} \nu_s, K^{\scriptscriptstyle +} Cu \rightarrow K^{\scriptscriptstyle +} \pi^{\scriptscriptstyle 0} Cu , K^{\scriptscriptstyle +} \rightarrow \pi^{\scriptscriptstyle +} \pi^{\scriptscriptstyle 0} a, K^{\scriptscriptstyle +} \rightarrow \mu^{\scriptscriptstyle +} \nu \gamma , K^{\scriptscriptstyle +} \rightarrow e^{\scriptscriptstyle +} \nu \pi^{\scriptscriptstyle 0} \gamma, K^{\scriptscriptstyle +} \rightarrow \mu^{\scriptscriptstyle +} \nu \pi^{\scriptscriptstyle 0} \gamma, K^{\scriptscriptstyle +} \rightarrow \pi^{\scriptscriptstyle +} \pi^{\scriptscriptstyle +} \pi^{\scriptscriptstyle -} \gamma, K^{\scriptscriptstyle +} \rightarrow \pi^{\scriptscriptstyle +} \pi^{\scriptscriptstyle 0} \pi^{\scriptscriptstyle 0} \gamma)$ 





General view of the OKA setup

#### «OKA» setup



ST, DT chambers, Matrix Hodoscope, ECAL



Decay volume Veto System



RF deflector in the beamline



Liquid He lines



Tail of the beam line

# **Search for the ALP in** $K^+ \rightarrow \pi^+\pi^0$ a decay



F,G,R from  $K^+ \rightarrow \pi^+\pi^- l\nu$  (Kl4)

The QCD Axion is a hypothetical pseudoscalar particle, invented to solve the strong CP problem. It's properties are described by the decay constant  $f_a$ , related to Peccei-Quinn symmetry braking scale  $\Lambda_{PQ}$ :  $f_a = \Lambda_{PQ}/4\pi$ . The QCD axion mass  $m_a = m_{\pi} f_{\pi}/f_a$ .  $a \rightarrow \gamma\gamma$ ;  $\tau_a = 2^8 \pi^3 f_a^2/(\alpha m_a^3)$ . If axion is dark matter  $\rightarrow \tau_a \ge 13.8$  Gyr  $\rightarrow m_a \le 10$  eV. For axion-like particles (ALP)  $m_{ALP}$  is not set by QCD only  $\rightarrow$  two free parameters:  $m_{ALP}$ ,  $f_{ALP} = m_{ALP} < 1$  GeV. Axion may have vector and/or axial couplings to quark currents, in particular to sd FCNC P-conservation  $\rightarrow \text{ vector } K^+ \rightarrow \pi^+ a = \text{ axial } K^+ \rightarrow \pi^+\pi^0 a$  $\mathscr{L} = q_{\mu}a\{\overline{d}(\gamma_{\mu}/F_{sd}^V + \gamma_{\mu}\gamma_5/F_{sd}^A)s\}$ 

Start from 3.65 10° eventsCommon cuts for  $K^+ \to \pi^+\pi^0$  and  $K^+ \to \pi^+\pi^0$ I beam track , 1 secondary track  $\theta > 4$  mrad , vertex matching CDA < 1.25 cm.</td>no extra track segments behind the SM magnetvertex inside the DV .17. 0 <  $p_{beam} < 18.6$  GeVnumber of showers in GAMS or BGD not associated with track = 2 $\pi^0$  identification  $|m_{\gamma\gamma} - m_{\pi0}| < 15$  MeV

After selections 44.5  $10^6 \text{ K}^+ \rightarrow \pi^+ \pi^0$ 

# **Search for the axion in** $K^+ \rightarrow \pi^+\pi^0$ a decay

In order to disentangle  $K^+ \rightarrow \pi^+\pi^0 a$  from  $K^+ \rightarrow \pi^+\pi^0(\gamma)$ ,  $K^+ \rightarrow \pi^+\pi^0\pi^0$ ,  $K^+ \rightarrow e^+\nu\pi^0$ ,  $K^+ \rightarrow \mu^+\nu\pi^0$ 

- $E_{mis} = E_{K+} E_{\pi^+} E_{\pi^0} > 2.8 \text{ GeV}$  Cut on missing energy
  - $P_{\pi^+}^* < 150 \text{ MeV}$ ,  $P_{\pi^0}^* < 189 \text{ MeV}$  Cuts on the momenta of pions in the K<sup>+</sup> rest frame againsts K<sup>+</sup>  $\rightarrow \pi^+\pi^0$ 
    - No signal in muon counters  $\mu C$  to suppress  $K^+ \rightarrow \mu^+ \nu \pi^0$

E- the energy of the shower, assosiated with the track, againsts  $K^+ \rightarrow e^+ v \pi^0$ 

- track is identified as  $\pi^+$  in GAMS or in GDA-100
- E<sub>GS</sub> < 100 MeV

 $E/p \le 0.83$ 

the cut on the energy in the guard system - against  $K^{\scriptscriptstyle +} \to \pi^{\scriptscriptstyle +} \pi^{\scriptscriptstyle 0} \pi^{\scriptscriptstyle 0}$ 



#### **Search for the axion in** $K^+ \rightarrow \pi^+ \pi^0 a$ decay



Supernova bound: In the neutron stars(NS) n, p, e,  $\Lambda$  coexist.  $\Lambda \rightarrow$  n a new cooling mechanism of NS. Maximum during few seconds after SN explosion, when protoneutron star reaches T~ 0.1 MeV SN1987A  $F_{sd}^A, F_{sd}^V > 10^9 \text{ GeV}$  Model dependent !



Study of the  $K^+ \rightarrow \mu^+ \nu \gamma$  decay



Main background sources





$$A_{IB} = \frac{\alpha}{2\pi} \Gamma_{K\mu 2} \frac{1}{(1-r)^2}; \quad A_{SD} = \frac{\alpha}{2\pi} \Gamma_{K\mu 2} \frac{1}{4r(1-r)^2} \left(\frac{m_K}{f_K}\right)^2; \quad A_{INT} = \frac{\alpha}{2\pi} \Gamma_{K\mu 2} \frac{1}{(1-r)^2} \frac{m_K}{f_K}; \quad r = \frac{m_\mu}{m_K}$$

$$\chi PTO(p^{4}): F_{V} = \frac{\sqrt{2}M_{K}}{8\pi^{2}F_{\pi}} = 0.096; F_{A} = \frac{4\sqrt{2}M_{K}}{F_{\pi}} (L_{9}^{r} + L_{10}^{r}) = 0.042; F_{V} - F_{A} = 0.054$$

$$\chi PTO(p^{6}): F_{V} = F_{V}(0)(1 + \lambda(1 - x)); F_{V}(0) = 0.082; \lambda = 0.4; F_{A} = 0.034$$

VALUE	(	CL%	EVTS	DOCUMENT ID		TECN	CHG
$-0.21 \pm 0.06$			22K	DUK	2011	ISTR	-
•••We do n	ot use the	e following data	for averages, fits, lir	nits, etc. • • •			
-0.24 to $0.04$	4 9	90	2588	ADLER	2000B	B787	+
-2.2 to 0.6	ç	90		DEMIDOV	1990	XEBC	
-2.5 to $0.3$	ć	90		AKIBA	1985	SPEC	
Reference	es:						
DUK	2011	PL B695 59	Extraction of K	aon Formfactors from	${\it K}^-  o \mu  u \gamma$ D	ecay at ISTRA	+ Setup
ADLER	2000B	PRL 85 2256	Measurement	of Structure-Depender	nt $K^+  o \mu^+  u$	$\gamma_\mu \gamma$ Decay	
DEMIDOV	1990	SJNP 52 100	6 Measurement	of the $K^+  ightarrow \mu^+  u \gamma$ Dec	ay Probabili	ty	
AKIBA	1985	PR D32 2911	A Study of the	Radiative Decay K <sup>+</sup> -	$ ightarrow \mu^+  u_\mu \gamma$		

y



#### $K^+ \rightarrow \mu^+ \nu \gamma$ selection and analysis

I beam K<sup>+</sup> track

Q

- 1 secondary track identified as  $\mu$  in GAMS, GDA-100 and MC
- Decay vertex inside DV
- 1 e.m. shower in GAMS with E > 1GeV not associated with charged track
- $E_{GS} < 10 \text{ MeV}$ ;  $E_{EGS} < 100 \text{ MeV}$

#### Fit procedure

• x,y region is devided into strips  $\Delta x=0.05$  (~12 MeV)

Plot y-disribution; select cuts  $\{y_{\min}, y_{\max}\}$ ; plot ; select  $\cos_{\min} \operatorname{cut}$ ; Plot M<sub>K</sub>
Simultaneous fit of the 3 histograms, parameters- N ... N.

- Simultaneous fit of the 3 histograms, parameters-  $N_{sig}$ ,  $N_{bkg}$  COS  $\theta_{\mu\gamma}$ both signal(IB) and background shapes are taken from MC
- to correctly estimate errors, fit only  $M_{K}^{-}$  plot with initial parameters of the simultaneous fit







 $\chi PT O(p^4)$  fit :  $F_v = 0.096$ ;  $F_a = 0.042$ ;  $F_v - F_a = 0.054$ 

Red line is the result of the fit with  $p_{sig}(x)=p0(1+p1\cdot\phi_{INT}(x)/\phi_{IB}(x))$ p0 is the normalization  $p0=0.9952 \pm 0.005$ ;  $p1=Fv-Fa=0.135\pm0.017$  $\phi_{INT}(x)$ - x-distribution of reconstructed MC-signal weighted events  $w_{INT}=(M_K/F_K) f_{INT}(x_{true},y_{true}); \phi_{IB}(x)$ - the same with  $w_{IB}=f_{IB}(x_{true},y_{true})$ 



 $\begin{array}{l} \chi PT \ O(p^6) \ fit: \ Fv=Fv(0)(1+\lambda(1-x)); \ F_v(0)=0.082; \ \lambda=0.4 \ F_A=0.034 \\ \hline \ e \ Fit \ with \ fixed \ \chi PT \ O(p^6) \ parameters: \ \chi^2/NDF=29.0/9 \\ \hline \ e \ F_v(0) \ and \ F_A \ from \ \chi PT \ O(p^6), \ \lambda-free \ parameter \ \rightarrow \lambda=2.23\pm0.44; \ \chi^2/NDF=11.8/8 \\ \hline \ \ F_v(0) \ from \ \chi PT \ O(p^6), \ \lambda, \ F_A-free \ parameters \ \rightarrow (see \ the \ correlation \ plot \ on \ the \ right \ figure) \end{array}$ 

° and

#### Systematics

• Non-ideal description of signal and background by MC: $1.3 < \chi^2 / \text{NDF} < 1.7$	
Stat. errors in each bin of $N_{DATA}/N_{IB}$ -plot scaled with $\sqrt{(\chi^2/NDF)}$ . New value Fv-Fa=0.138±0.026 (nominal 0.134±0.021) –	$\rightarrow \sigma_{shape} = 0.012$
Width of -x- strips: Fv-Fa calculation repeated for 2 different values of width $\Delta x=0.035$ , $\Delta x=0.07$ (nominal 0.05)	$\rightarrow \sigma_{\Delta x} = 0.008$
The fit range in x (number of -x- strips): remove one or two bins on the left(right) edge.	$\rightarrow \sigma_x = 0.005$
• -y- limit in the strips: instead of maximizing $S/\sqrt{(S+B)}$ use FWHM from the signal MC	$\rightarrow \sigma_v = 0.005$
• Effect of INT+: INT+ term is added to $N_{DATA} / N_{IB}$ fit. The BNL E787 value $ Fv+Fa  = 0.165 \pm 0.013$ is used (±0.178)	$\rightarrow \sigma_{INT^+} = 0.018$
	$\rightarrow \sigma_{_{SYS}} = 0.024$

"OKA"	$F_{V}-F_{A} = 0.135 \pm 0.017_{stat} \pm 0.024_{syst}$
$\chi PT O(p^4)$	$F_{V} = \frac{\sqrt{2} M_{K}}{8 \pi^{2} F_{\pi}} = 0.096 ; F_{A} = \frac{4 \sqrt{2} M_{K}}{F_{\pi}} (L_{9}^{r} + L_{10}^{r}) = 0.042$ F_{E} = 0.054
χPT O(p <sup>6</sup> )	$\Gamma_V \Gamma_A = 0.034$ 2.8 6 difference out of $3\sigma$ ellipse
Lattice calcultations: $F_V - F_A = (0.083 \pm 0.000)$	013) -(0.019 ± 0.012) · $x_{\gamma}$ Phys. Rev. D 103, 014502 (2021) (2 $\sigma$ )

ExA (gauge non-local effective chiral action) S.Shim et al.,<br/> $F_V - F_A = 0.08$ Phys.Lett. B795 (2019)438-445<br/>(1.9  $\sigma$ )The measured value is in a reasonable agreement with ISTRA+ result:<br/>And with a (model dependent) result of BNL E865 (K<sup>+</sup>  $\rightarrow \mu^+ \nu \ e^+ e^- + \mu^+ \nu \ e^+ e^-$ )Phys.Lett. B795 (2019)438-445<br/>(1.9  $\sigma$ )F\_V - F\_A = 0.21 \pm 0.04\_{stat} \pm 0.04\_{syst} (1.17  $\sigma$ )(1.17  $\sigma$ )

Expect doubling of the statistics by the end of 2024

# Study of the decay $K^+ \rightarrow \pi^0 \mu \nu \gamma (K \mu 3 \gamma)$

 $\Gamma_{19}/\Gamma$ 

1500

1000

500

50 100 150

This decay complements Ke3y much better studied by OKA and NA62. OKA publications: JETP Lett. v.116 No 9 (2022), EPJ C(2021) 81.  $K^+\mu 3\gamma$  was first seen by ISTRA+ and KEK K470 in 2006 and later by BNL E787 in 2010.

For K<sup>0</sup> was discovered by NA48 in 1998 and later improved by KTeV in 2005 There are calculations of Branching and T-odd asymmetry:  $\xi = \vec{p}_v \cdot (\vec{p}_l \times \vec{p}_{\pi}) / m_K^3 = A_{\xi} =$ 

3000

2500

2000

1500

1000

100 150 200

_	$N_{\xi>0} - N_{\xi<0}$	
_	$N_{\xi>0} + N_{\xi<0}$	

$\Gamma(K^+$	$ ightarrow \pi^0 \mu^+$	$\nu_{\mu}\gamma$ )	$/\Gamma_{total}$	
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VALUE ( $10^{-5}$ )		CL%	EVTS	DOCUMENT ID		TECN	CHG	COMMENT
$\textbf{1.25} \pm \textbf{0.25}$	OUR AVERAGE							
$1.10 \pm 0.32 \pm 0.05$			23	<sup>1</sup> ADLER	2010	B787		$30 < E_\gamma < 60$ MeV
$1.46 \pm 0.22 \pm 0.32$			153	<sup>2</sup> TCHIKILEV	2007	ISTR	-	$30 < E_\gamma < 60~{ m MeV}$
• We do not use the following data for averages, fits, limits, etc. • •								
$2.4 \pm 0.5 \pm 0.6$			125	SHIMIZU	2006	K470	+	$E_\gamma >$ 30 MeV; $\Theta_{\mu\gamma} > 20^\circ$
<6.1		90	0	LIUNG	1973	HLBC	+	$E(\gamma$ ) $>$ 30 MeV

 $^{1}$  Value obtained from B(  $K^{+} 
ightarrow \pi^{0}\mu^{+}\nu_{x}\gamma$ ) = (2.51 ±0.74 ±0.12) ×10<sup>-5</sup> obtained in the kinematic region  $E_{x}$  > 20 MeV, and then theoretical  $K_{\mu3\gamma}$  spectrum has been used. Also B(  $K^{+} 
ightarrow$  $\pi^0 \mu^+ \nu_\mu \gamma$ ) = (1.58 ±0.46 ±0.08) ×10<sup>-5</sup>, for  $E_\gamma$  > 30 MeV and  $\theta_{\mu\gamma}$  > 20°, was determined.

<sup>2</sup> Obtained from measuring  $B(K_{u33}) / B(K_{u3})$  and using PDG 2002 value  $B(K_{u3}) = 3.27\%$ .  $B(K_{u33}) = (8.82 \pm 0.94 \pm 0.86) \times 10^{-5}$  is obtained for 5 MeV <  $E_{\gamma}$  < 30 MeV.

Kμ3γ theory	Branching $E_{y}^{*}>30 MeV \theta_{\mu y}>20^{\circ}$	Α <sub>ξ</sub> QED FSI				
Bijnens et al. Nucl.Phys. B <b>396</b> (1993) χPT O(p <sup>6</sup> )	1.9 x 10 <sup>-5</sup>					
Braguta et al. PR D65(2002), D68(2003) χPT O(p <sup>4</sup> )	2.15 x 10 <sup>-5</sup>	1.14x10-4				
Khriplovich et al. Phys.Atom.Nucl. 74(2011)	1.81 x 10 <sup>-5</sup>	2.38 x 10 <sup>-4</sup>				
From Braguta et al. D68(2003) for NP:	♦ 4500 ♥ ↓ 4000		2500			
$= -(3.6 \cdot 10^{-3} \mathrm{Im}(g_{\rm s}) + 1.2 \cdot 10^{-2} \mathrm{Im}(g_{\rm p}) + 1.0 \cdot 10^{-2} \mathrm{Im}(g_{\rm v} + g_{\rm a})) \qquad $						

$$A_{\xi} = -(3.6 \cdot 10^{-3} \, Im(g_s) + 1.2 \cdot 10^{-2} \, Im(g_p) + 1.0 \cdot 10^{-2} \, Im(g_v + g_a) \; )$$

Event selection

- 1 beam K<sup>+</sup> track
- 1 secondary track identified as  $\mu$  in GAMS, GDA-100 and  $\mu$ C
- Decay vertex inside DV C
- 3 e.m. shower in GAMS with E > 0.6GeV not ass. with track
- $\pi^0$  identification  $|m_{\gamma\gamma} m_{\pi 0}| < 15$  MeV (best combination)
- Q  $E_{miss} > 0.5 \text{ GeV}$
- Q. The position of radiative photon at GAMS surface is not near beam hole nor at the boudary
- $E_{GS} < 10 \text{ MeV}$ ;  $E_{FGS} < 100 \text{ MeV}$ Q
- Number of additional track segments after spectrometer magnet is zero
- Miss-mass  $(P_K P_{\pi^+} P_{\pi^0})^2 < 0.014 \text{ GeV}^2$  (against  $K \rightarrow \pi^+ \pi^0 \pi^0$  bkg) •

#### $30 \, MeV < E_{v}^{*} < 60 \, MeV$ OKA Preliminary



### **Study of the decay** $K^+ \rightarrow \pi^0 \mu \nu \gamma (K \mu 3 \gamma)$ Results

Branching : The decay  $\mathbf{K} \rightarrow \boldsymbol{\mu}^+ \mathbf{v} \pi^0$  is used for the normalisation

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Br(K\mu3\gamma)/Br(K\mu3) = (4.5 ± 0.25 (stat)) ·10<sup>-4</sup>, 30 MeV < E_{\gamma}^{*} < 60 MeV
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Using PDG value  $Br(K\mu 3) = 3.352\%$ :

Br(Kμ3γ) =  $(1.49 \pm 0.085 \text{ (stat)}) \cdot 10^{-5}$ ,  $30 \text{ MeV} < E_{\gamma}^* < 60 \text{ MeV}$ in agreement with ISTRA+ measurement, but statistical error is 3 times smaller. For the comparison with theory :

Br(Kμ3γ) = (2.0 ± 0.1 (stat)) · 10<sup>-5</sup>,  $E_{\gamma}^*>30 \text{ MeV}$ ,  $\theta_{\mu\gamma}>20^\circ$ Bijnens et al. χPT O(p<sup>6</sup>) 1.9 x 10<sup>-5</sup>, Braguta et al. χPT O(p<sup>4</sup>) 2.15 x 10<sup>-5</sup>, Khriplovich et al. 1.8 x 10<sup>-5</sup> For the T-odd asymmetry the result is:  $A_{\xi} = -0.006 \pm 0.069$ 











## Summary

✓ Search for the ALP in the decay  $\mathbf{K}^+ \rightarrow \pi^+ \pi^0 \mathbf{a}$  is performed. No signal found, 90% C.L. upper limit  $\mathbf{Br} < \mathbf{2.5} \cdot \mathbf{10}^{-6} \div \mathbf{2} \cdot \mathbf{10}^{-7}$  for the ALP mass from 0 to 200 MeV, except for the region of  $\pi^0$  mass, where the upper limit is  $4.4 \cdot 10^{-6}$ . A lower limit for the  $F_{sd}^A$  - coupling constant of the axion to the axial sd FCNC is  $F_{sd}^A > \mathbf{6.5} \cdot \mathbf{10}^7 \, \text{GeV}$  for the ALP mass below 70 MeV

✓ The radiative decay  $K^+ \rightarrow \mu^+ v \gamma$  is studied on statistics of ~144K events for 25 MeV < E\*<sub>γ</sub> < 150 MeV. A destructive interference between IB and SD- is clearly seen. The difference of vector and axial vector constants Fv-Fa is measured:

 $F_V - F_A = 0.135 \pm 0.017_{stat} \pm 0.024_{syst}$ 

which is 2.8  $\sigma$  from  $\chi$ PT O(p<sup>4</sup>) and 1.5  $\sigma$  from Lattice and E $\chi$ A.

The decay  $\mathbf{K}^+ \rightarrow \mathbf{\mu}^+ \mathbf{v} \pi^0 \gamma$  is studied on statistics of ~1K events for  $E_{\gamma} > 30$  MeV region. Branching fraction is measured:

 $Br(K\mu 3\gamma) = (1.98 \pm 0.1 \text{ (stat)}) \cdot 10^{-5}$ 

To be compared with  $\chi$ PT O(p<sup>4</sup>) 2.15 · 10<sup>-5</sup> ;  $\chi$ PT O(p<sup>6</sup>) 1.9 · 10<sup>-5</sup> An upper limit for the CP-odd asymmetry is obtained:

 $A_{\xi} = -0.006 \pm 0.069$  (  $A_{\xi} < 0.9$  90% C.L.)