Trajectories of bright stars and shadows around supermassive black holes as tests of gravity theories

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Общая теория относительности (ОТО), созданная более века назад, прошла проверку в различных экспериментальных и наблюдательных тестах. На ранней стадии своего развития предсказания ОТО проверялись в задачах, где гравитационное поле слабое, и релятивистские поправки можно рассматривать как малые возмущения ньютоновской теории гравитации. Однако в последние годы, в связи с развитием новых технологий, оказалось возможным проверить предсказания ОТО в пределе сильного гравитационного поля, как это было сделано для проверки предсказаний о профиле рентгеновской линии железа $K\alpha$, оценок гравитационного волнового сигнала при слиянии двойных черных дыр и/или нейтронных звезд и при восстановлении теней черных дыр в Sgr A* и M87*. Группы астрономов при помощи телескопов Кеск и VLT (GRAVITY), подтвердили предсказания ОТО в первом пост-ньютоновском приближения ее перицентра. Ожидается, что в ближайшем будущем наблюдения ярких звезд с помощью больших телескопов VLT (GRAVITY), Кеск, E-ELT и TMT позволят нам проверить предсказания ОТО в сильном гравитационном поле сверхмассивных черных дыр. Наблюдения ярких звезд в окрестностях Галактического Центра и реконструкции теней черных дыр позволяют не только проверить предсказания ОТО, но и получить ограничения на альтернативные теории гравитации.

General relativity (GR), created more than a century ago, has been tested in various experimental and observational tests. At an early stage of its development, GR predictions were tested in problems where the gravitational field is weak and relativistic corrections can be considered as small perturbations of the Newtonian theory of gravity. However, in recent years due to the progress of new technologies It turned out to be possible to verify the predictions of GR in the limit of a strong gravitational field, as it was done to verify predictions about the profile of the Xray line of iron $K\alpha$, estimates of the gravitational wave signal during the merger of binary black holes and/or neutron stars and during the restoration of the shadows of black holes in Sgr A* and M87*. Groups of astronomers using the Keck and VLT (GRAVITY) telescopes confirmed the GR predictions for the redshift of the spectral lines of the S2 star near the passage of its pericenter (these predictions were done in the first post-Newtonian approximation). It is expected that in the near future, observations of bright stars using large telescopes VLT (GRAVITY), Keck, E-ELT and TMT will allow us to verify the predictions of GRT in the strong gravitational field of supermassive black holes. Observations of bright stars in the vicinity of the Galactic Center and reconstructions of the shadows of black holes allow not only to verify the predictions of the Shadows of black holes allow not only to verify the predictions of the Shadows of black holes allow not only to verify the predictions of the GR, but also to obtain restrictions on alternative theories of gravity.

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1. Introduction

After WWII an importance of development of theoretical and experimental 4 aspects of general relativity (GR) started to be evident and the conference 5 dedicated to the Jubilee of Relativity Theory was organized by W. Pauli in Bern in 1955.² In 1957 the next conference (GR1) was organized by B. 7 De Witt in Chapel Hill [2] where he was the director of Institute of Field 8 Theory at University of North Carolina. The goal of this conference was q to provide a good platform where experimentalists could met theorists to 10 boost research in gravitational physics. Intensive discussions of opportunity 11 to detect gravitational waves were started by R. Feynman, H. Bondi, J. 12 Weber at this conference and now we could see that this brain storm was 13 very efficient. 14

In 1962 GR3 conference was organized in Warsaw and Jablonna (it was 15 the first conference where a large group of Western scientists met with 16 scientists from Eastern Europe (the conference was organized in times of 17 Cold War and the Iron Curtain was very high). Among 114 participants 18 33 participants were from Eastern countries. The chairman was L. Infeld. 19 Many outstanding scientists attended the conference including P.A. M. Dirac 20 (who got his Nobel prize many years before the conference), and many 21 others (including R. Feynman, S. Chandrasekhar, V. L. Ginzburg, P. Higgs, 22 R. Penrose) who got the Nobel prizes later. This fact illustrates a high 23 level of the conference organization and it is also the evidence that in 1962 24 GR studies are among the hottest topics in physics in spite of Feynman's 25 criticism of GR conferences [3,4]. A representative group of Soviet scientists 26 (11 people) attended the activity and two of them (V. Ginzburg and V. 27 A. Fock) presented plenary talks at the meeting. The title of Ginzburg's 28 talk was "Experimental Verification of General Relativity Theory" [5] (it 29 was the only talk devoted to observational tests of GR [6]). Later, Ginzburg 30 wrote a review about the most important and interesting problems in physics 31 and astrophysics and many versions of the review were published as journal 32 articles, chapters of Ginzburg's books and separate booklets (see, versions of 33 the review published in Physics Uspekhi [7,8]. Ginzburg called the articles 34 as realizations of the Project on Physical Minimum and he claimed that a 35 basic knowledge of is necessary to increase a qualification of young physicists 36 and astrophysicists.¹ These Ginzburg's articles provided a high impact on 37 scientific community in Russia and abroad. Astrophysical black holes are 38 directly connected with the following problems from the Ginzburg's list: 21. 39 Experimental verification of general theory of relativity; 22. Gravitational 40 waves and their detection; 25. Black holes. Cosmic strings (?);² 26. Quasars 41

²In cited reviews Ginzburg marked *Cosmic strings* by the question mark perhaps due

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²Now this conference is called usually GR0, see for instance isgrg.org/pastconf.php. Outstanding Soviet scientists V. A. Fock and A. D. Alexandrov attended the activity where A. D. Alexandrov (who was the Leningrad State University rector at this time) delivered a plenary talk there [1]. It was a visible recognition of a high reputation and a significant contribution of Soviet scientists in GR development at this time.

¹https://ufn.ru/tribune/trib230107.pdf

and galactic nuclei. Formation of galaxies; 27. The problem of dark matter
(hidden mass) and its detection.

Therefore, international conferences on GR played the extremely important 44 role to settle and solve many theoretical and experimental aspects of gravity 45 and to start intensive studies of theoretical and experimental aspects of 46 gravitational wave detection¹ because even the question about an existence 47 of gravitational waves was a subject of doubts among many researchers 48 including A. Einstein and many others and an existence of gravitational 49 waves started to much more clear in a result of efforts of many scientists. 50 For example, in 1936 Einstein and Rosen submitted under the title "Do 51 gravitational waves exist?" in Physical Review (it was his joint paper with N. 52 Rosen) and the Einstein's answer for the title question was "No" as it was 53 noted [9, 10]. Before the submission, Einstein wrote a letter to M. Born in 54 letter "Together with a young collaborator, I arrived at the interesting result 55 that gravitational waves do not exist through they had assumed certainly 56 to the first approximation" [11]. Einstein received a negative referee's report 57 from the Chief Editor of Physical Review (it was John Tate at this time). 58 Einstein was very angry and he wrote to Tate: "Dear Sir, We (Mr Rosen and 59 I) had sent you our manuscript for publication and had not authorized you 60 to show it to specialists before it is printed... On the basis of this incident I 61 prefer to publish the paper elsewhere..." [10]. After conversations with H. P. 62 Robertson and L. Infeld (who were in Princeton in 1936) Einstein revised his 63 conclusion and submitted the joint paper with Rosen in Journal of Franklin 64 Institute [12] and at the end the paper Einstein wrote "The second part 65 of this paper altered by ne after departure of Mr. Rosen for Russia since 66 we had interpreted our formula erroneously. I wish to thank my colleague 67 Professor Robertson for his friendly assistance in the clarification of the 68 original error." As it was noted in [10] Robertson was the referee of the paper 69 by Einstein and Rosen submitted in Physical Review. 70

2. Direct ways to evaluate gravitational potential near supermassive black holes

The most natural way to evaluate a gravitational potential is a consideration
of test body trajectories in the potential and comparison of theoretical orbits
calculated in the framework of a selected model with observational results.
For solar system potential this way was passed due to efforts of scientific
giants like Tycho Brahe, J. Kepler, R. Hooke and I. Newton. Really, Tycho
Brahe collected observational data about trajectories of planets, Kepler formulated
laws for planet motions, Hooke wrote a letter to Newton [13, 14] and later

to his doubts in existence of these objects in nature.

¹Active studies of opportunities to detect gravitational waves in Soviet Union started after the GR3 Conference because Soviet participants of GR3 learned during the activity about works by J. Weber who was creating the first gravitational wave bar-detector. No we know that great efforts of international collaborations LIGO and Virgo lead to the discoveries of gravitational waves and binary black holes.

Newton proved that really this gravity law could explain Kepler's laws for solar system and established his gravity law for all celestial objects in the Universe. Similarly, Rutherford used trajectories of α -particles to investigate a structure of atoms. Similarly, we could use trajectories of bright stars, gas clouds to test gravitational field in centers of galaxies including our Galactic Center.

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3. Bright stars as test bodies in centers of galaxies

Two groups of astronomers monitor bright stars near the Galactic Center 87 (GC) for decades (see early publications on the subject in [15, 16]). Currently 88 the group led by A. Ghez uses the Keck twin telescope in Hawaii while the 89 European group led by R. Genzel uses VLT facilities in Chile (currently, 90 four VLT telescopes with 8 m diameter mirrors can form the interferometer 91 which is called GRAVITY). Recently, relativistic predictions evaluated in the 92 framework of the first post-Newtonian approximation about gravitational 93 redshifts for S2 star near its pericenter passage in May 2018 have been 94 confirmed in observations (these conclusions were done by both Keck and 95 GRAVITY collaborations) [17–19]. The GRAVITY collaboration reported 96 about a discovery of hot spot motions near the innermost stable circular orbit 97 of supermassive black hole at the GC [20] and these achievements give an 98 opportunity to investigate GR predictions in a strong gravitational field limit. 99 In 2020 the GRAVITY collaboration found that the Schwarzschild precession 100 corresponds to its relativistic estimates done in the first post-Newtonian 101 approximation [21]. These observational results support the Newton's declaration 102 that the gravity law is universal elsewhere including the Solar system and 103 the Galactic Center. This conclusion is important since in last years theorists 104 proposed a number of alternative theories of gravity and gravity laws may 105 be different in different astronomical systems. 106

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4. Schwarzschild precession as a distance measure for GC model

Observations of bright stars at GC give an opportunity to choose the 108 most suitable model for gravitational potential. If in the first approximation 109 we can use a Newtonian approximation for gravity and we can assume that a 110 gravitational potential is spherically symmetrical, so we can test any theoretical 111 model in a spherical shell where the orbits of observed stars are located if 112 observation accurate enough even in the case if observers monitor a small 113 piece of an entire trajectory of a star. However, if we wish to rule out a set 114 of models which do not correspond to observations we could theoretically 115 evaluate the Schwarzschild precession for monitored stars for more than one 116 period and after that we could reject models (or gravity theories) which are 117 nor consistent with observations. Using such an approach we constrain GC 118 models with dark matter based on properties of the S2 star trajectory [22]. 119

5. Alternative theories of gravity

As the first case to constrain alternative theories of gravity we choose a 121 version of f(R) theory of gravity [23–25] where $f(R) = R^n$ (it is clear that for 122 n = 1 the theory coincides with GR) and this approach was proposed in [26]. 123 Later it was found that \mathbb{R}^n theory can explain an accelerated expansion of 124 the Universe [27] and flat rotation curves for spiral galaxies [28] or in other 125 words for some cases the considered gravity change could fit dark energy 126 (or A-term) and dark matter phenomena (naturally to explain DM and DE 127 phenomena n must be significantly different from unity, since really, to fit 128 supernovae type Ia data which was used to discover the accelerated expansion 129 of the Universe n parameter must be around 3 [27], while to fit rotation 130 curves for spiral galaxies we have to choose $n \approx 3.5$ [28]). However, Solar 131 system data are not consistent with so big n numbers since we found that n132 must be very close to 1 [29]. From a comparison of theoretical estimates and 133 observational data for the S2 star trajectory we concluded that n parameter 134 must be very close to 1 otherwise the precession is much greater than its 135 observed quantity [30]. Later we assumed a presence of \mathbb{R}^n gravity and a bulk 136 concentration of matter in GC we also obtained even more strict constraints 137 on n parameter [31] since both \mathbb{R}^n (for n > 1) and extended mass distribution 138 cause retrograde orbital precession. Evaluating the Schwarzschild precession 139 in the framework of Yukawa gravity and taking into account an absence of 140 the precession for S2 star orbit we constrained Yukawa gravity parameters 141 in [32] (it was useful result since there is a class of extended theories of 142 gravity which have an Yukawa gravity as a weak gravitational field limit [33]). 143 Constraints on parameters of extended gravity theory from observations of 144 the S2 trajectory are presented in [34]. 145

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6. Theories of massive gravity

The first version of gravity theory where graviton is massive was proposed 147 by Fierz and Pauli [35], however, later pathologies have been discovered in 148 this theory, in particular, a presence of ghosts have found [36]. A version 149 of massive theory of gravity have been developed by A. A. Logunov and 150 his group in the framework of relativistic theory of gravity and using this 151 approach astrophysical consequences were discussed, in particular, graviton 152 mass have been constrained [37,38] (see references therein as well) and the 153 graviton mass constraint $m_g < 9 \times 10^{-34}$ eV obtained in [37] is still the 154 strictest according to the last PDG review [39] (however, we have to note 155 that it very hard to control systematic errors in different estimates of graviton 156 mass bounds). 157

Several years ago C. de Rham and her co-authors found a way to construct a massive theory of gravity without ghosts [40] (see also a more extended review on the subject [41]). In the first publication on gravitational wave discovery [42] the LIGO and Virgo collaborations reported about detections of gravitational waves from binary black hole system and the authors considered

a massive theory of gravity and found a constraint on graviton mass $m_q <$ 163 1.2×10^{-22} eV. Assuming that massive gravity is valid at GC and considering 164 the S2 star trajectory observed by Keck and VLT telescopes we obtained a 165 graviton mass constraint $m_g < 2.9 \times 10^{-21}$ eV [43]. If we suppose that GR 166 estimates concerning the orbital precessions of bright stars will be confirmed 167 by future observations we showed that the current graviton mass estimate 168 could be significantly improved at a level around 5×10^{-23} eV [44] which is 169 comparable with constraints found by LIGO-Virgo collaborations. 170

7. Is it possible to substitute supermassive black hole with dark mattercloud in GC?

It was generally adopted that there supermassive black holes (SMBHs) in 173 galactic nuclei (including our GC). However, other models are also proposed. 174 For instance, it was suggested to substitute supermassive black hole in GC 175 by dense core and diluted halo produced by dark matter [45] (it is now called 176 RAR-model, since Ruffini, Argüelles and Rueda were the authors in [45]) it 177 was also declared [46] that this model provided a better fit of trajectories 178 of bright stars in comparison with the conventional model where SMBH 179 is a key component. However, if we adopt RAR-model for GC we have a 180 harmonic potential for the central core of dark matter and trajectories of 181 bright stars are elliptical where GC coincides with centers of ellipses while in 182 reality GC coincides with foci of observed trajectories of bright stars [47, 48]. 183 We should also mention that the RAR model for GC is not consistent the 184 shadow reconstruction in Sgr A^* [55] which was reproduced by the Event 185 Horizon Telescope Collaboration. 186

8. Shadows as black hole fingerprints

Thought experiments were popular at the dawn of general relativity 188 and quantum mechanics development. Around 50 years ago when people 189 started to analyze consequences of an existence of astrophysical black holes 190 James Maxwell Bardeen proposed to consider a bright screen behind an 191 astrophysical rotating black hole assuming that photons propagated along 192 geodesics (without scattering) and in this case he concluded that a virtual 193 observer could detect a small spot in the sky [50] (and later this spot was 194 called *shadow*). However, in these times people did not discuss the Bardeen's 195 consideration as a GR test or a test for BH existence in an observed astronomical 196 object, since first there is no a bright screen behind a selected black hole, 197 second, for known black hole candidates sizes of these shadows are extremely 198 small to be detected. Later, it was understood that secondary images should 199 be concentrated near shadows [51] and shapes and sizes of shadows could 200 be reconstructed from bright structure distributions around shadows and 201 we declared that the shadow for Sgr A^{*} could be reconstructed from global 202 (or and ground – space) VLBI observations in mm or/and sub-mm bands 203

(or X-ray band) [52] (simplifying our proposal we said that for theorists 204 black holes are vacuum solutions of Einstein equations while for observers 205 black holes are small spots (shadows) in the sky). These spectral bands were 206 chosen since H. Falcke at al. showed in numerical simulations [53] that for 207 1 cm or longer wave lengths scatter of photons on electrons could spoil bright 208 images around shadows while for 1.3 mm wave lengths or shorter shadows 209 could be detectable. Consequent studies confirmed our predictions in [52] 210 since the Event Horizon Telescope (EHT) Collaboration reconstructed the 211 shadow around Sgr A^{*} observed in April 2017 at 1.3 mm wavelength [55] 212 (earlier, the EHT reported about shadow reconstruction for M87^{*} [54]). In 213 spite of great differences in masses and distances for Sgr A^{*} and M87^{*} their 214 shadow diameters are comparable since as it was found we have 52 μas for 215 Sgr A^{*} and 42 μas for M87^{*}. We showed that a black hole spin could be 216 evaluated from an analysis of shadow shape [52]. 217

A cosmic plasma is quasi-neutral it is natural to expect that astrophysical 218 black hole has a very small electric charge. In spite of these expectations we 219 derived an analytical expression for a shadow size as a function of charge [56] 220 (we followed an approach used earlier in [57, 58]). It means that photons could 221 measure a black hole charge since a charge changes the Schwarzschild metric 222 with the Reissner – Nordström one. We also should to note that Reissner – 223 Nordström metric is a solution in Randall – Sundrum gravity theory with an 224 extra dimension [59]. Really, this solution looks like Reissner – Nordström 225 metric but it is a generalization of this solution since parameter q^2 may be 226 negative (q is a black hole charge) and Dadhich et al. called it a Reissner 227 - Nordström metric with a tidal charge since this additional parameter was 228 caused by an existence of an extra dimension [59]. Later, it was proposed to 229 adopt a Reissner – Nordström metric with a tidal charge for the GC [60], 230 however, it was shown that a significant negative tidal charge is inconsistent 231 with current estimates of a shadow size in Sgr A^* [61]. 232

Earlier we found allowed intervals for tidal charges based on EHT estimates of shadow sizes in M87^{*} [54] and Sgr A^{*} [55]. We will remind expression for a Reissner – Nordström black hole with a tidal charge in natural units (G = c = 1) in a form

$$ds^{2} = -\left(1 - \frac{2M}{r} + \frac{Q^{2}}{r^{2}}\right)dt^{2} + \left(1 - \frac{2M}{r} + \frac{Q^{2}}{r^{2}}\right)^{-1}dr^{2} + r^{2}(d\theta^{2} + \sin^{2}\theta d\phi^{2}),$$
(1)

where M is a black hole mass, Q is its charge. Constants E and L are connected with photon and they are describe photon geodesics, namely Eis photon's energy, L is its angular momentum. If we introduce normalized radial coordinate, impact parameter and charge $\hat{r} = r/M, \xi = L/(ME)$, $\hat{Q} = Q/M$. We introduce also variables $l = \xi^2, q = \hat{Q}^2$, then critical impact parameter corresponding to shadow radius [62]

$$l_{\rm cr} = \frac{(8q^2 - 36q + 27) + \sqrt{D}}{2(1-q)},\tag{2}$$

where $D = -512\left(q - \frac{9}{8}\right)^3$. As we noted earlier parameter q may be negative for a Reissner – Nordström black hole with a tidal charge (or for Horndeski scalar-tensor theory of gravity [63,64]).

The EHT Collaboration evaluated the shadow radius in M87^{*} and estimated 246 parameters of several spherically symmetric metrics which may be considered 247 as alternatives for Schwarzschild metric in M87^{*} [65]. In [66] we generalizes 248 results [65] for a Reissner – Nordström black hole with a tidal charge assuming 249 similarly to [65], that angular diameter of a shadow in M87* $\theta_{\rm sh~M87^*}$ \approx 250 $3\sqrt{3}(1\pm0.17)\theta_{g\ M87^*}$, at confidence level around 68% or $\theta_{sh\ M87^*} \in [4.31, 6.08]\theta_{g\ M87^*}$, 251 where $\theta_{\rm g\ M87*} \approx 8.1 \ \mu as$, since $\theta_{\rm g\ M87*} = 2M_{M87*}/D_{M87*}$ ($M_{M87*} = 6.5 \times$ 252 $10^9 M_{\odot}$ and $D_{M87*} = 17$ Mpc, we found $q \in [-1.22, 0.814]$ from Eq. (2). 253 In this case an upper limit for q parameter $(q_{upp} = 0.814)$ corresponds to 254 an upper parameter $\mathcal{Q}_{upp} = \sqrt{q_{upp}} \approx 0.902$, which corresponds to quantity 255 calculated numerically and shown in Fig. 2 in [65]. 256

Similarly to our previous estimates for tidal charge in M87^{*} in paper [67] we estimated a tidal charge for the black hole in GC. We used estimates of shadow radius in GC from [55]. Following these studies, we assume that the shadow diameter in GC is $\theta_{\rm sh M87^*} \approx (51.8 \pm 2.3) \ \mu as$ at C. L. 68% and in this case we obtain constraints for a tidal charge -0.27 < q < 0.25 at the same confidence level.

These results may be used for analytical estimates of charge for Kazakov Solodukhin (KS) black hole. Really Kazakov and Solodukhin considered a Schwarzschild black hole perturbed by quantum fluctuations [68]. We should note that black hole with a negative tidal charge (or scalar-tensor charge in Horndeski gravity) could treated as a good approximation for KS black hole for a small KS charge, really according to Eq. (3.21) in [68] we have

$$g(r) = -\frac{2M}{r} + \frac{1}{r} \left(r^2 - q_{KS}^2\right)^{1/2} \approx 1 - \frac{2M}{r} - \frac{q_{KS}^2}{r^2},\tag{3}$$

where q_{KS} is a KS charge. For small parameter q_{KS} approximation we could use previous estimates for a KS charge in Sgr A* $(q_{KS})^2 < 0.27$ ($(q_{KS}) < 0.52$). As we see in Fig. 2 in [65] the shadow radius is growing as q_{KS} is growing and it corresponds to the shadow diameter dependence of a tidal charge given in Eq. (2).

9. Conclusion

Observations of bright stars near the GC confirmed predictions of GR in 275 the first post-Newtonian approximation for gravitational redshift for S2 star 276 trajectory near its pericenter passage in May 2018. GRAVITY collaboration 277 found that the Schwarzschild precession for S2 star corresponds to GR predictions. 278 Several alternative theories of gravity were constrained with observations 279 of bright stars. Reconstructions of shadows in $M87^*$ and Sgr A^{*} give an 280 opportunity to check GR predictions in these objects and to constrain parameters 281 of alternative models for these objects [69, 70]. 282

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