

# Lattice simulations of the QCD chiral transition at real baryon density

Towards lattice QCD at not so small baryon densities

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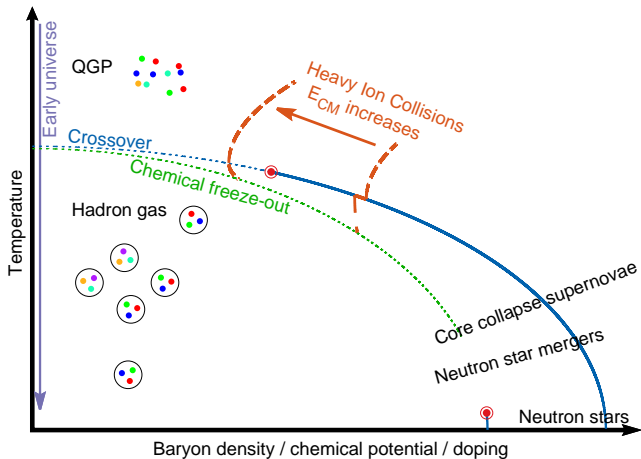
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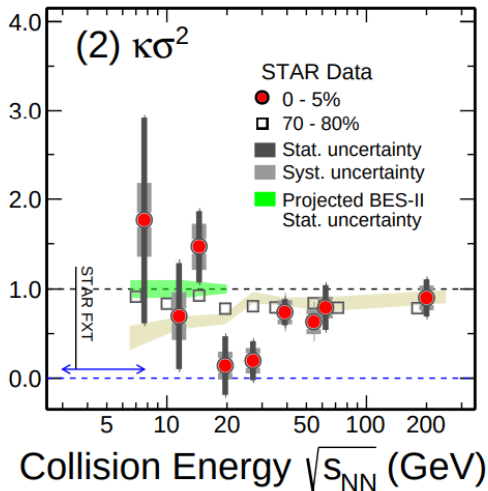
Hard Problems of Hadron Physics, Logunov Institute for High Energy Physics, Nov. 13, 2021

2004.10800 [hep-lat]; JHEP 05 (2020) 088; Giordano, Kapas, Katz, Nogradi, Pasztor  
2108.09213 [hep-lat]; Borsanyi, Fodor, Giordano, Katz, Nogradi, Pasztor, Wong

# The conjectured phase diagram of QCD



# The experimental search for the CEP



STAR, PRL126  
(2021) 9, 092301;  
from abstract: "models  
of heavy-ion collisions  
without a critical point  
show a monotonic  
variation as a function  
of  $s_{NN}$ "

Minimum at:  $\sqrt{s_{NN}} = 19.6\text{GeV} \rightarrow \mu_B \approx 200\text{MeV}$  and  $\mu_B/T \approx 1.3$

Lowest energy:  $\sqrt{s_{NN}} = 7.7\text{GeV} \rightarrow \mu_B \approx 400\text{MeV}$  and  $\mu_B/T \approx 3$

It would be nice to say something from first principle calculations.

## Why is finite $\mu_B$ so difficult for the lattice?

Lattice QCD is a set of theoretical and computational techniques to perform the Euclidean path integral:

$$Z = \int \mathcal{D}A_\mu \mathcal{D}\bar{\psi} \mathcal{D}\psi e^{-\frac{1}{4} \int F_{\mu\nu} F_{\mu\nu} - \int \bar{\psi} (\gamma_\mu \partial_\mu + \gamma_0 \mu + m) \psi}$$

we integrate out the fermions analytically, to get

$$Z = \int \mathcal{D}A_\mu \det M(A_\mu, \mu, m) \psi e^{-\frac{1}{4} \int F_{\mu\nu} F_{\mu\nu}}$$

where  $M$  is (a discretized version of) the Dirac-operator. We can simulate this with Monte Carlo techniques if  $\det M$  is **real and positive**:

- chemical potential  $\mu = 0$
- purely imaginary chemical potentials:  $\text{Re } \mu = 0$
- isospin chemical potential:  $\mu_u = -\mu_d$

Otherwise: **complex action or sign problem**

→ desperate times, desperate measures

# Approaches to finite density lattice QCD

Known approaches that try to side-step the complex action problem introduce additional serious problems. E.g.

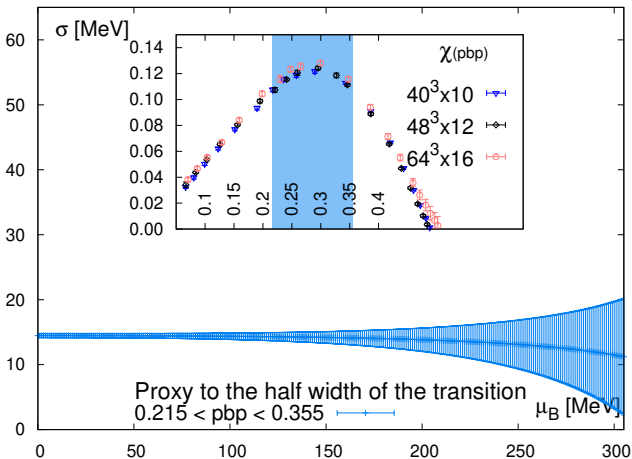
- Taylor and imaginary  $\mu$ : **analytic continuation problem**
- Reweighting and Taylor: **overlap problem**
- Complex Langevin: **convergence issues**
- ...

This talk:

→ a direct method

There is a sign problem, but if it is dealt with by sufficient statistics, the results are reliable, and errors (on a fixed lattice setup) are statistical only.

# Trying to look for criticality with analytic continuation



PRL 125 (2020) 5, 052001; Borsanyi, Fodor, Guenther, Kara, Katz, Parotto, Pasztor, Ratti, Szabo

Unpredictive in the phenomenologically interesting range from  $\mu_B/T = 1.3 \dots 3$

## Reweighting: in general

Target theory:  $Z_w$       Simulated theory:  $Z_r$

$$Z_w = \int \mathcal{D}U w(U) \quad w(U) = \det M[U, \mu) e^{-S_g[U]} \in \mathbb{C}$$

$$Z_r = \int \mathcal{D}U r(U) \quad r(U) > 0$$

$$\frac{Z_w}{Z_r} = \left\langle \frac{w}{r} \right\rangle_r$$

$$\langle O \rangle_w = \frac{\int \mathcal{D}U w(U) O(U)}{\int \mathcal{D}U w(U)} = \frac{\int \mathcal{D}U r(U) \frac{w(U)}{r(U)} O(U)}{\int \mathcal{D}U r(U) \frac{w(U)}{r(U)}} = \frac{\langle \frac{w}{r} O \rangle_r}{\langle \frac{w}{r} \rangle_r}$$

Two problems that are exponentially hard in the volume:

- $\frac{w}{r} \in \mathbb{C} \rightarrow$  the complex action problem became a **sign problem**
- Tails of  $\rho(\frac{w}{r})$  long  $\rightarrow$  **overlap problem**

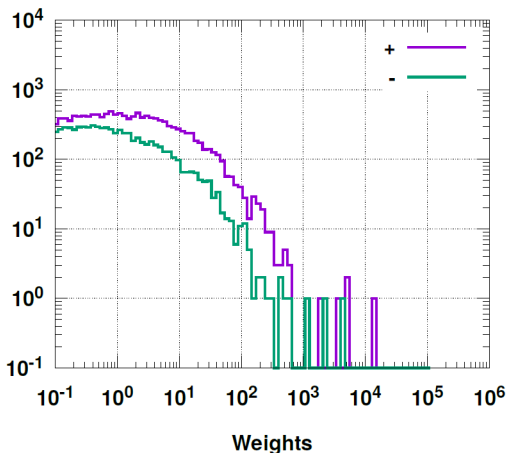
An old lattice estimate of the crit. pt. comes from reweighting from  $\mu = 0$  on very coarse lattices: Fodor, Katz; JHEP 04 (2004) 050

# Why does reweighting from $\mu = 0$ fail?

The expectation value of any observable:

$$\langle O \rangle_w = \frac{\langle \frac{w}{r} O \rangle_r}{\langle \frac{w}{r} \rangle_r}$$

The weights are the  $\frac{w}{r} \propto \frac{\det M(\mu)}{\det M(0)}$ . To calculate anything, we need to have control over the observable



The **sign problem is under control**, the **overlap problem is not**:  
Giordano, Kapas, Katz, Nogradi, Pasztor; PRD 102, 034503 (2020)



# Phase reweighting

A simple way to avoid long tails for the distribution of  $\frac{w}{r}$  is to make sure that  $w/r$  come from a compact space. E.g.

$$\begin{aligned} w &= e^{-S_g} \det M = e^{-S_g} |\det M| e^{i\theta} \\ r &= e^{-S_g} |\det M| \end{aligned} \quad \Rightarrow \quad \frac{w}{r} = e^{i\theta}$$

Some studies, e.g. Fodor, Schmidt, Katz; JHEP 03 (2007) 121  
Endrodi, Fodor, Katz, Sexty, Szabo, Torok; PRD 98 (2018) 7,074508  
Not pursued in large scale studies.

Common lore:

- PQ:  $|\det M| = |\det M_u(\mu) \det M_d(\mu)| = \det M_u(\mu) \det M_d(-\mu)$   
→ isospin chemical potential
- pion condensation for  $\mu_q \gtrsim \frac{m_\pi}{2}$
- $\langle e^{i\theta} \rangle_{PQ} = \frac{Z_{\mu_B}}{Z_{\mu_I}} = e^{-V(F_B - F_I)} \rightarrow$  severe sign problem

## Sign reweighting

$$Z = \int \mathcal{D}U e^{-S_g} \det M = \int \mathcal{D}U e^{-S_g} \text{Re det } M$$

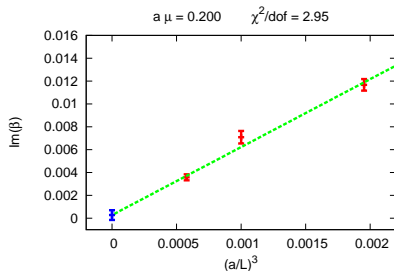
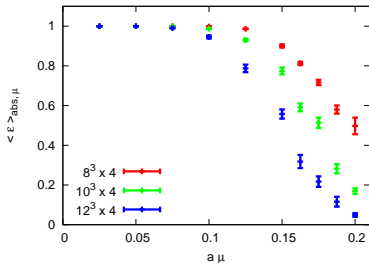
- Beware: the substitution  $\det M \rightarrow \text{Re det } M$  can be done in  $Z$  but not in generic expectation values.
- E.g. things like  $\frac{\partial^n \log Z}{\partial \mu_{ud}^n}$ ,  $\frac{\partial^n \log Z}{\partial m_{ud}^n}$  and  $\frac{\partial^n \log Z}{\partial \beta^n}$  can be calculated

A new choice of a theory to reweight to and from:

$$\begin{aligned} w &= e^{-S_g} \text{Re det } M \\ r &= e^{-S_g} |\text{Re det } M| \end{aligned} \quad \Rightarrow \quad \frac{w}{r} = \text{sgn} \cos \theta = \pm 1$$

- The weights are  $\epsilon = \pm 1 \rightarrow$  No tail, **no overlap problem**
- $\langle \pm \rangle_r$  measures the strength of the **sign problem**
- de Forcrand, Kim, Takaishi; Nucl. Phys. B Proc. Suppl. 119, 541 (2003)  $\rightarrow$  optimal choice for  $\frac{w}{r} = f(\theta)$
- But: hard to simulate with weights  $\propto |\text{Re det } M|$

# Numerical test - unimproved staggered at $N_\tau = 4$



JHEP 05 (2020) 088; Giordano, Kapas, Katz, Nogradi, Pasztor  
Consistent with the 2004 paper. BUT: to start being relevant for  
phenomenology, a much better lattice action has to be used

# Understanding the strength of the sign problem

The strength of the sign problem is governed by the same underlying probability distribution:

$$P_{PQ}(\theta) = \langle \delta(\theta - \text{Arg}(\det M)) \rangle_{PQ}$$

With a known  $P_{PQ}$  we have:

$$\begin{aligned} \langle \cos \theta \rangle_{PQ} &= \int_{-\pi}^{+\pi} P_{PQ}(\theta) \cos \theta d\theta \\ \langle \text{sgn} \cos \theta \rangle_{SQ} &= \frac{\int_{-\pi}^{+\pi} P_{PQ}(\theta) \cos \theta d\theta}{\int_{-\pi}^{+\pi} P_{PQ}(\theta) |\cos \theta| d\theta} \end{aligned}$$

2-step approximation:

(i) leading order cumulant:  $P_{PQ}(\theta) \sim$  wrapped Gaussian

(ii) leading order Taylor  $\langle \theta^2 \rangle_{LO} = -\frac{4}{9} \chi_{11}^{ud} (LT)^3 \hat{\mu}_B^2$

QCD input:  $\chi_{11}^{ud} = \frac{1}{T^2} \frac{\partial^2 p}{\partial \mu_u \partial \mu_d} \Big|_{\mu=0}$

# Analytic formulas for the asymptotic behavior

**Weak sign problem: small  $\mu$  or  $V$**

$$\langle \cos \theta \rangle_{T,\mu}^{\text{PQ}} \sim 1 - \frac{\sigma^2(\mu)}{2}$$

$$\langle \varepsilon \rangle_{T,\mu}^{\text{SQ}} \sim 1 - \left(\frac{4}{\pi}\right)^{\frac{5}{2}} \left(\frac{\sigma^2(\mu)}{2}\right)^{\frac{3}{2}} e^{-\frac{\pi^2}{8\sigma^2(\mu)}}$$

$\langle \text{sgn} \cos \theta \rangle_{\text{SQ}}$  approaches 1 faster than any polynomial.

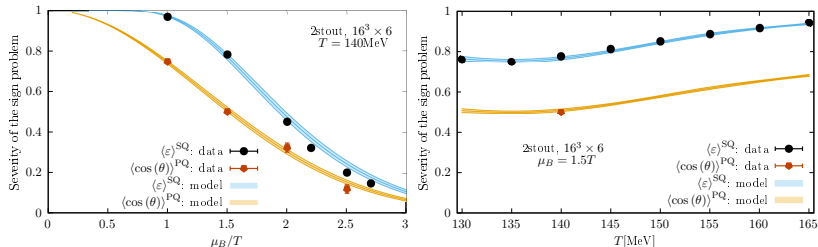
**Strong sign problem: large  $\mu$  or  $V$**

$$\frac{\langle \varepsilon \rangle_{T,\mu}^{\text{SQ}}}{\langle \cos \theta \rangle_{T,\mu}^{\text{PQ}}} \sim \frac{\pi}{2},$$

→ a factor of  $(\frac{\pi}{2})^2 \approx 2.5$  in statistics asymptotically

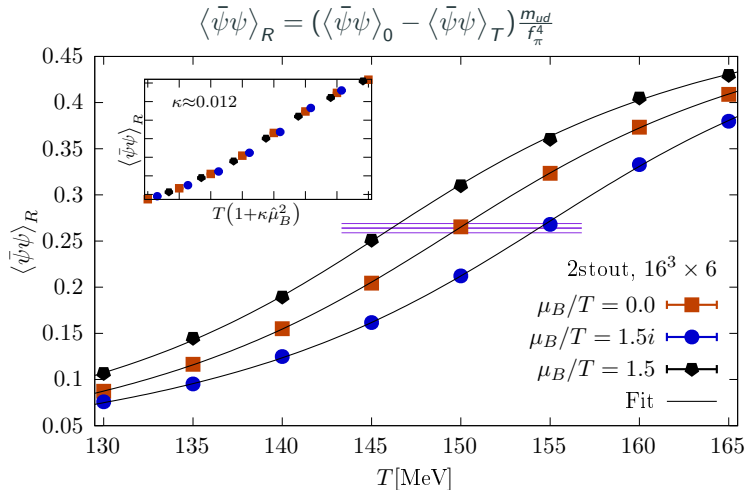
There is a chance for a window at intermediate chemical potentials, where the sign problem with sign quenched is still weak.

# The simulated strength of the sign problem



- Statistics required  $\propto 1/(\text{strength of the sign problem})^2$
- Small  $\mu$  model describes actual data pretty well
- Const. strength of the sign problem for const.  $(LT)^3 (\frac{\mu_B}{T})^2$  (roughly)
- For  $LT = 16/6 \approx 2.7$  the sign problem is manageable for the entire RHIC Beam Energy Scan range

# Temperature scan - 2stout improved $N_\tau = 6$

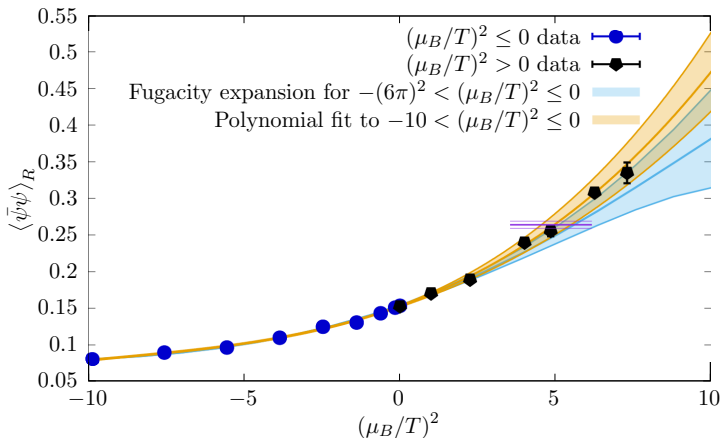


Similar rescalings in the imaginary  $\mu_B$  direction:

W-B: PRL 126 (2021) 23, 232001; W-B: PRL 125 (2020) 5, 052001;

Also works at real  $\mu_B \rightarrow$  no sign of a strengthening crossover

# Chemical potential scan - 2stout improved $N_\tau = 6$



$T = 140\text{MeV}$  and  $0 \leq \mu_B \leq 380\text{MeV}$ . The direct method penetrates the region where errors from analytic continuation blow up!



# Summary

- Methods to study finite density QCD are typically not bottlenecked by the sign problem itself but other effects
- Observables that are sensitive to criticality are unknown for say  $\mu_B/T \geq 1.5$
- We advocate a "new" reweighting method that is free from the overlap problem in the weights and is therefore only bottlenecked by the sign problem itself
- The sign problem is manageable for the RHIC BES range
- Penetrates the region where extrapolation methods are not that predictive
- First physics results
- Active research: cutting the costs with algorithmic tricks  
2D scan of the  $T - \mu_B$  plane