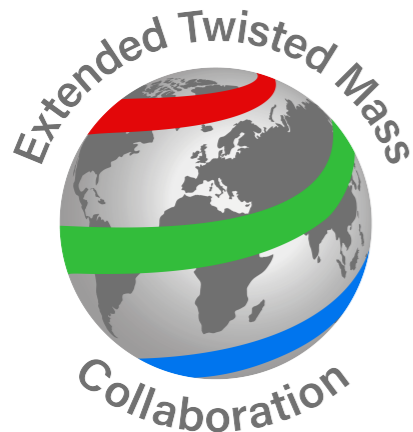


# Nucleon axial form factors from lattice QCD



*Constantia Alexandrou*



**STIMULATE**  
European Joint Doctorates

The 23<sup>rd</sup> International Workshop on High Energy Physics  
*Hard problems of hadron physics: non-perturbative QCD and related quests*  
8-12 Nov. 2021

# Motivation

- Proton Electromagnetic form factors are well-measured
  - can be used as bench mark quantities for lattice QCD
- Axial form factors are not well measured
  - important for weak interactions, neutrino scattering, and parity violation experiments.
  - check of PCAC and Goldberger-Treiman relations, and enables extraction axial and pseudo-scalar couplings

C. A. *et al.* (ETMC) *Phys.Rev.D* 103 (2021) 3, 034509, arXiv: 2011.13342

C. A., S. Bacchio, M. Constantinou, K. Hadjiyiannakou, K. Jansen G. Koutsou, *Phys.Rev.D* 104 (2021) 074503, arXiv: 2106.13468

# Introduction

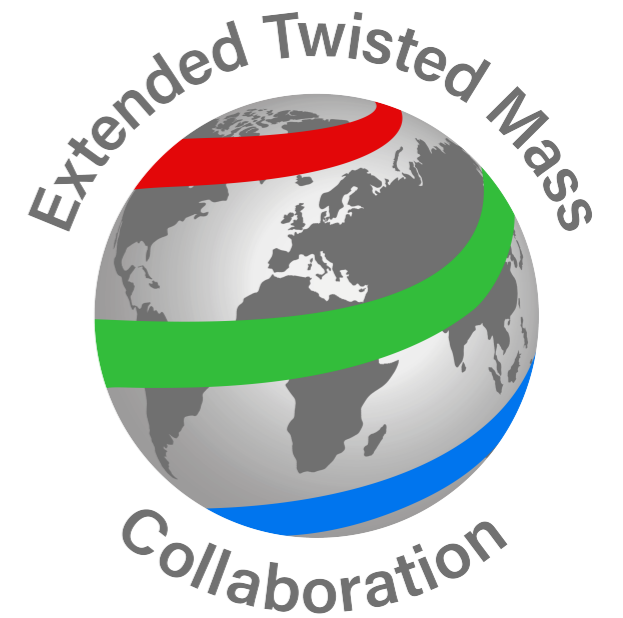
## ✱ Gauge ensembles generated by ETMC

These are now generated with 2+1+1 flavours  
at physical values of the light, strange and charm quark masses

## ✱ Analysis of these ensembles for various observables

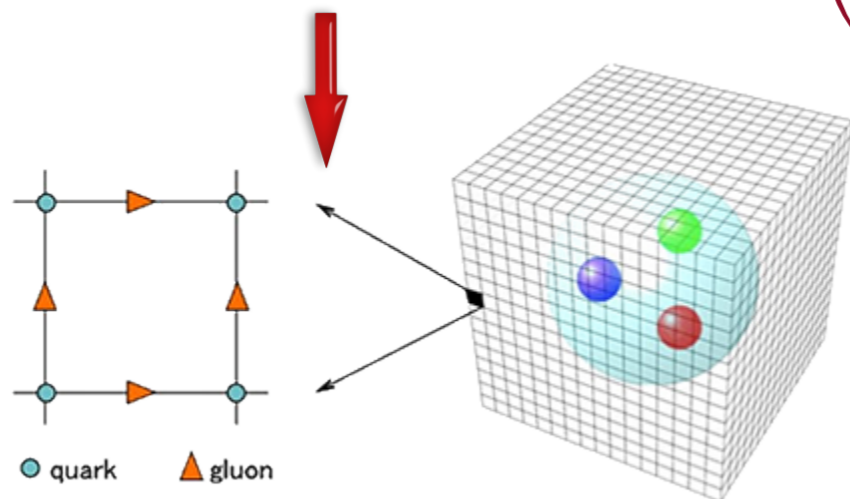
## ✱ Main collaborators for nucleon form factors

- *S. Bacchio, The Cyprus Institute*
- *M. Constantinou, Temple University*
- *J. Finkenrath, The Cyprus Institute*
- *K. Hadjiyiannakou, University of Cyprus & The Cyprus Institute*
- *K. Jansen, DESY-Zeuthen*
- *G. Koutsou, The Cyprus Institute*
- *T. Leontiou, Frederick University*

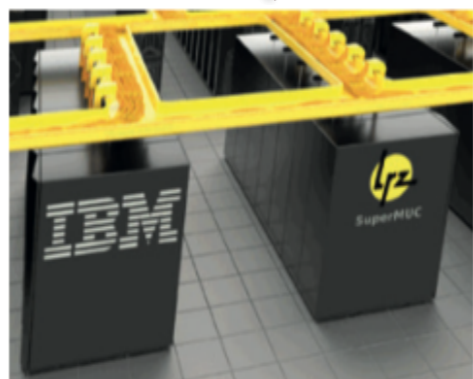


# Extraction of matrix elements in lattice QCD

$$\langle \mathcal{O} \rangle = \frac{1}{Z} \int \mathcal{D}[U] \mathcal{O}(D^{-1}[U], U) \left( \prod_{f=u,d,s,c} \text{Det}(D_f[U]) \right) e^{-S_{\text{QCD}}[U]}$$



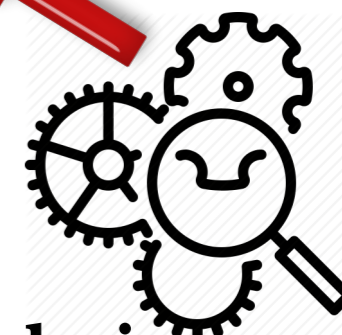
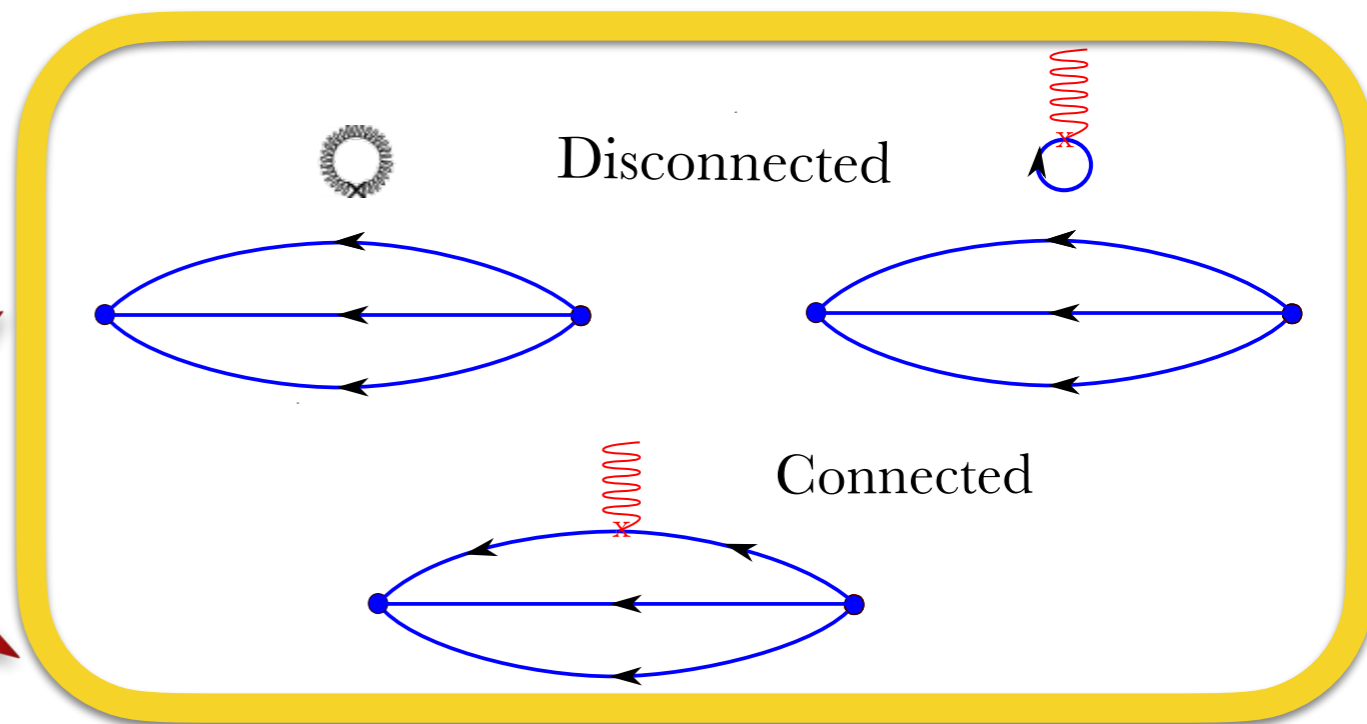
Simulation of gauge configurations U



Quark propagators



contractions



Data Analysis



# Computational resources



Summit, OLCF



Piz Daint, CSCS



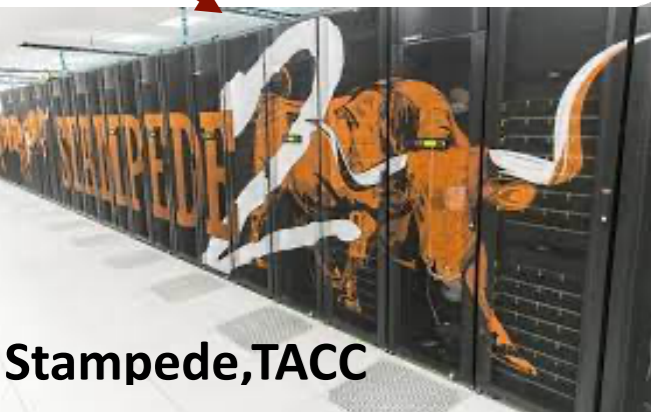
JSC



HAWK, HLRS



SuperMUC, LRZ



Stampede, TACC



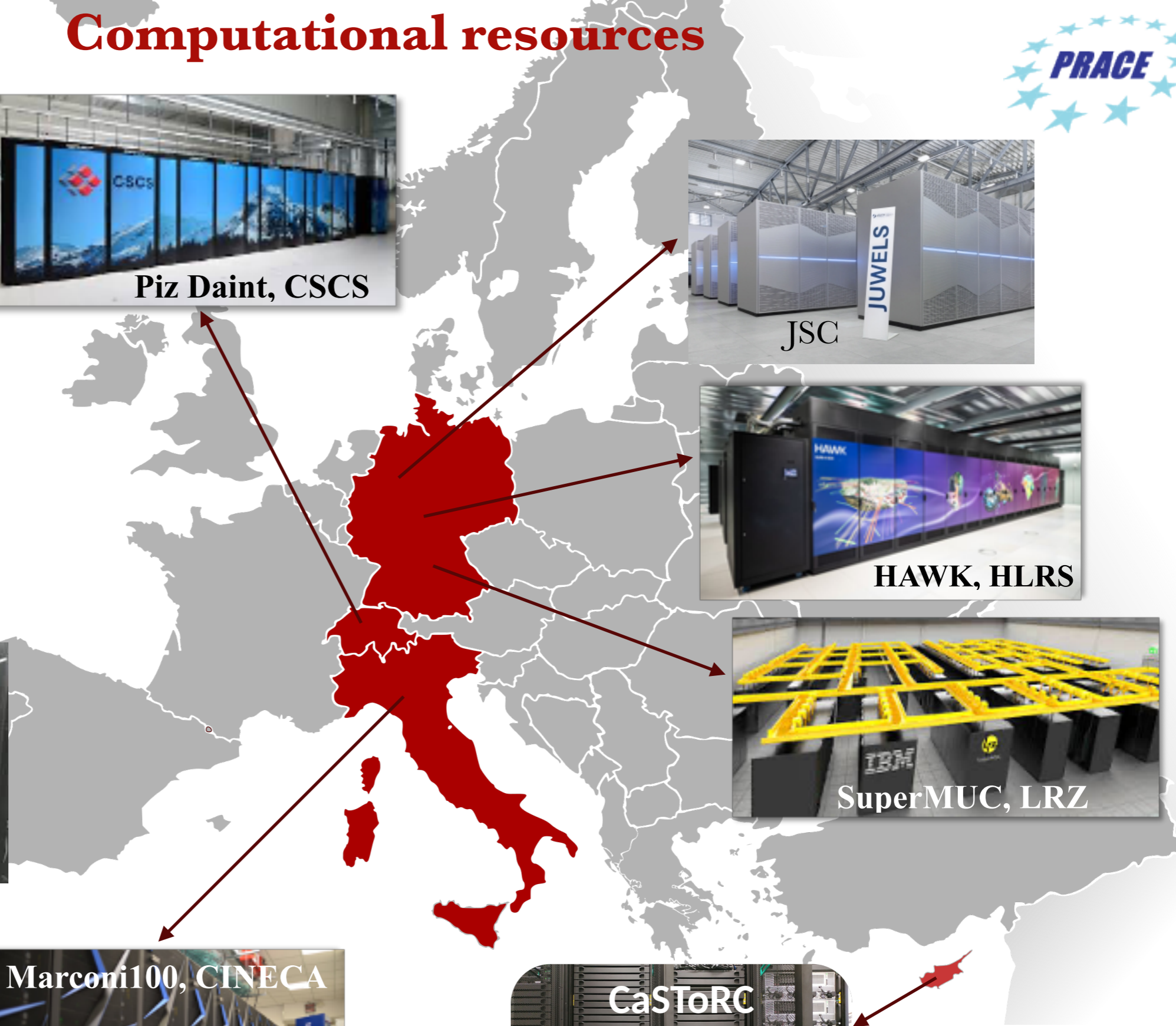
Marconi100, CINECA



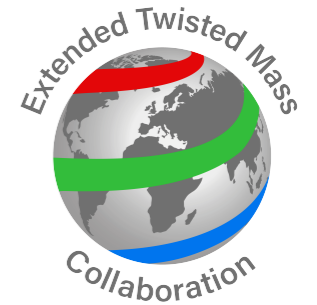
CaStoRC

THE CYPRUS INSTITUTE  
RESEARCH • TECHNOLOGY • INNOVATION

USA

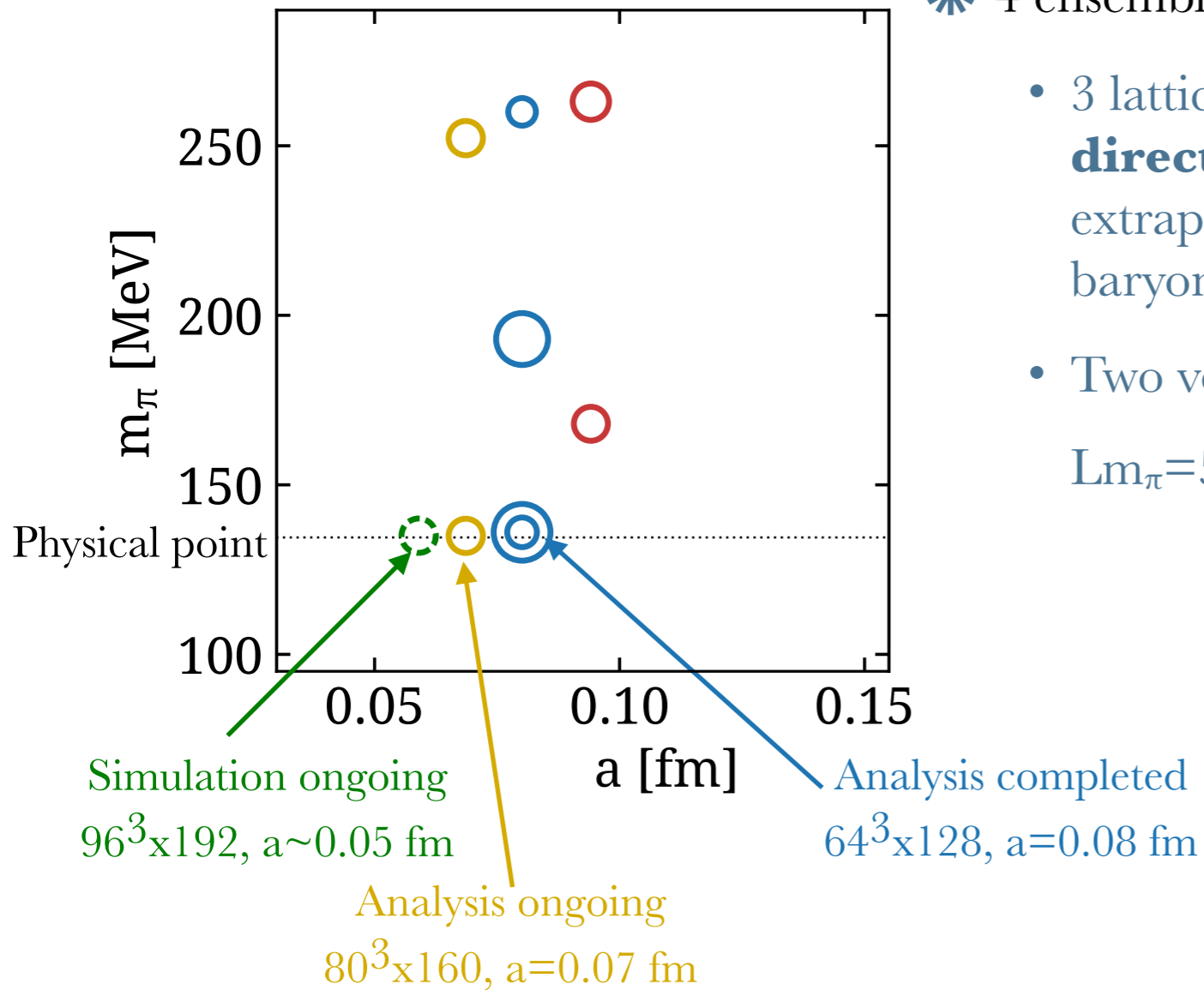


# Gauge ensembles generated by ETMC



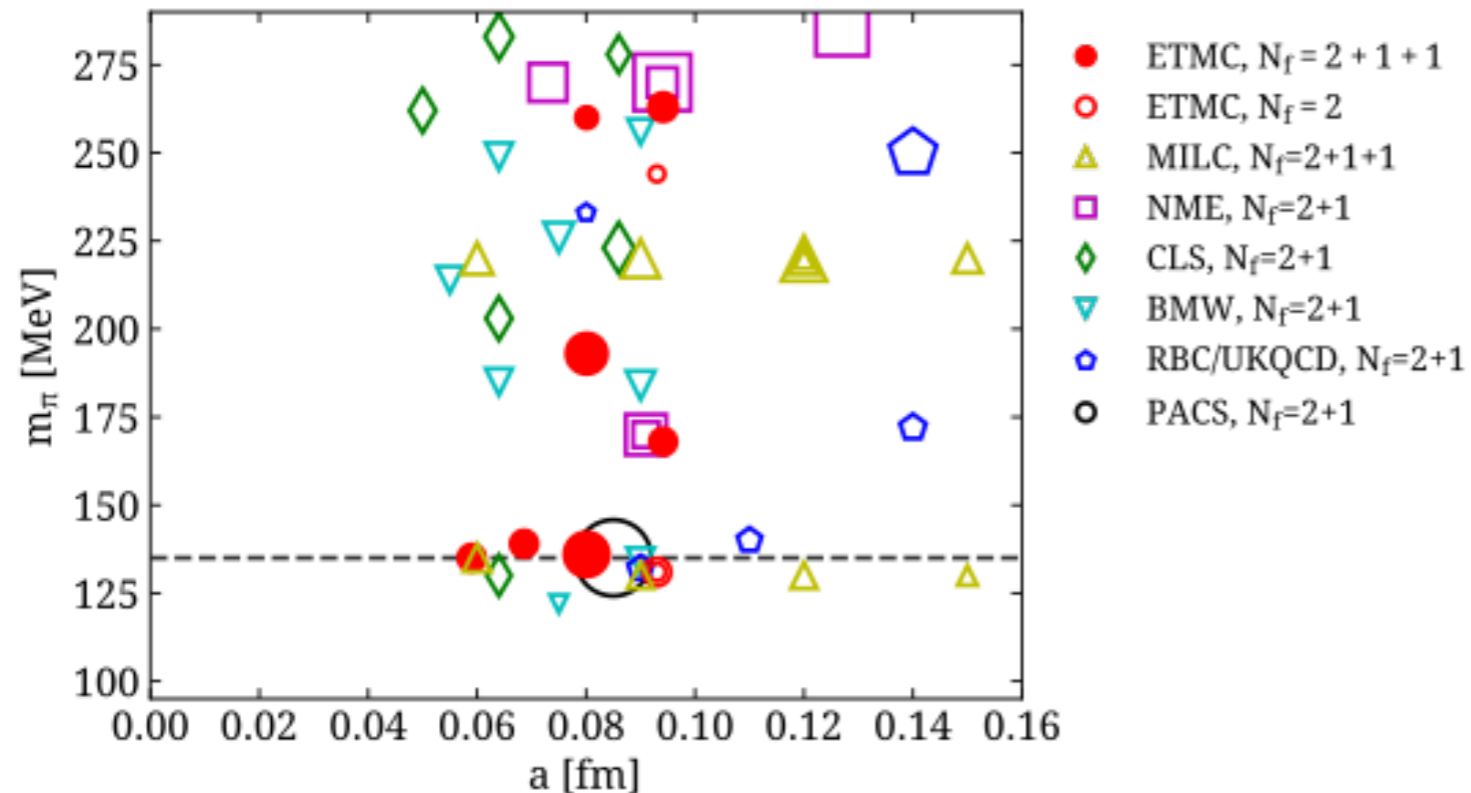
$N_f=2+1+1$  ETMC ensembles

✱ 4 ensembles at physical pion mass



- 3 lattice spacings  $0.05 < a < 0.1$  fm  $\rightarrow$  take continuum limit **directly at the physical point** avoiding chiral extrapolation removing a major systematic error in the baryon sector
- Two volumes at  $a=0.08$  fm of  $L_{m_\pi}=3.6$  (5.1 fm) and  $L_{m_\pi}=5.4$  (7.7 fm)

# Status of current simulations



✳ Algorithmic improvements needed to go to  $a < 0.05$  fm due to critical slow down in HMC (long autocorrelations)

—> new approaches e.g. Machine learning approaches using equivariant flows

G. Kanwar, et al., Phys. Rev. Lett. 125 (2020) no.12, 121601, 2003.06413; D. Boyda, et al., 2008.05456

✳ A number of collaborations has physical point ensembles:

▶ Wilson-type: **ETMC**, **BMW**, **CLS**, PACS

- Most have 1-2 lattice spacings  $0.05 < a < 0.1$  fm
- PACS has a large volume ensemble

▶ Staggered at physical point: **MILC** with 3 lattice spacings  $0.06 < a < 0.15$  fm

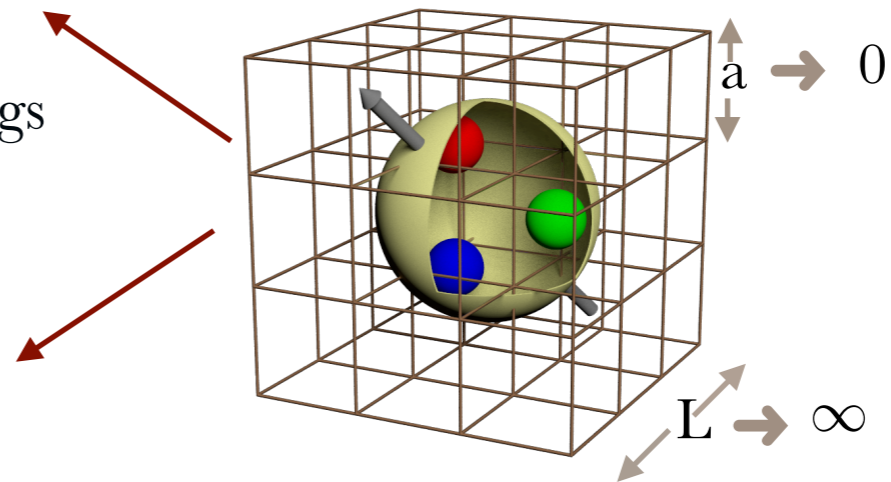
▶ Domain wall at physical point **RBC/UKQCD** with 2 lattice spacings




# Systematics & Challenges

- \* **Discretisation effect:** Continuum limit  
—> need simulations for at least 3 lattice spacings

- \* **Finite volume effects:** Infinite volume limit  
—> need simulations for at least 3 volumes



Typically done using simulations for heavier than physical values of the pion mass

- **Simulations directly at the physical point**   
Systematic effects from chiral extrapolation are eliminated

- **Ground-state identification**  
Cross-check (one-, two- and three-state fits, summation)  
Two-particle state contribution complicate the identification of the ground state

- **Renormalisation**  
Non-perturbatively with improvements e.g using perturbative subtraction of lattice artefacts

- In what follows we assume **isospin symmetry** i.e. up and down quarks have equal mass, and **neglect EM effects**

# Axial and pseudo scalar form factors (isovector)

Extract from  $\longrightarrow$   $\langle N(p', s') | A_\mu | N(p, s) \rangle = \bar{u}_N(p', s') \left[ \gamma_\mu G_A(Q^2) - \frac{Q_\mu}{2m_N} G_P(Q^2) \right] \gamma_5 u_N(p, s)$

lattice QCD  $\longrightarrow$   $\langle N(p', s') | P_5 | N(p, s) \rangle = G_5(Q^2) \bar{u}_N(p', s') \gamma_5 u_N(p, s) \quad q^2 = -Q^2$

✱ Check the PCAC :  $\partial^\mu A_\mu = 2m_q P, \quad m_q = m_u = m_d$

$$G_A(Q^2) - \frac{Q^2}{4m_N^2} G_P(Q^2) = \frac{m_q}{m_N} G_5(Q^2)$$

Goldberger-Treiman relation

✱ Relate to pion field:  $G_5(Q^2) = \frac{F_\pi m_\pi^2}{m_q} \frac{G_{\pi NN}(Q^2)}{m_\pi^2 + Q^2}$

$\longrightarrow$   $G_P(Q^2) = \frac{4m_N^2}{Q^2 + m_\pi^2} G_A(Q^2) \quad m_N G_A(Q^2) = F_\pi G_{\pi NN}(Q^2)$

✱ At the pion pole we get the pion nucleon coupling:  $g_{\pi NN} \equiv G_{\pi NN}(Q^2 = -m_\pi^2)$

$$\lim_{Q^2 \rightarrow -m_\pi^2} (Q^2 + m_\pi^2) G_P(Q^2) = 4m_N F_\pi g_{\pi NN}$$




and  $g_{\pi NN} = m_N G_A(-m_\pi^2) / F_\pi \xrightarrow{m_\pi \rightarrow 0} \frac{m_N}{F_\pi} g_A$

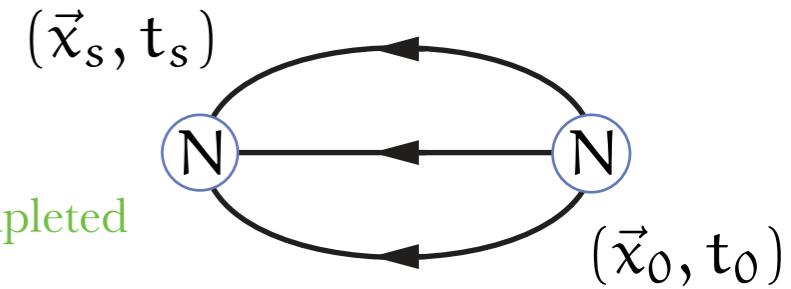


# **Extracting Nucleon Axial Form Factors**

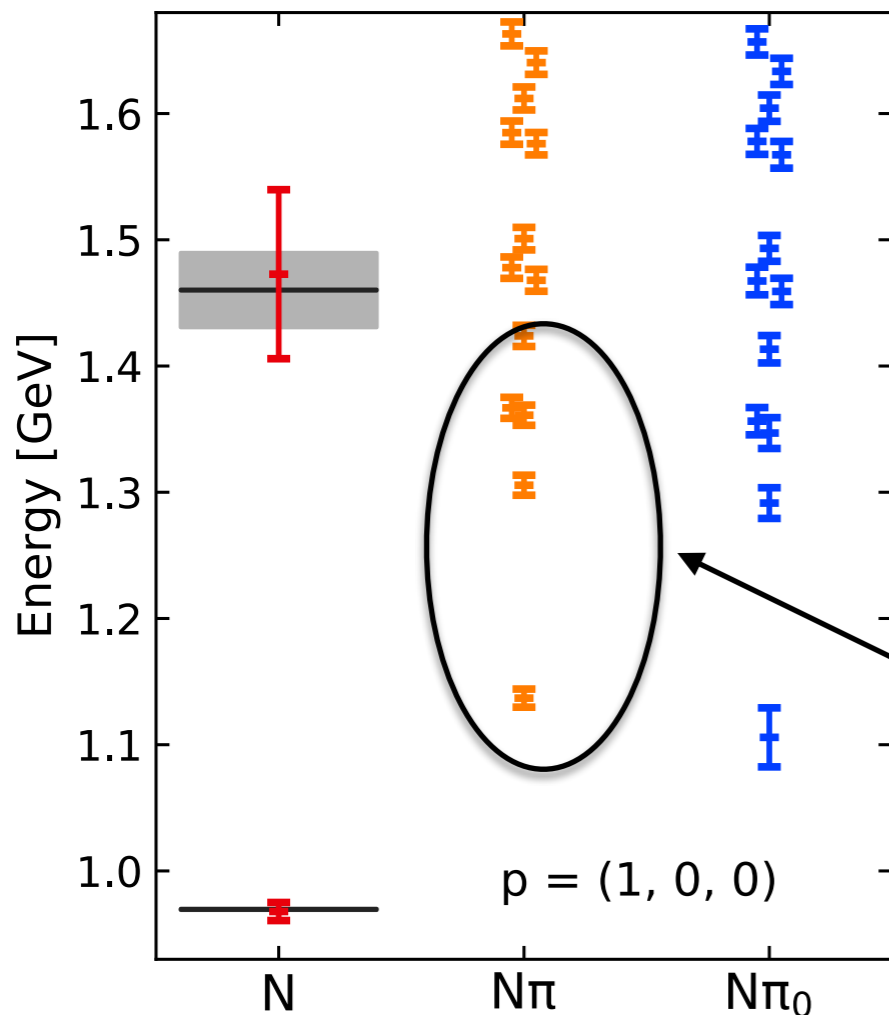
# $N_f=2=1+1$ twisted mass fermion ensembles

$N_f$	Ens. ID	Vol.	$a$ [fm]
2+1+1	cB211.072.64	$64 \times 128$	0.081
2+1+1	cB211.072.96	$96 \times 192$	0.081
2+1+1	cC211.060.80	$80 \times 160$	0.070

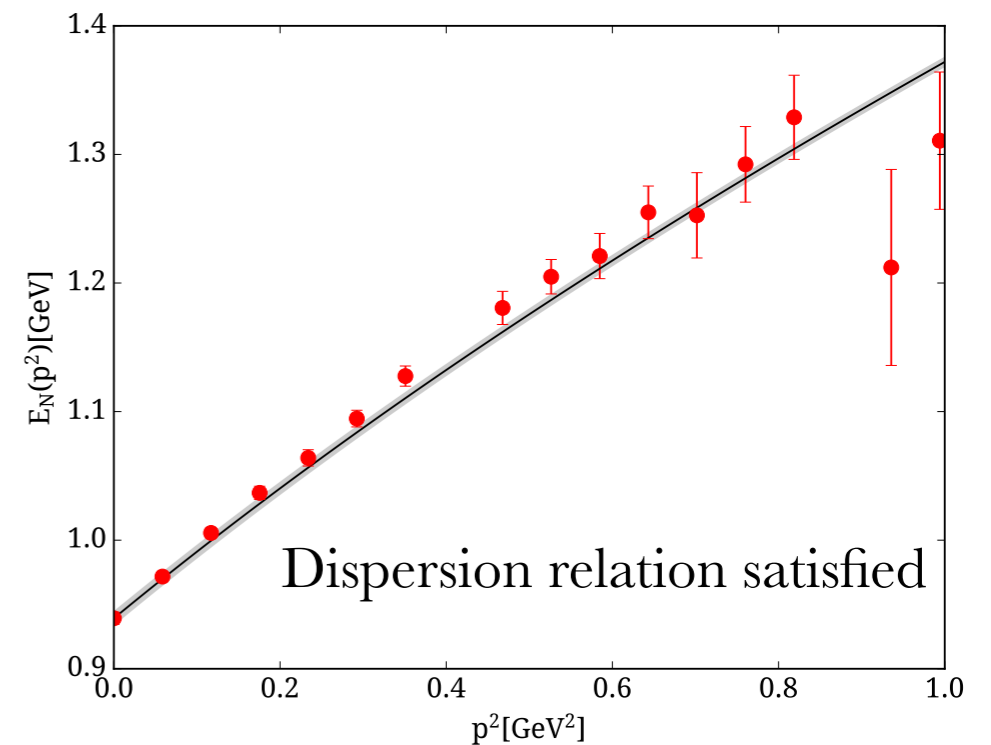
 Analysis completed  
 Analysis ongoing  




$$C_{2\text{pt}}(\Gamma_0; \vec{p} = \vec{0}, t_s) = \sum_{\vec{x}_s} \text{Tr} [\langle \Gamma_0 J_N(t_s, \vec{x}_s) \bar{J}_N(t_0, \vec{x}_0) \rangle ] \xrightarrow{t_s \rightarrow \infty} A_0 e^{-m_N t_s} + A_1 e^{-E_1 t_s} + \dots$$

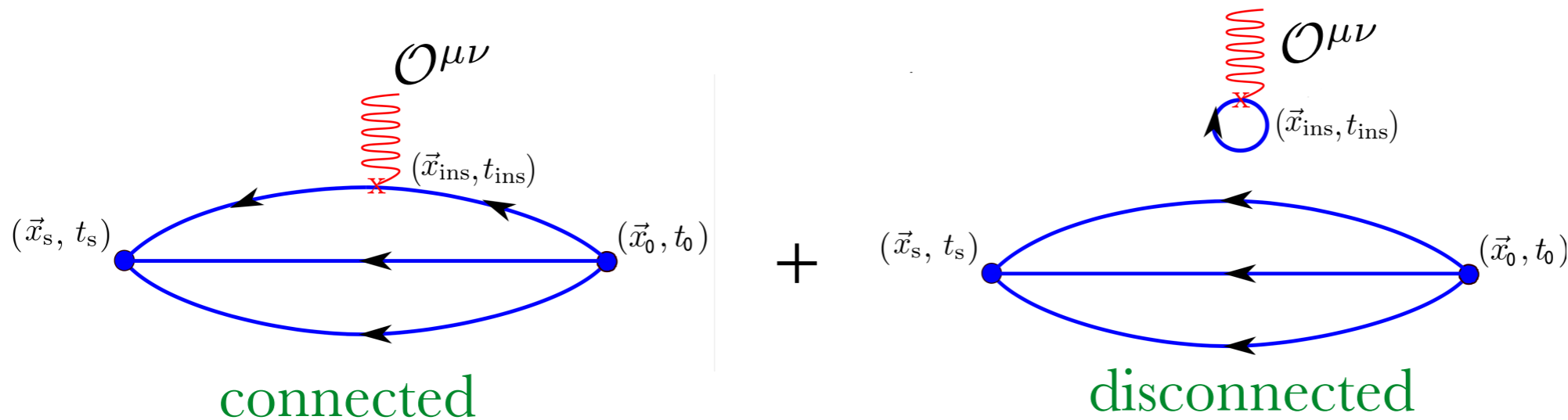


Two-particle states not seen in 2-point function



# Nucleon matrix elements

$$C_{3\text{pt}}^{\mu\nu}(\Gamma; \vec{q} = 0, t_s, t_{\text{ins}}) = \sum_{\vec{x}_{\text{ins}}, \vec{x}_s} \text{Tr} [\langle \Gamma J_N(t_s, \vec{x}_s) \mathcal{O}^{\mu\nu}(t_{\text{ins}}, \vec{x}_{\text{ins}}) \bar{J}_N(t_0, \vec{x}_0) \rangle]$$



## \* Identification of nucleon matrix element $\mathcal{M}$ ( $t_0=0$ )

Plateau and two-state fit:

$$R^{\mu\nu}(\Gamma; \vec{q} = \vec{0}, t_s, t_{\text{ins}}) = \frac{C_{3\text{pt}}^{\mu\nu}(t_s, t_{\text{ins}})}{C_{2\text{pt}}(\Gamma_0, t_s)} \longrightarrow \boxed{\mathcal{M}} + \mathcal{O}(e^{-\Delta E(t_s - t_{\text{ins}})}) + \mathcal{O}(e^{-\Delta E t_{\text{ins}}})$$

Summation:

$$\sum_{t_{\text{ins}}=a}^{t_s-a} R^{\mu\nu}(\Gamma; \vec{q} = \vec{0}, t_s, t_{\text{ins}}) \longrightarrow c + \boxed{\mathcal{M}} t_s + \mathcal{O}(e^{-\Delta E t_s})$$

Included in the two-state fit

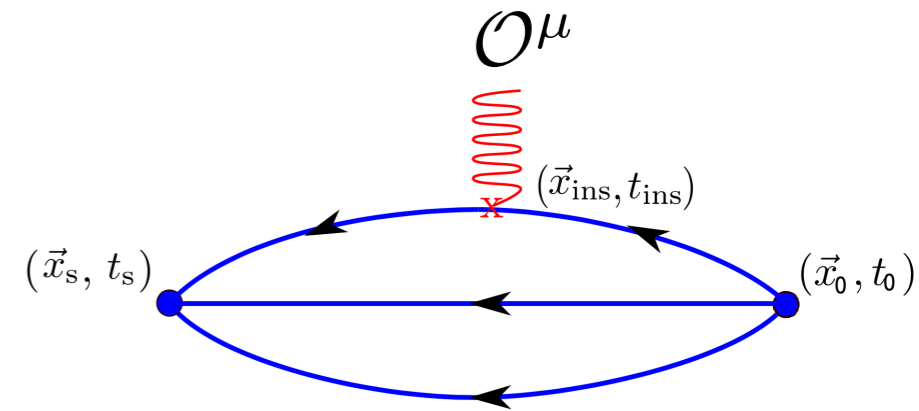
# Isvector matrix elements

$N_f$	Ens. ID	Vol.	$a$ [fm]
2+1+1	cB211.072.64	$64 \times 128$	0.081
2+1+1	cB211.072.96	$96 \times 192$	0.081
2+1+1	cC211.060.80	$80 \times 160$	0.070

← Analysis completed

← Analysis ongoing

←



for isovector only connected

## Statistics for connected contribution

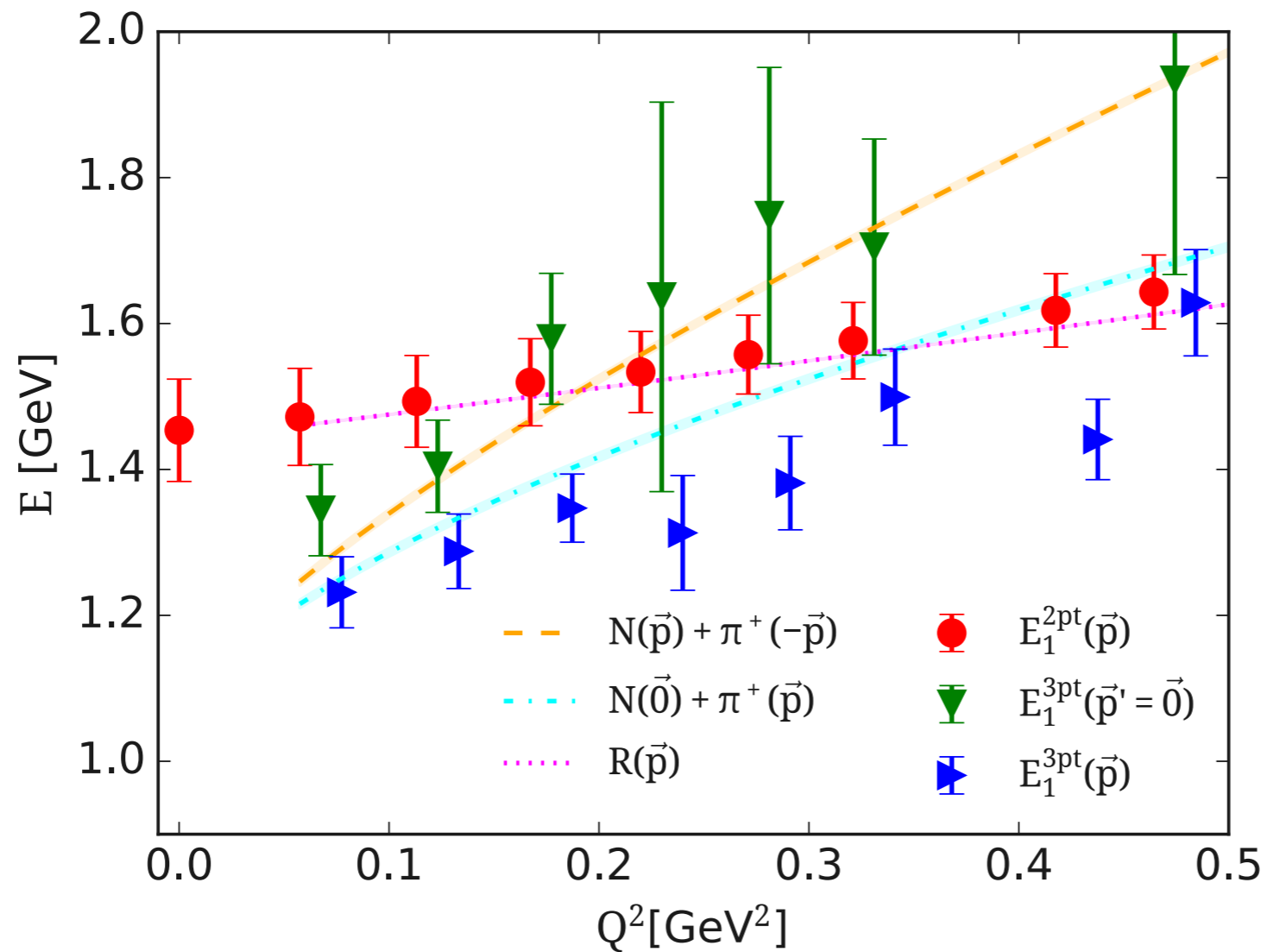
	$t_s/a$	$N_{\text{cnfs}}$	$N_{\text{srcs}}$	$N_{\text{meas}}$
0.64 fm	8	750	1	750
	10	750	2	1500
	12	750	4	3000
	14	750	6	4500
	16	750	16	12000
	18	750	48	36000
	20	750	64	48000
1.6 fm	2-pt	750	264	198000

Needed for studying excited states

Increase statistics to keep approx. constant error

# Analysis of 3-pt functions

✱ We allow the first excited state to be different in the two- and three-point functions, motivated by chiral perturbation theory, O. Baer, *Phys. Rev. D* 99, 054506 (2019).

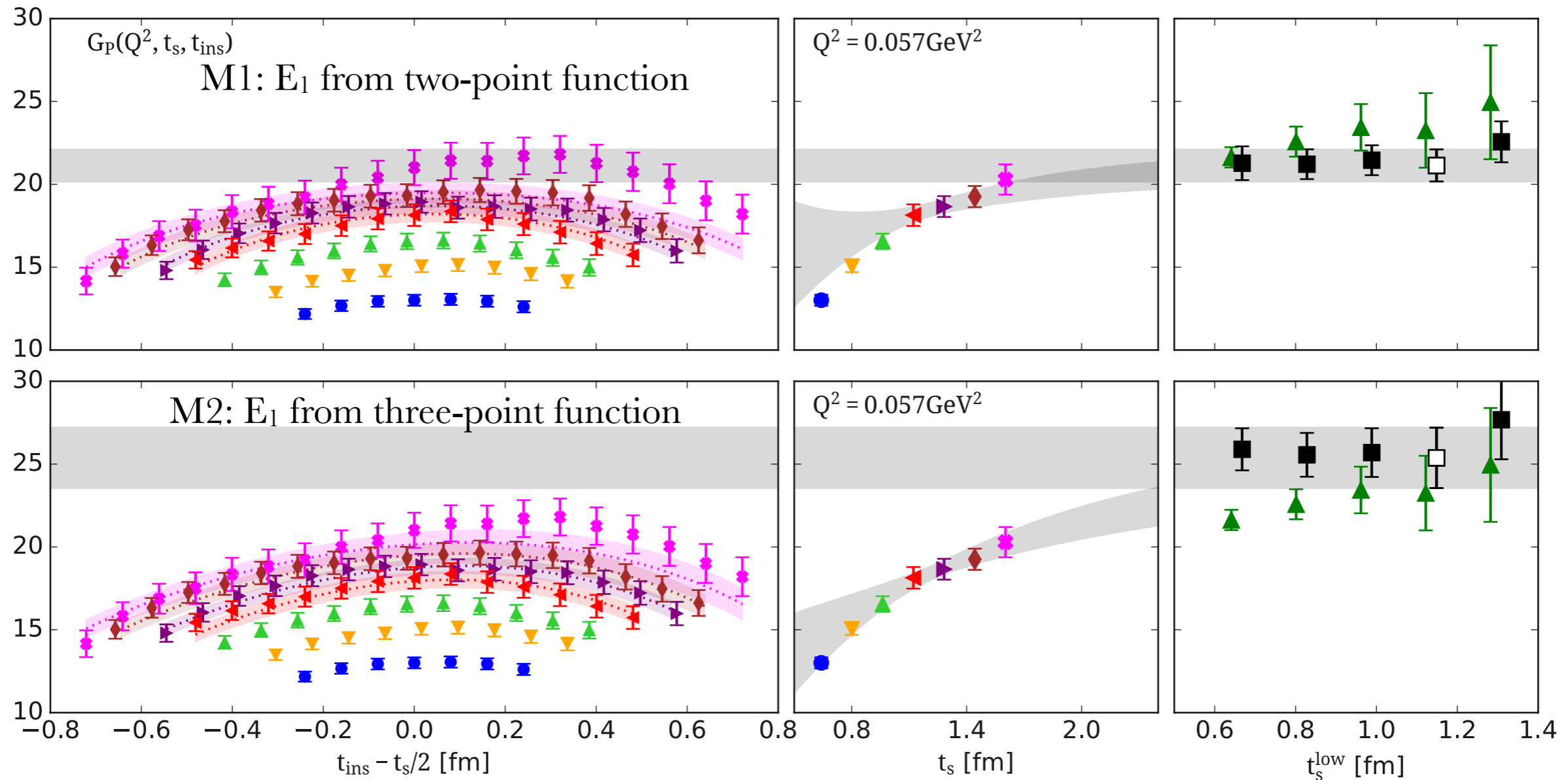




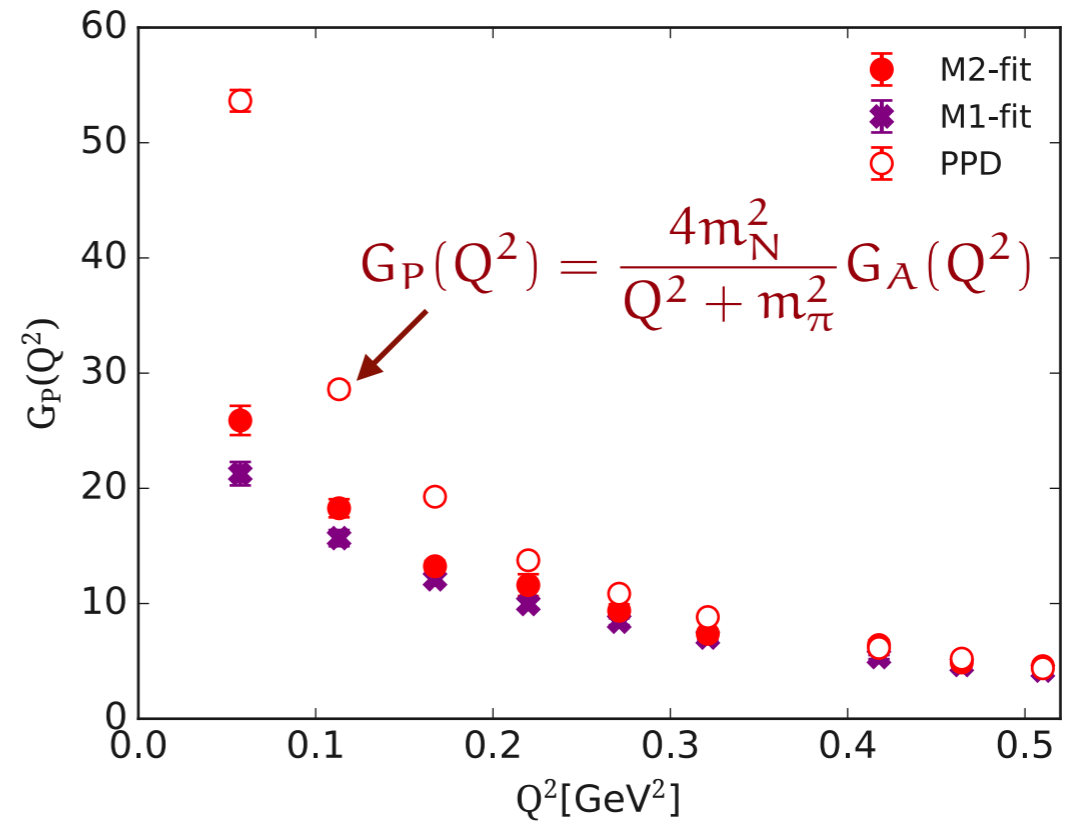
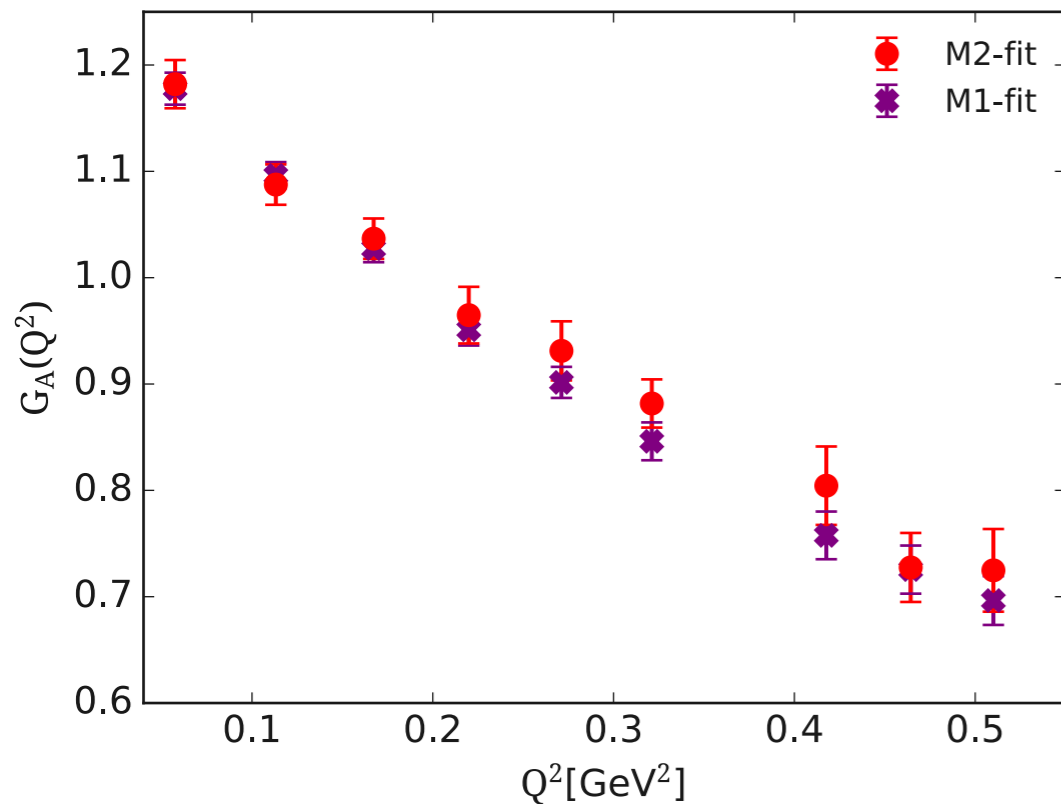
# Analysis of 3-pt functions

✿ We allow the first excited state to be different in the two- and three-point functions, motivated by chiral perturbation theory, O. Baer, *Phys. Rev. D* 99, 054506 (2019).

—> The induced pseudoscalar increases



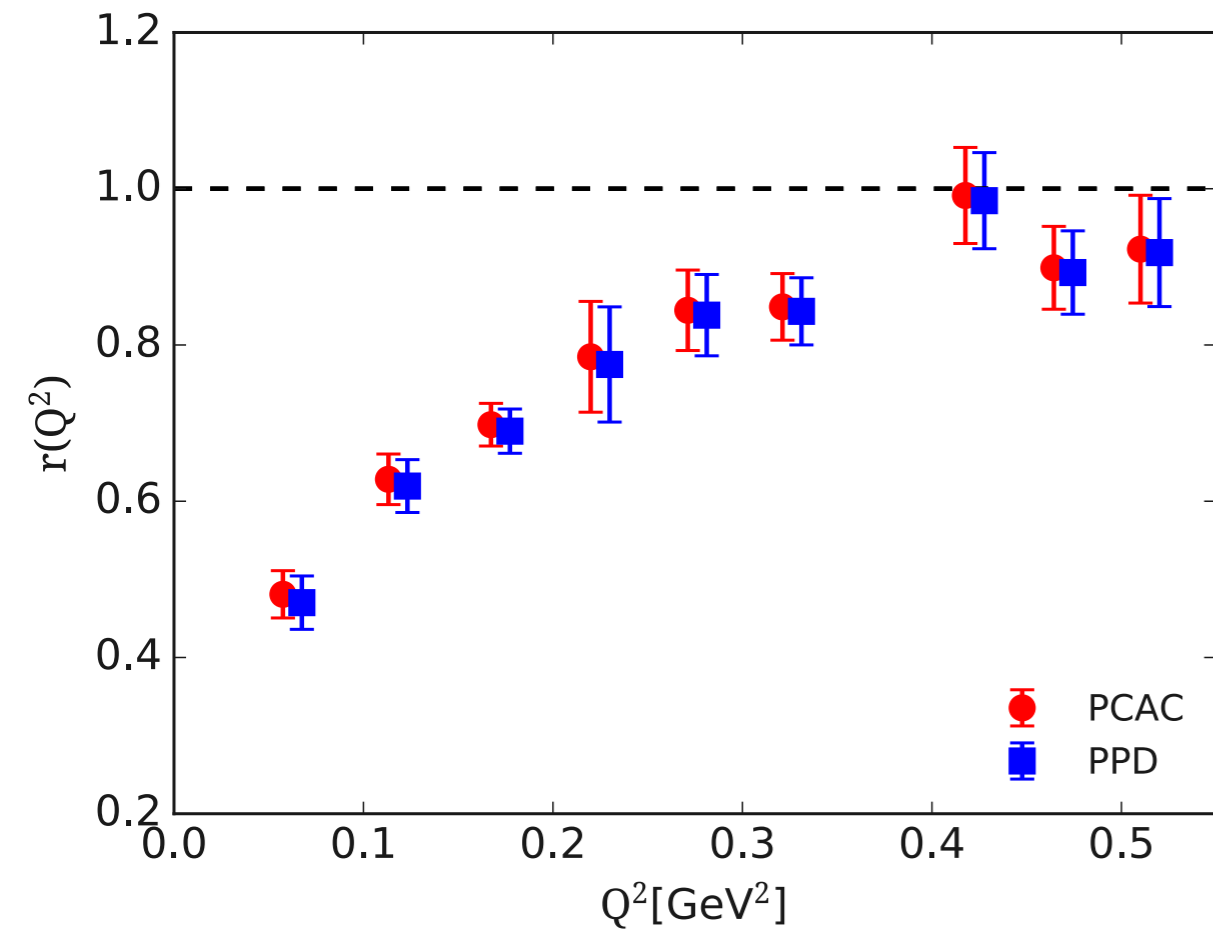
# Axial and induced pseudoscalar form factors



- ✱ Negligible effect on  $G_A$ ; larger effect on  $G_P$  but not large enough to fulfil pion pole dominance
- ✱ For  $G_5$  we find a similar behaviour to  $G_P$
- ✱ Other lattice QCD collaborations find bigger effects when not constraining the first excited state in the 3-point function

Y.-C. Jang, R. Gupta, B. Yoon, and T. Bhattacharya, Phys. Rev. Lett. 124, 072002 (2020)  
 G. S. Bali, et al. (RQCD Collaboration), J. High Energy Phys. 05 (2020) 126

# PCAC and pion pole dominance

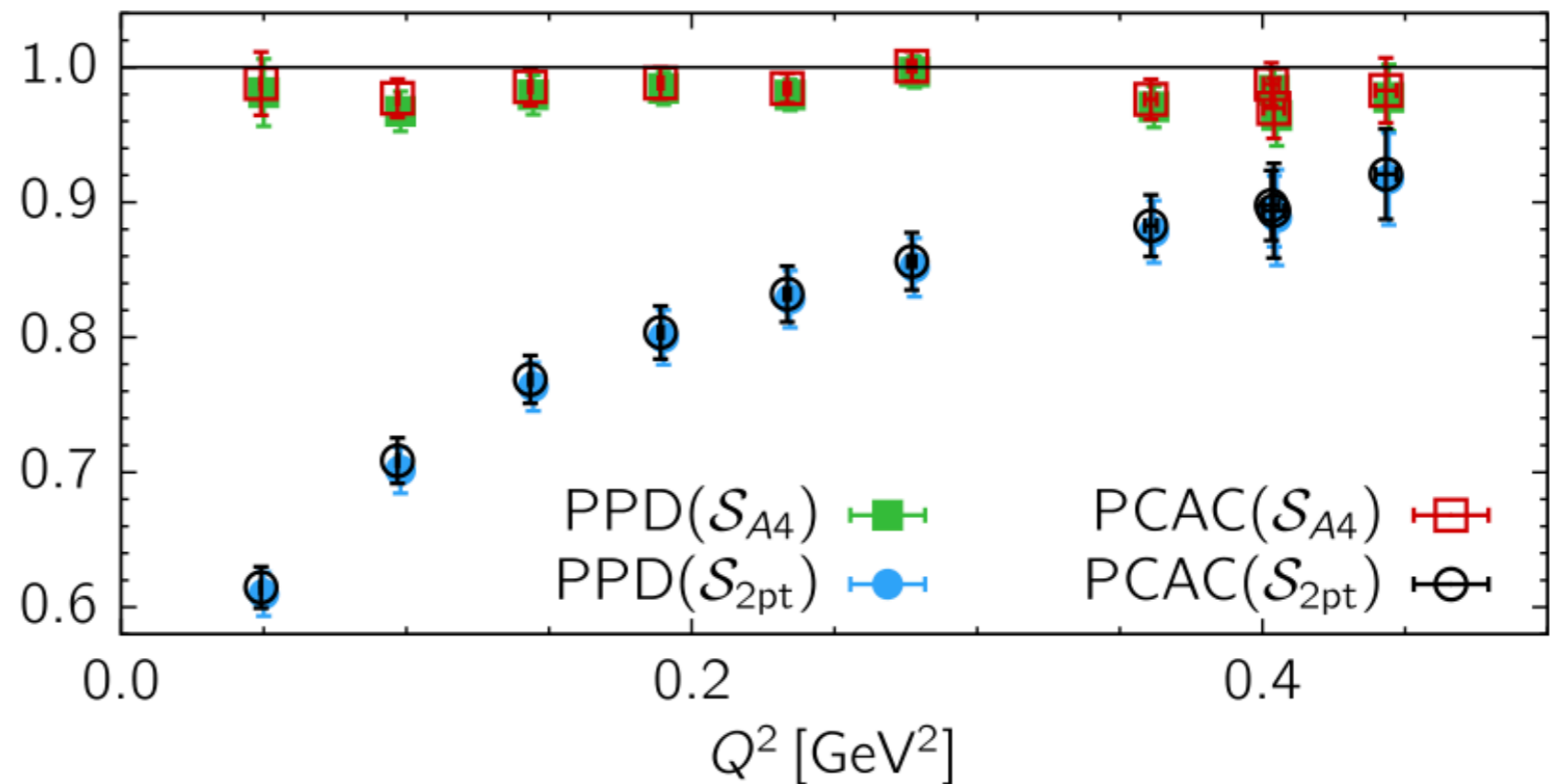


$$r_{\text{PCAC}} = \frac{\frac{m_q}{m_N} G_5(Q^2) + \frac{Q^2}{4m_N^2} G_P(Q^2)}{G_A(Q^2)}$$

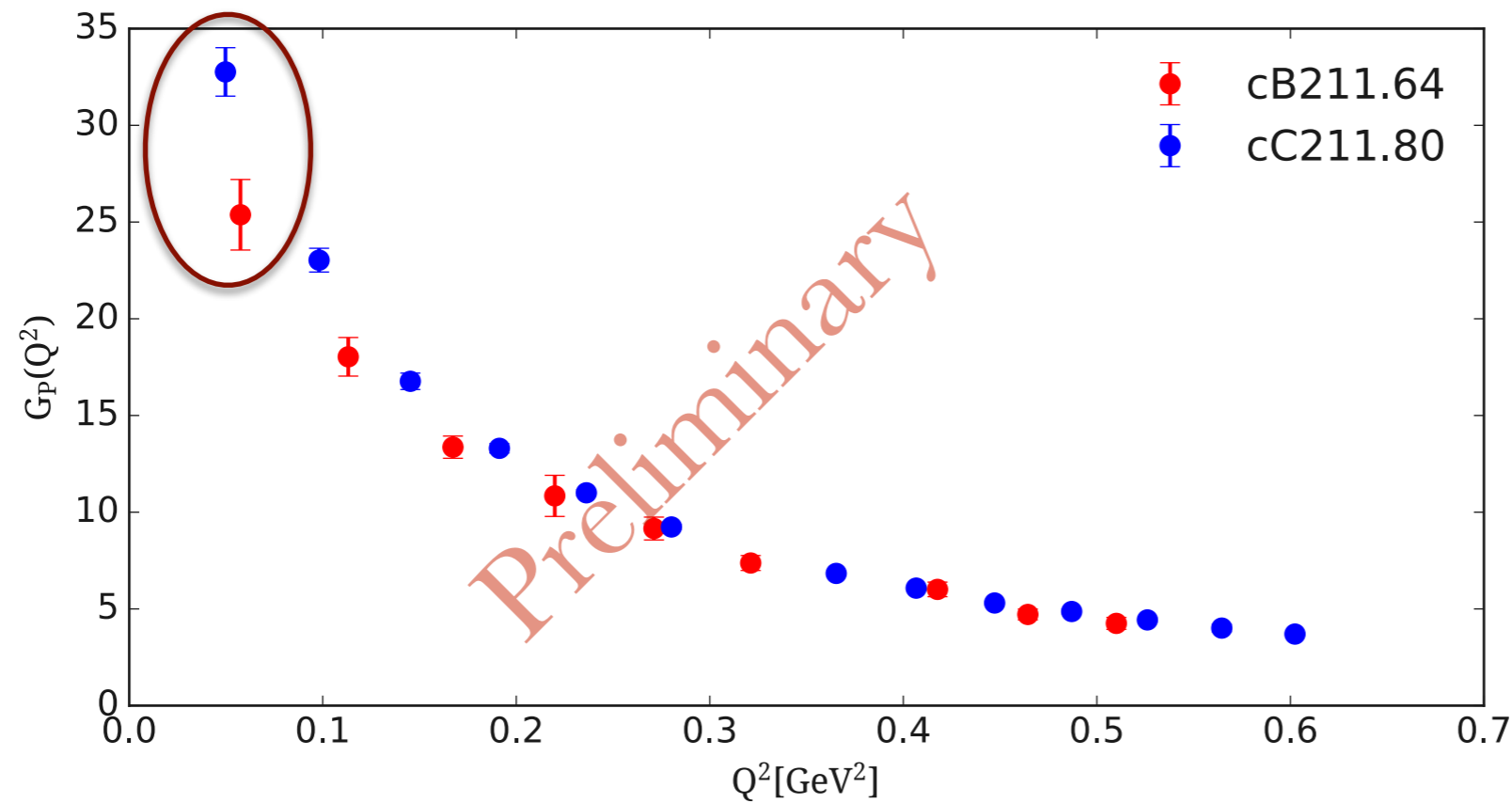
$$r_{\text{PPD}} = \frac{G_P(Q^2)}{\frac{4m_N^2}{m_\pi^2 + Q^2} G_A(Q^2)}$$

✳ Both PCAC and PPD not satisfied for small  $Q^2$

✳ Unlike in Y.-C. Jang, R. Gupta, B. Yoon, and T. Bhattacharya, Phys. Rev. Lett. 124, 072002 (2020)

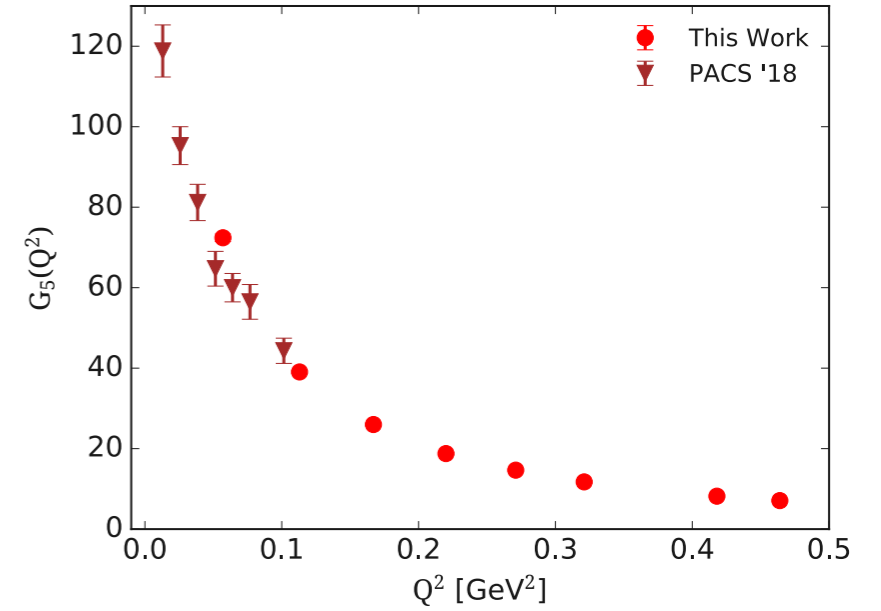
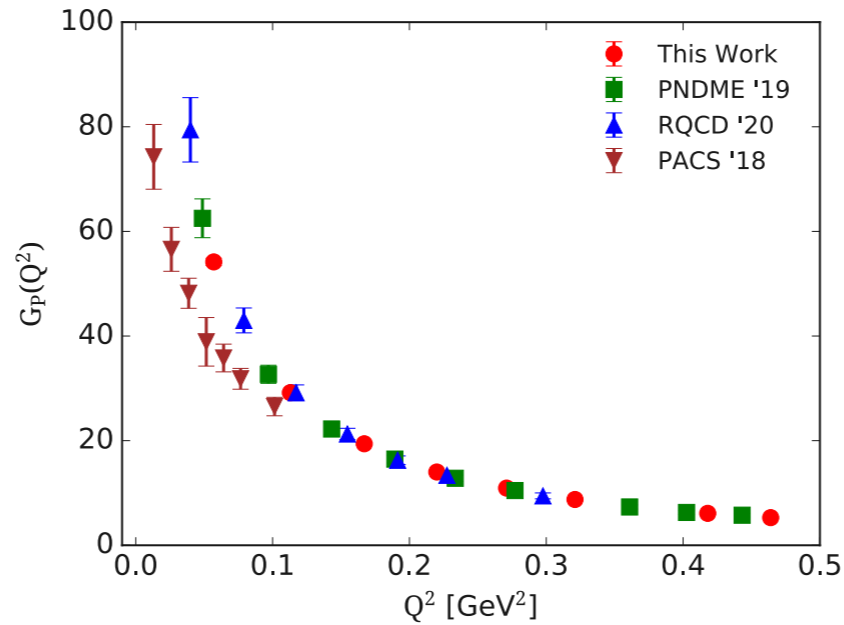
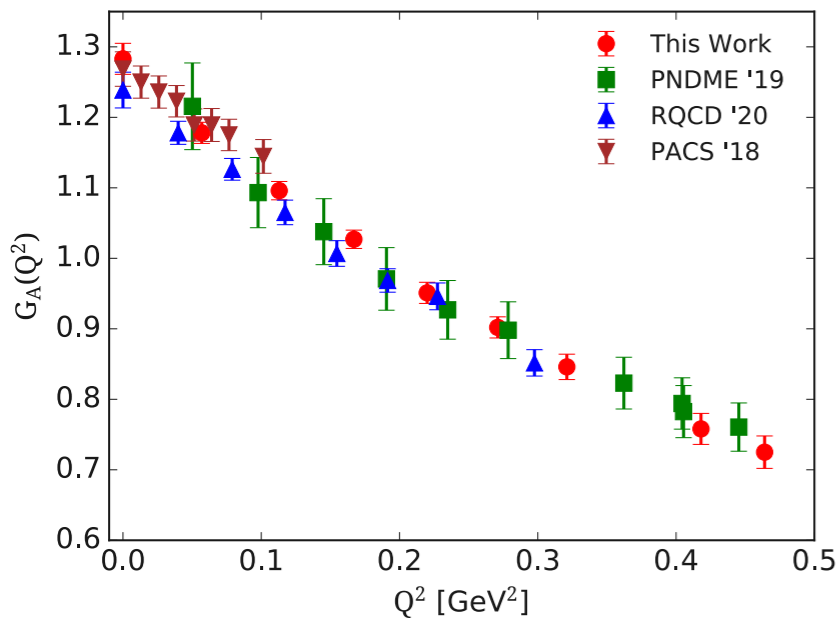


# Lattice cut-off effects



- ✱ Large effects on  $G_P(Q^2)$  at low  $Q^2$   $\longrightarrow$  may (partly) explain the discrepancy from pion dominance
- ✱ Cut-off effects small for  $G_A$   $\longrightarrow$  for the rest of this talk we will use lattice results on  $G_A$  to extract  $G_P$
- ✱  $G_5$  shows the same behaviour as observed for  $G_P$

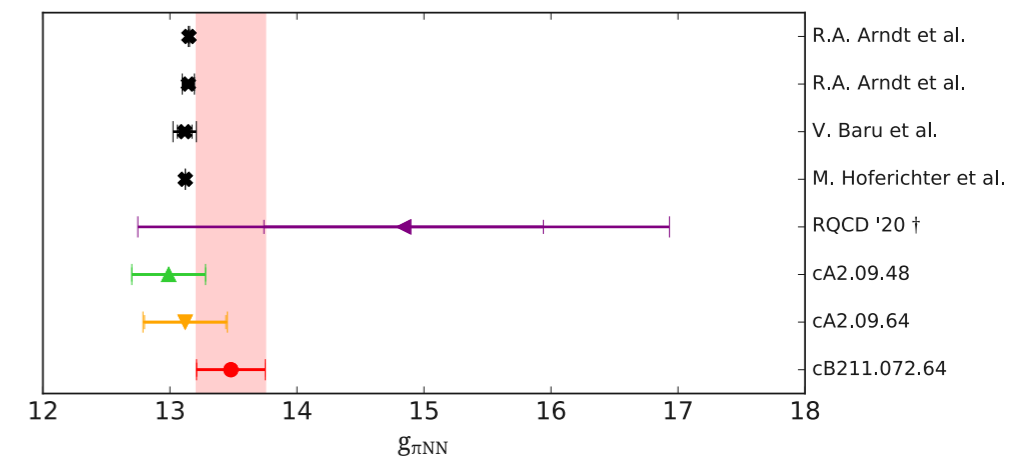
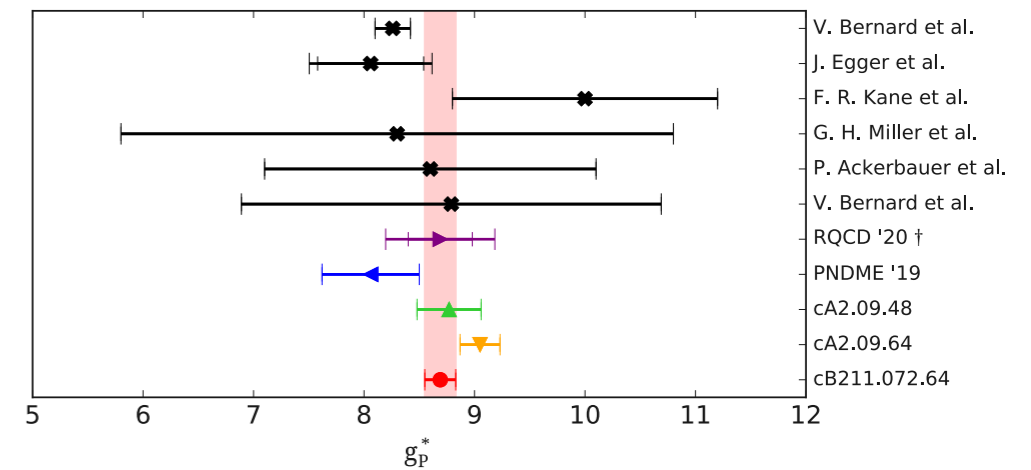
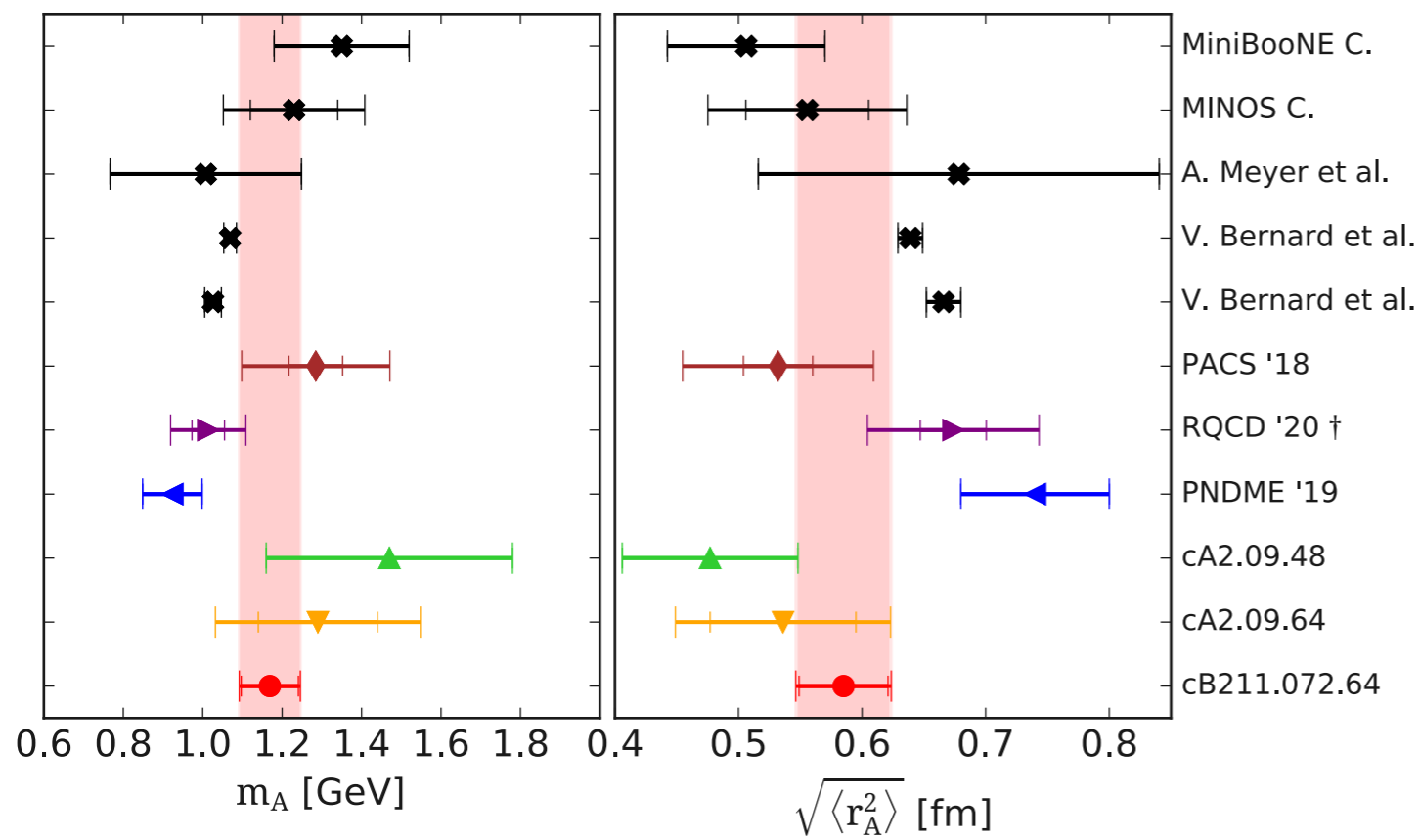
# Results and comparisons



✳ Good agreement for  $G_A$

✳  $G_P$  and  $G_5$  still needs investigation - our data are extracted from  $G_A$ , RQCD data from M2 fits and PACS from plateau fits

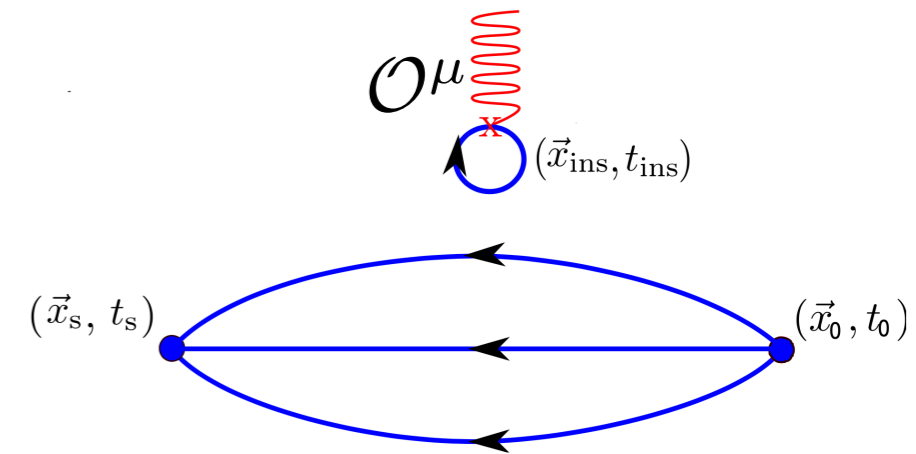
✳ Fitting the  $Q^2$ -dependence we extract the axial mass and radius,  $g_P^* = G_P(0.88m_\mu)$  and  $g_{\pi NN}$





# Beyond isovector - each flavour contribution

- ✳️ Need the complete non-valence contributions
- ✳️ An order of magnitude more computational resources needed
- ✳️ Non-singlet renormalisation needed

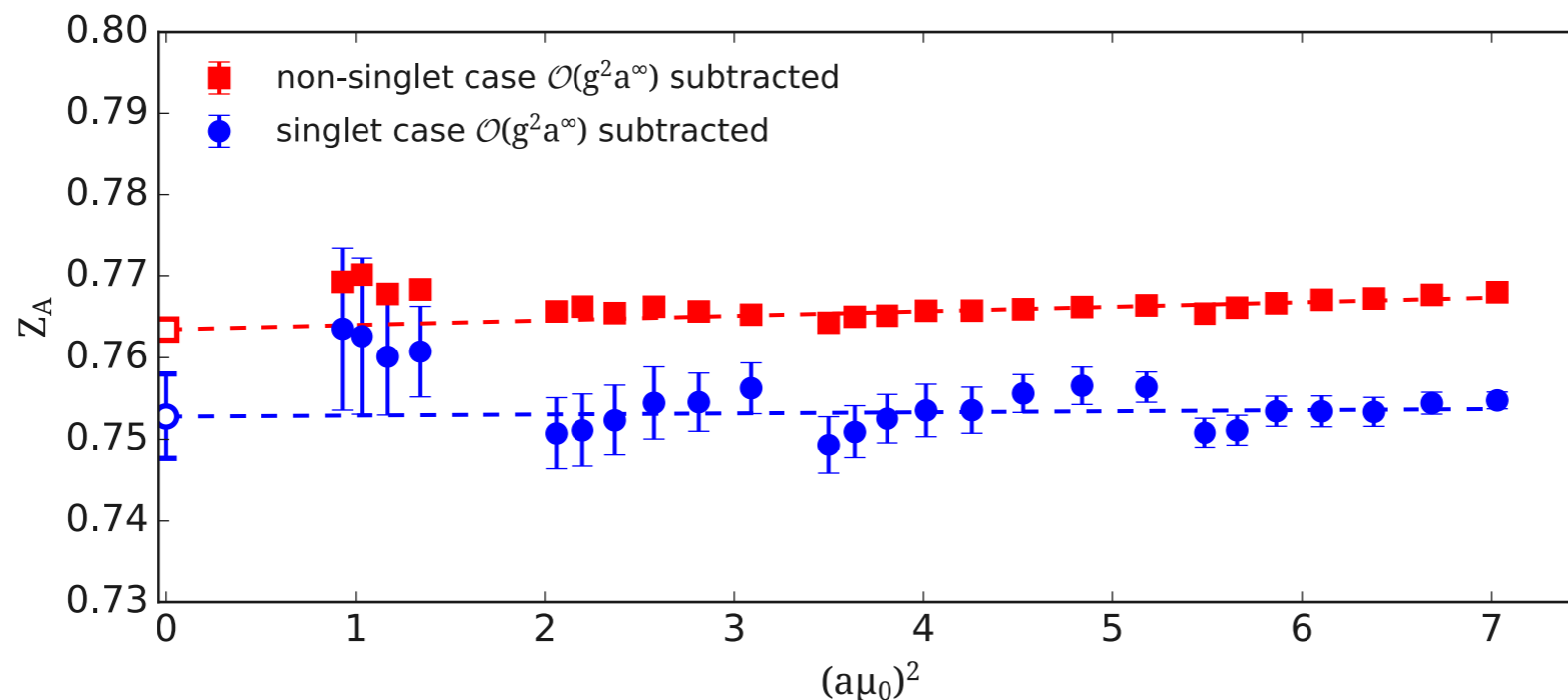


Statistics for disconnected contribution

2pt	(u+d)-quark loop	s-quark loop	c-quark loop
600000	$750 \times 512$ + deflation of 200 modes	$750 \times 512$	$9000 \times 32$

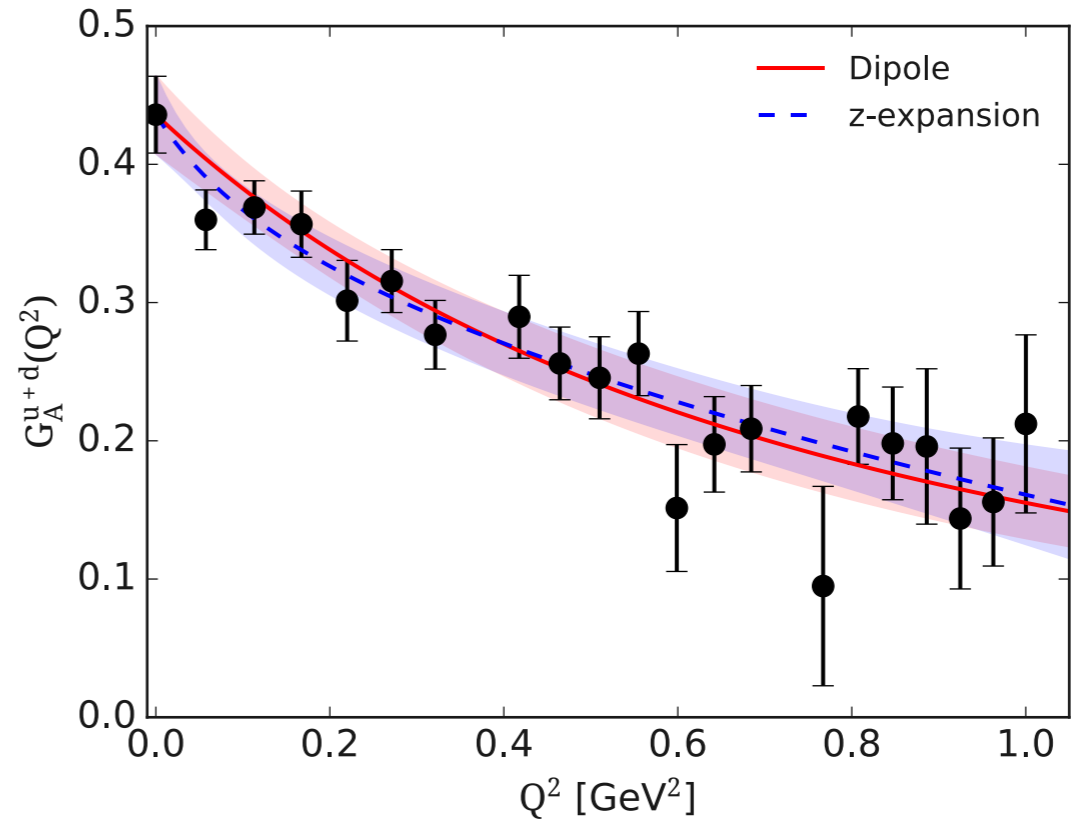
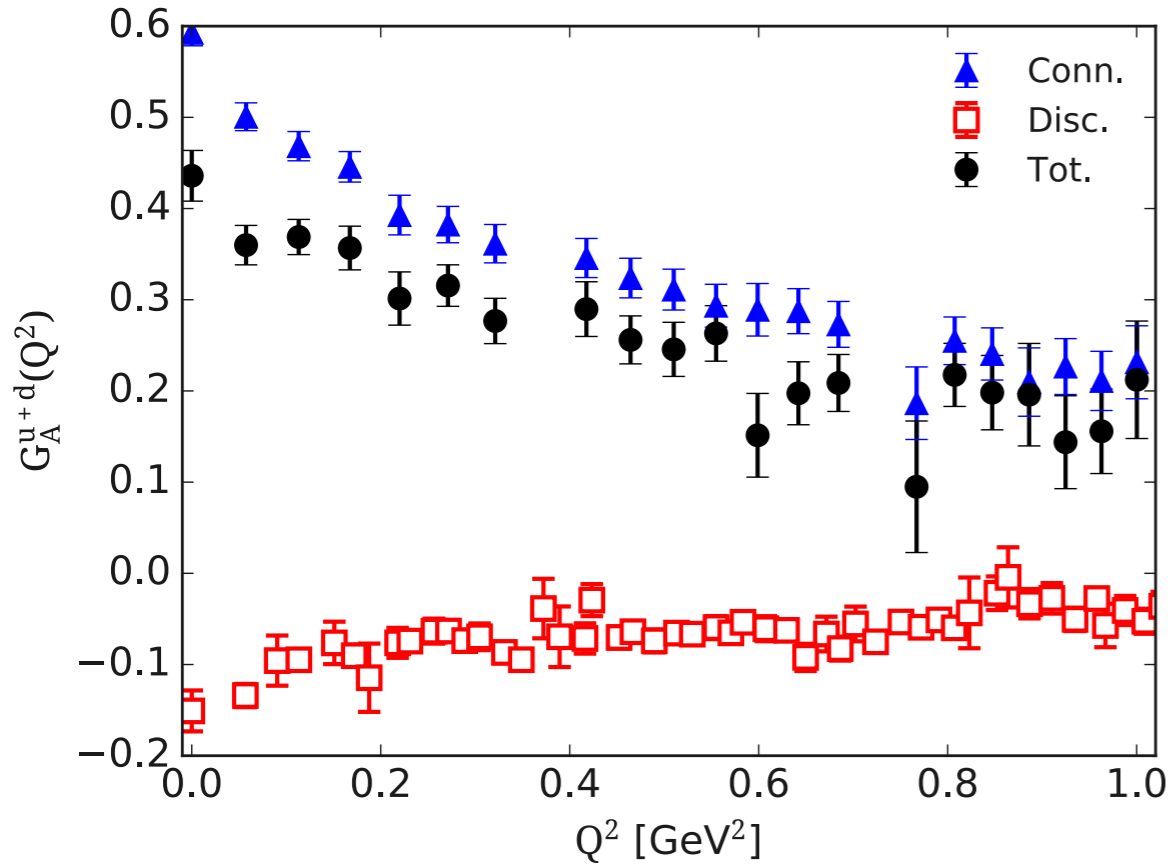
Use hierarchical probing  
no. of Hadamard vectors

no. of stochastic vectors



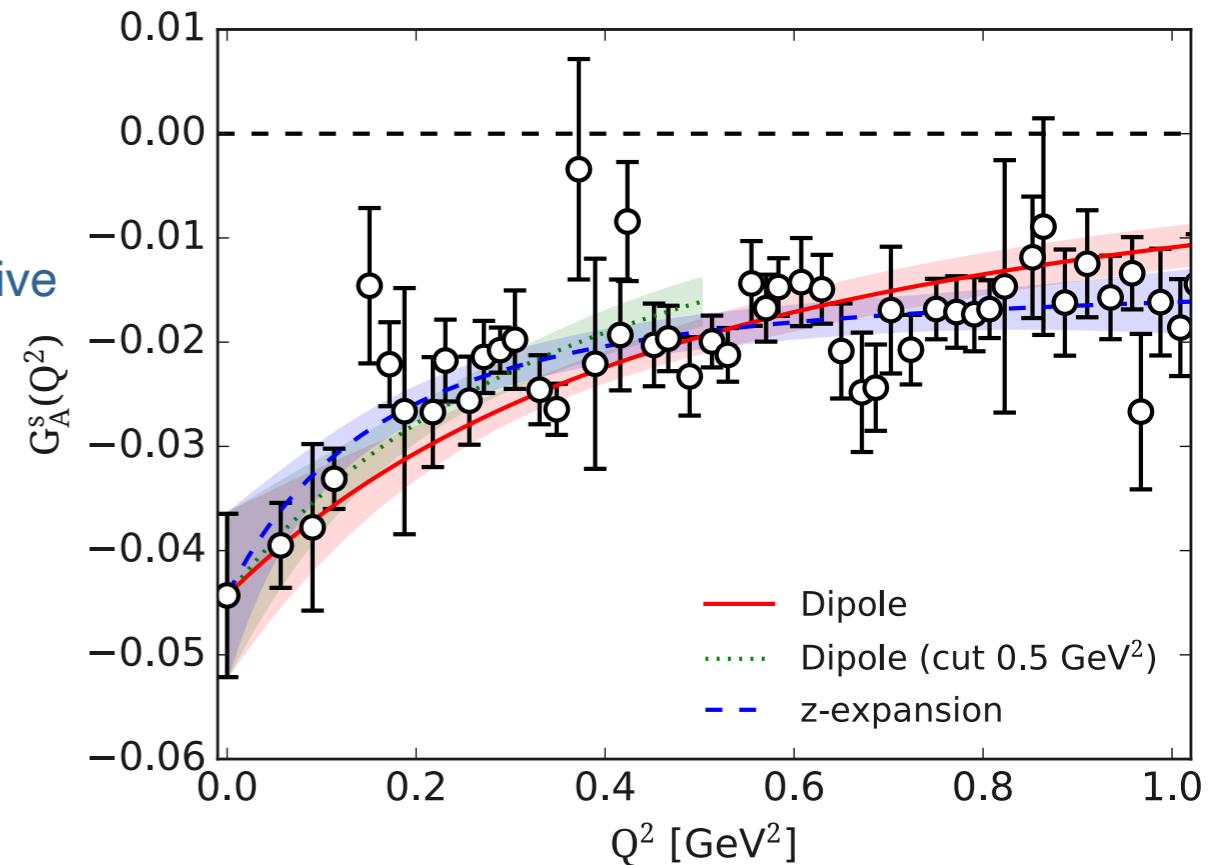
Clear difference between singlet and non-singlet

# Quark flavour decomposition of $G_A$

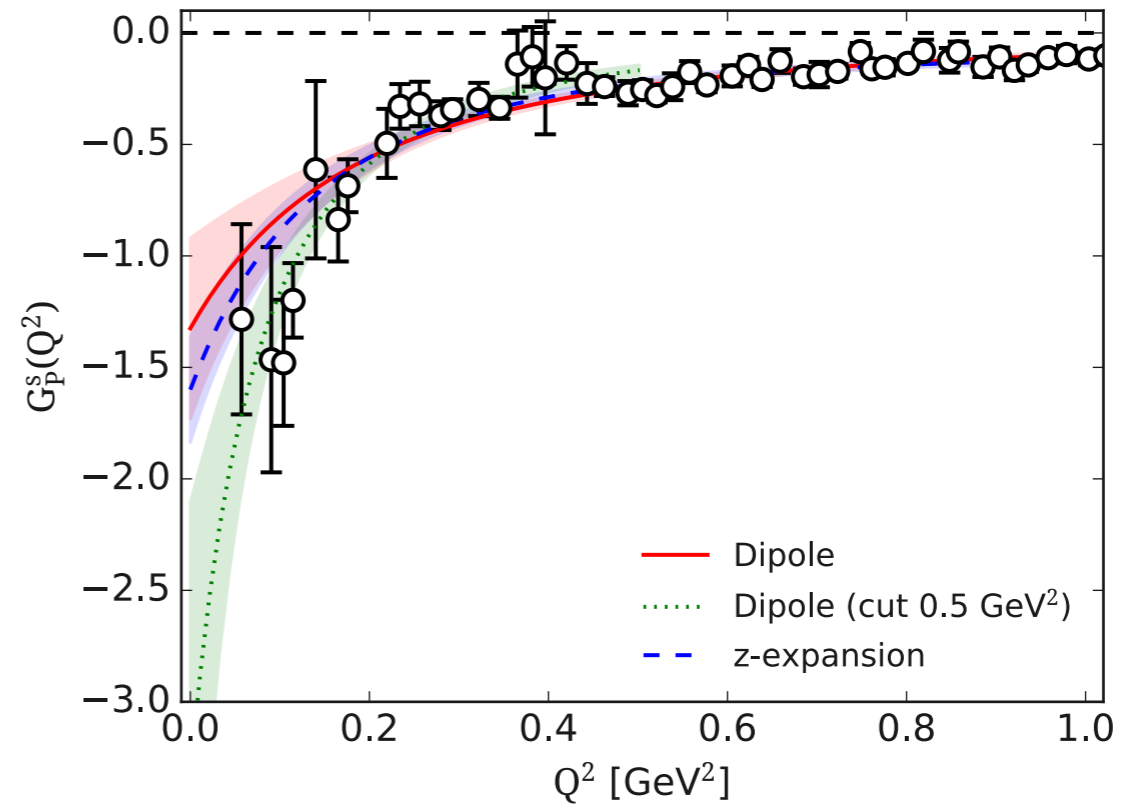
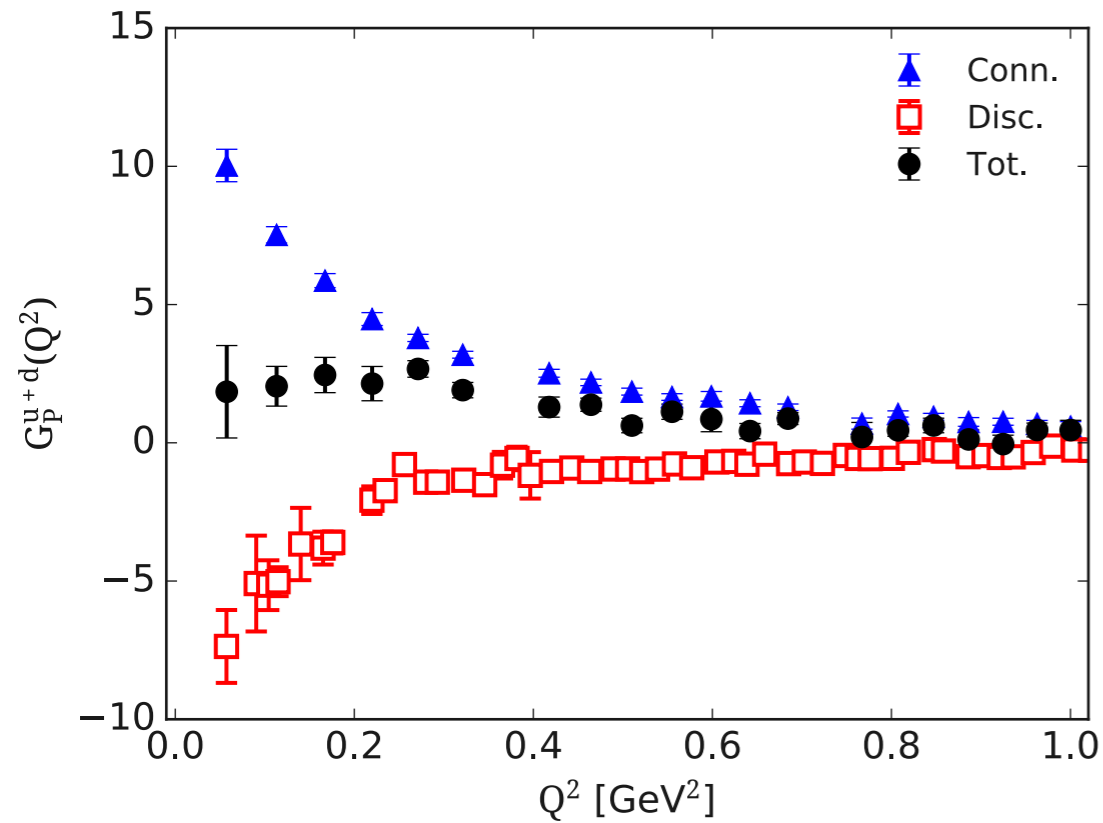


## Contribution of disconnected diagrams

- Significant contribution of disconnected to u+d combination
- Negative disconnected contribution: subtracts from connected
- Good signal for strange contribution: clearly non-zero and negative



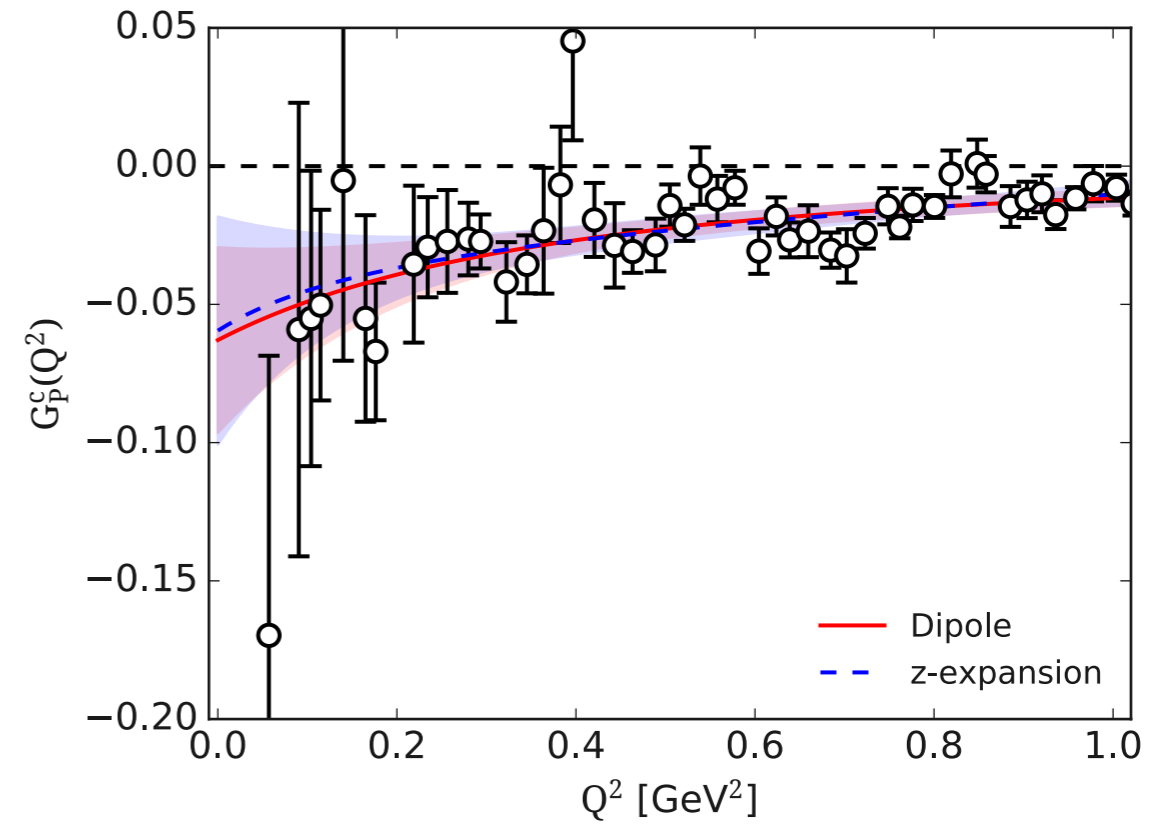
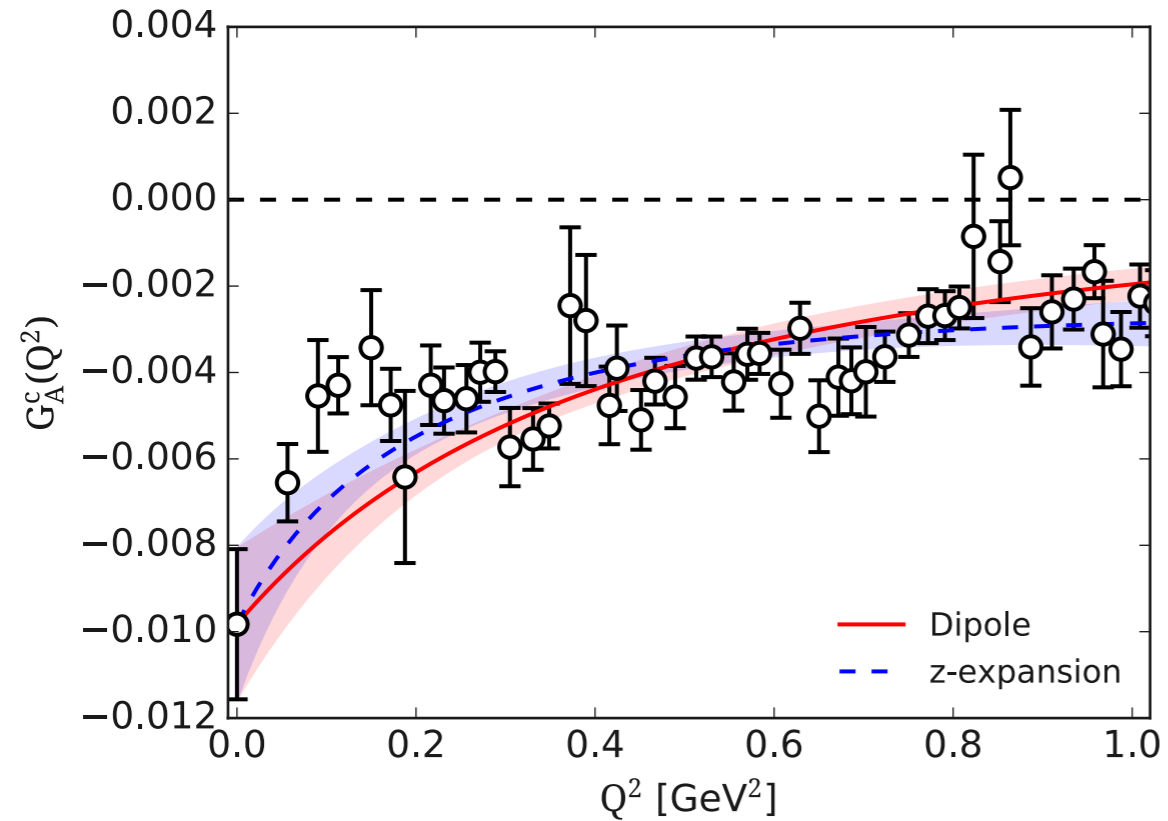
# Quark flavour decomposition of $G_P$



## Contribution of disconnected diagrams

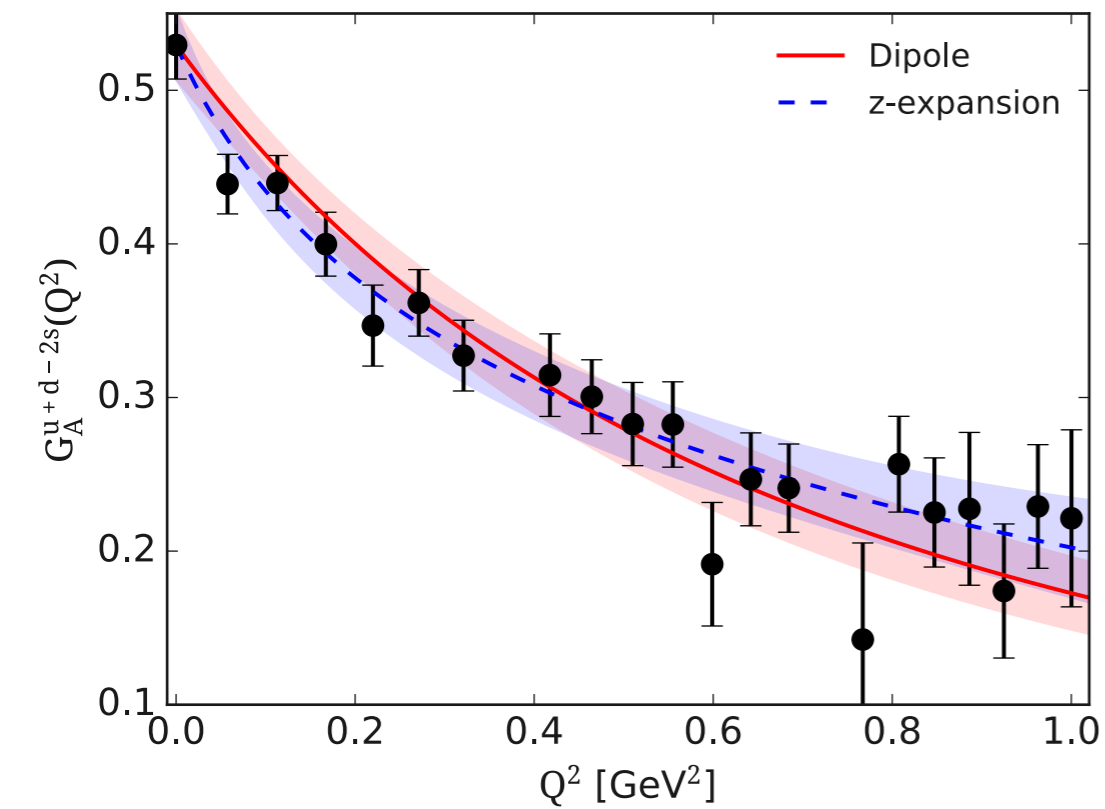
- Significant contribution of disconnected to u+d combination
- Negative large disconnected contribution: subtracts from connected
- Large negative strange quark contribution

# Charm quark contributions

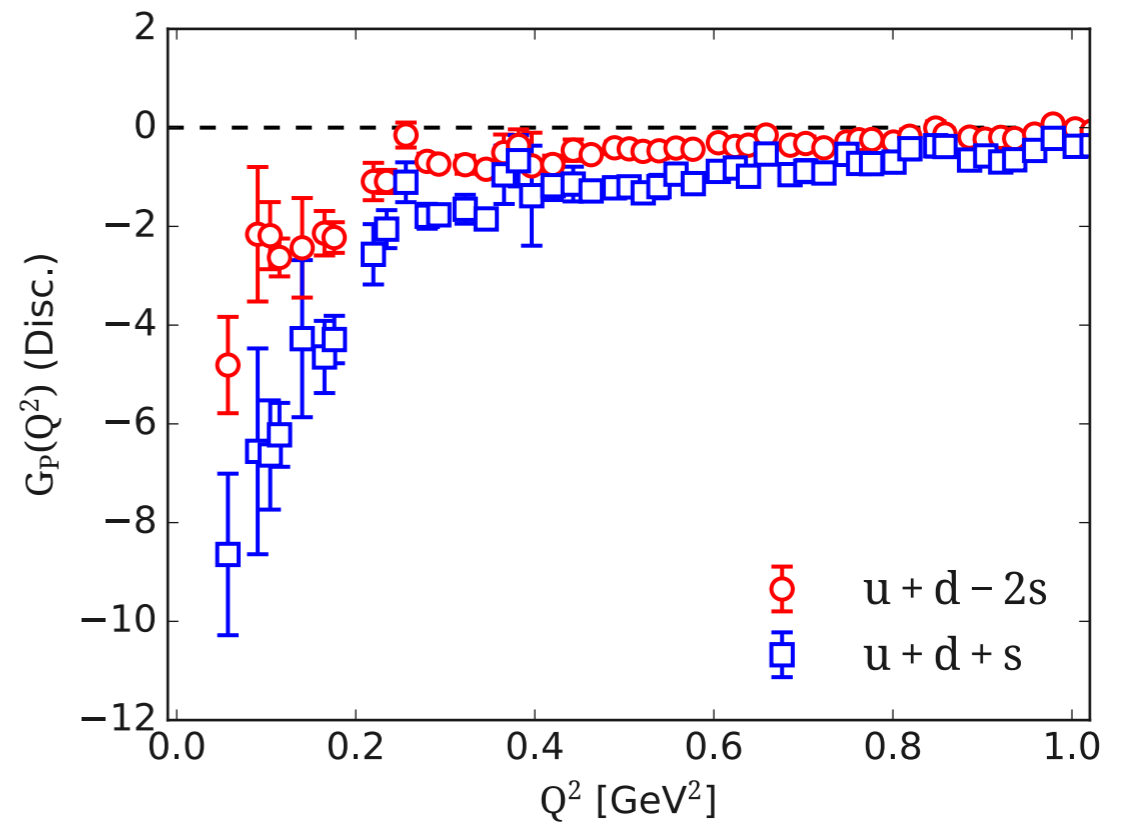
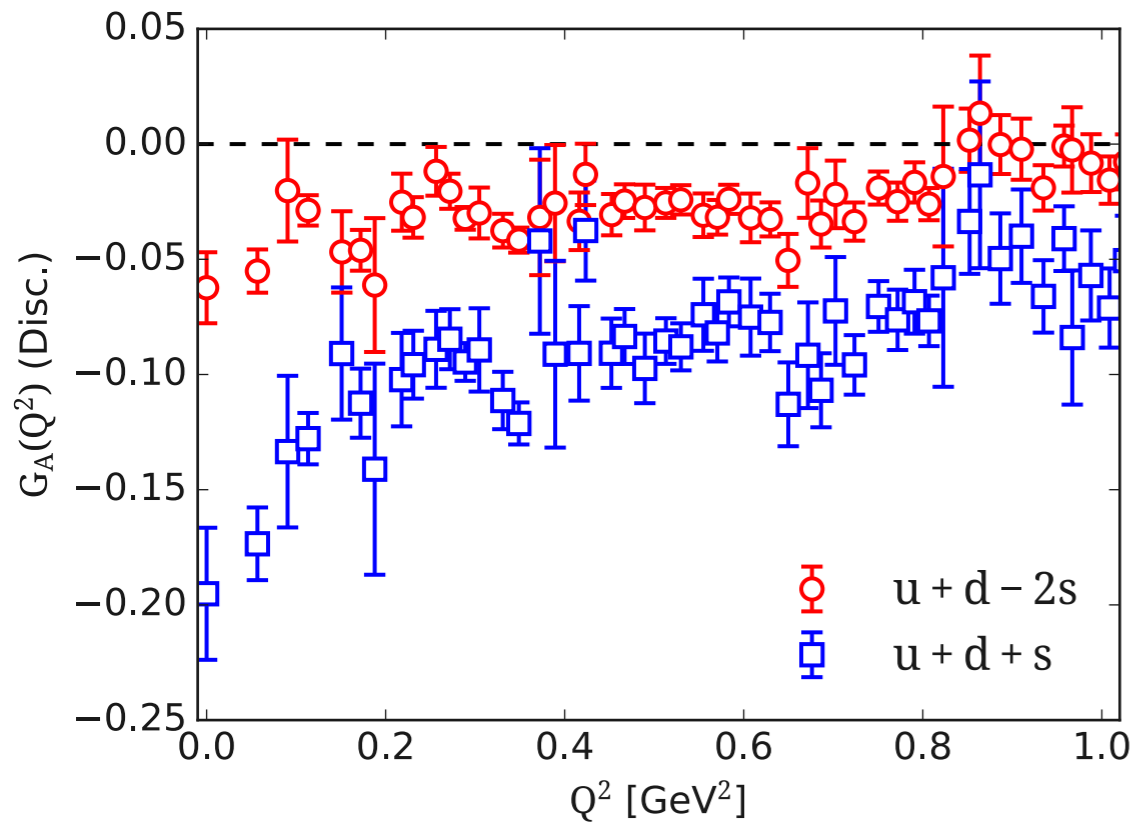
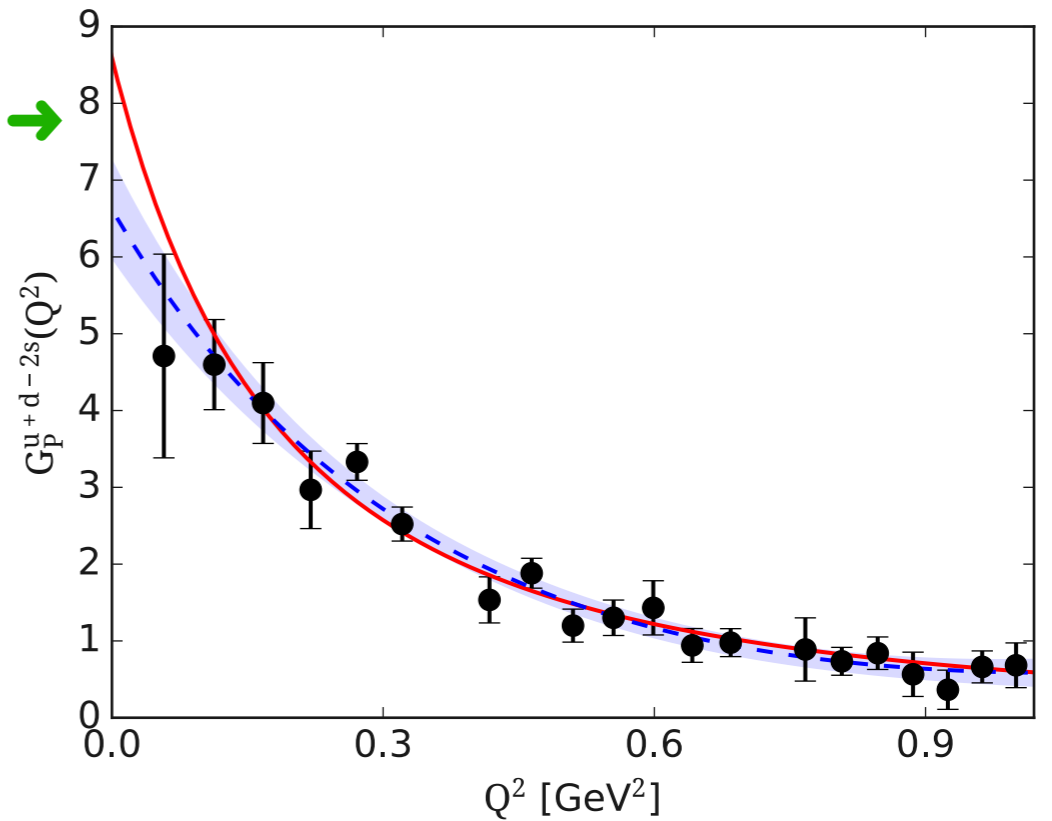


✳ Clearly non-zero negative contributions for both axial form factors

# Check SU(3) symmetry



← No SU(3) symmetry assumed →



✳ In the SU(3) limit disconnected contributions should vanish in the octet combination  $u+d-2s$

✳ Deviations of up to 10% are seen in  $G_A^{u+d-2s}(0)$  and up to 50% in  $G_P^{u+d-2s}(0)$



# Conclusions

- ✱ Axial form factors including contributions from non-valence quarks can be extracted precisely (precision era of lattice QCD) - we can extract a lot of interesting physics and make predictions
- ✱ The calculation of sea quark contributions is feasible providing valuable input e.g. for the determination of strange and charm form factors and for checking SU(3) symmetry
- ✱ Further study of PCAC and GT relations is required
- ✱ Way forward:
  - ◆ continuum limit, study of volume effects
  - ◆ other hadrons, higher Mellin moment, direct computation of PDFs and GDPS, ...

*Very much progress over the last five years!!*



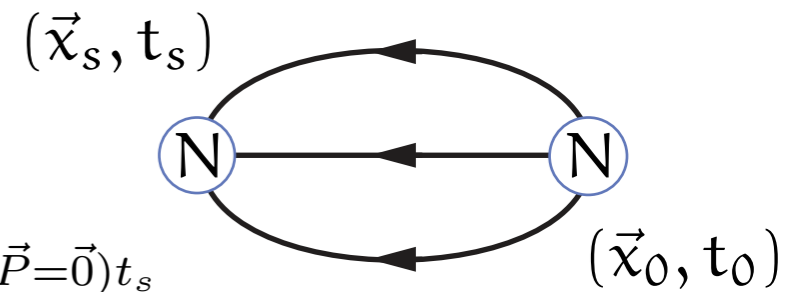
**Backup slides**

# Nucleon propagator

## Analysis of two- and three-point functions C2pt and C3pt

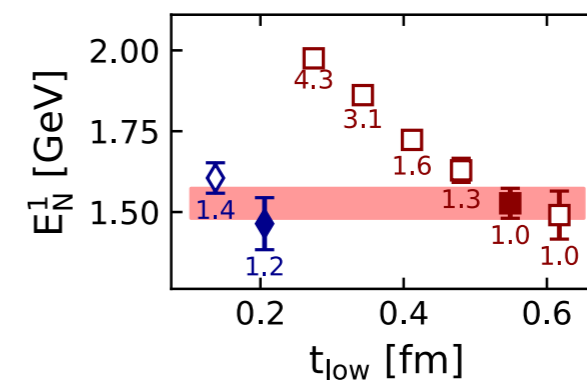
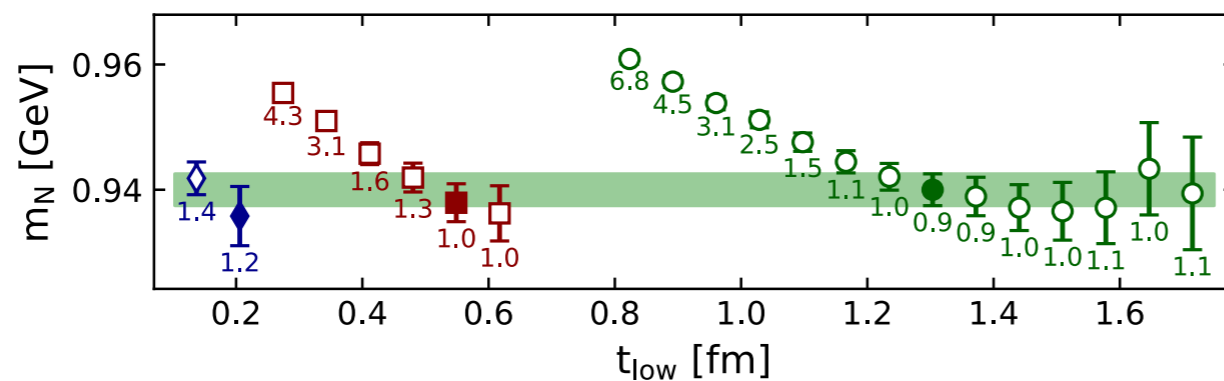
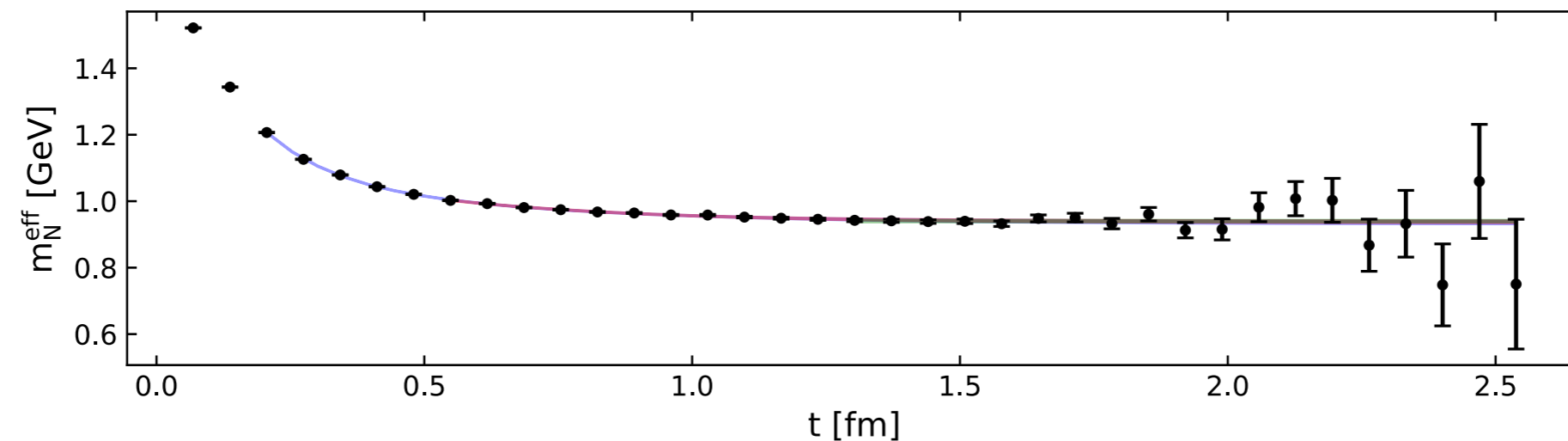
$$C_{2\text{pt}}(\Gamma_0; \vec{P} = \vec{0}, t_s) = \sum_{\vec{x}_s} \text{Tr} [\langle \Gamma_0 J_N(t_s, \vec{x}_s) \bar{J}_N(t_0, \vec{x}_0) \rangle] = \sum_{n=0}^{\infty} a_n e^{-E_n(\vec{P}=\vec{0})t_s}$$

$$\xrightarrow{t_s \rightarrow \infty} a_0 e^{-m_N t_s} + \mathcal{O}(e^{-E_1(\vec{P}=\vec{0})t_s})$$



Fit the nucleon two-point function or effective mass keeping up to two excited states

$$am_N^{\text{eff}}(t) = \log \left( \frac{C_{2\text{pt}}(t)}{C_{2\text{pt}}(t+a)} \right) \approx am_N + \log \left( \frac{1 + \sum_{j=1}^K c_j e^{-\Delta_j t}}{1 + \sum_{j=1}^K c_j e^{-\Delta_j (t+a)}} \right)$$



# Renormalisation

- Non-perturbative renormalisation employing the RI' -MOM scheme:

the forward amputated Green function computed in the chiral limit and at a given (large Euclidean) scale  $p^2 = \mu^2$  is set equal to its tree-level value.

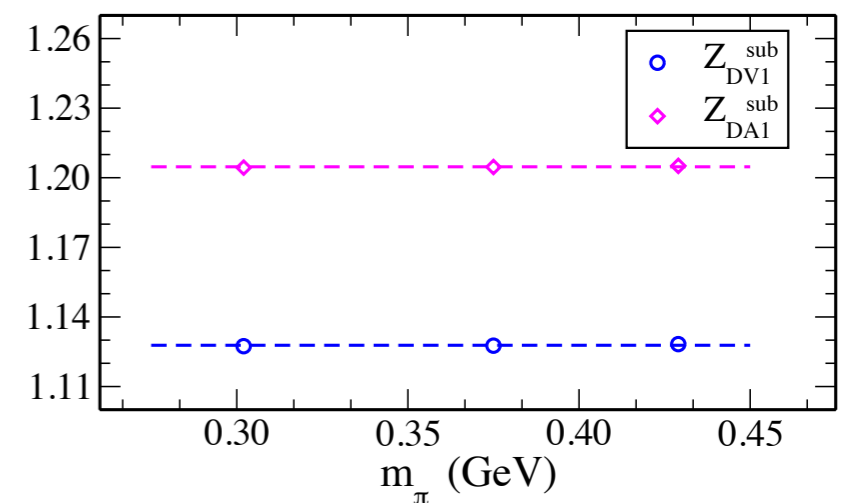
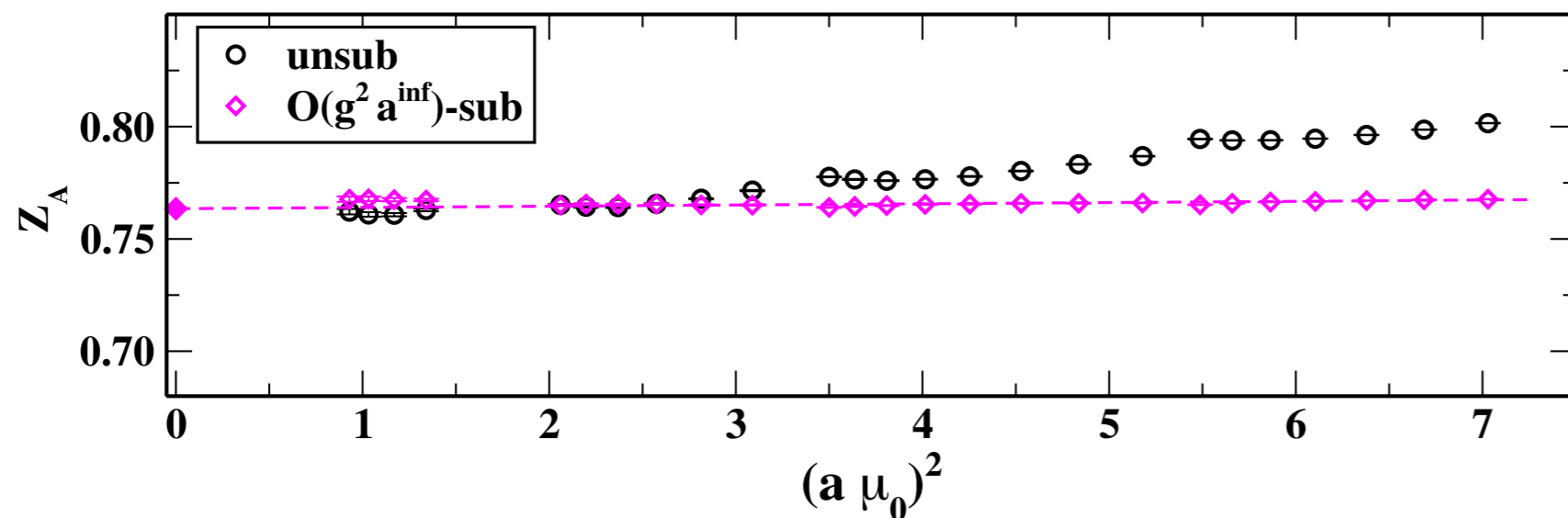
G. Martinelli, C. Pittori, C. T. Sachrajda, M. Testa and A. Vladikas, Nucl. Phys. B 445 (1995) 81, hep-lat/9411010

- Use  $N_f=4$  ensembles to take chiral limit - very mild dependence
- Subtract lattice artefacts to  $\mathcal{O}(g^2 a^\infty)$  perturbatively
- For scheme dependent operators translate them to the  $\overline{\text{MS}}$  scheme at  $\mu = 2 \text{ GeV}$  using a conversion factor computed in perturbation theory to three-loops

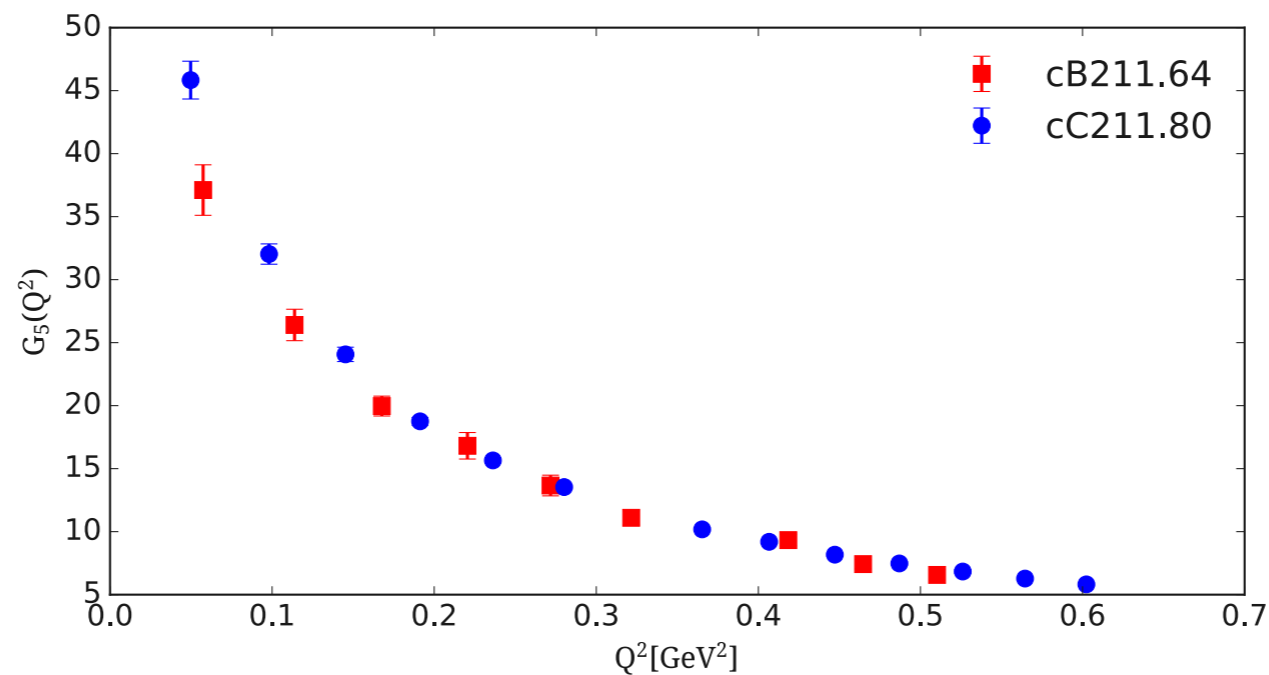
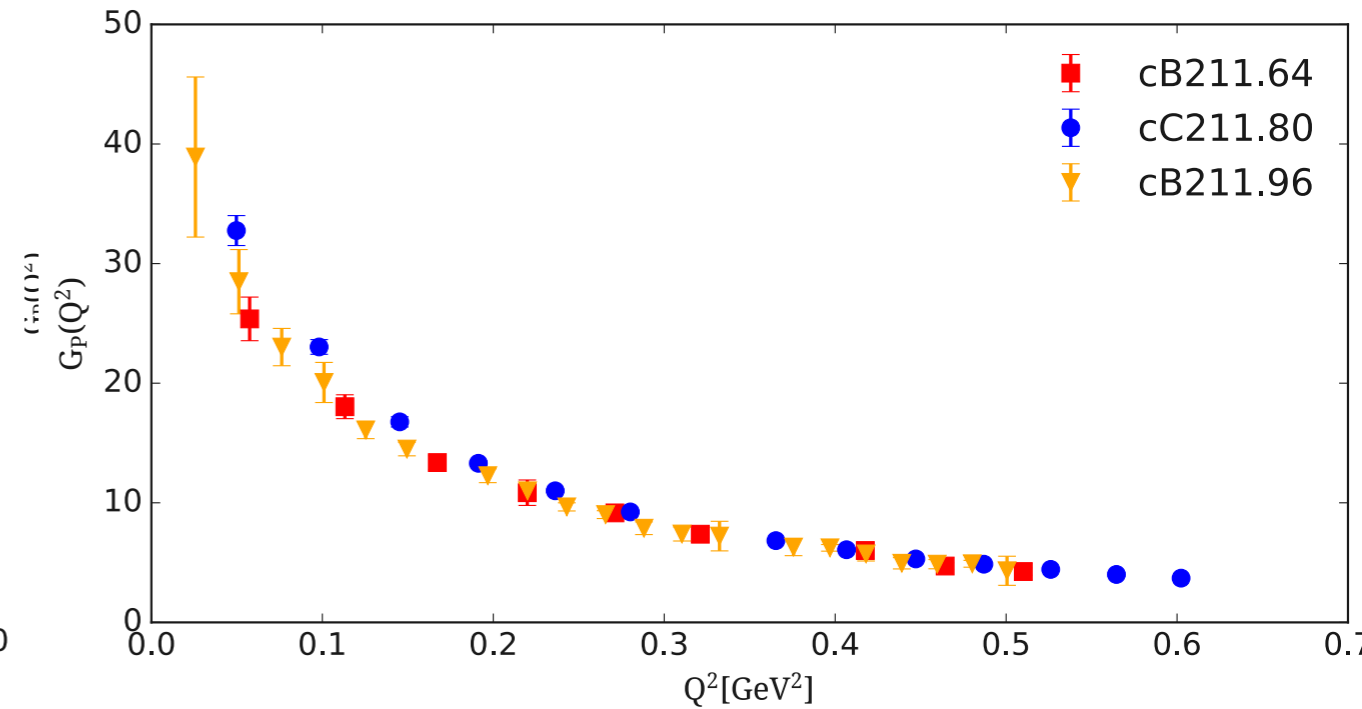
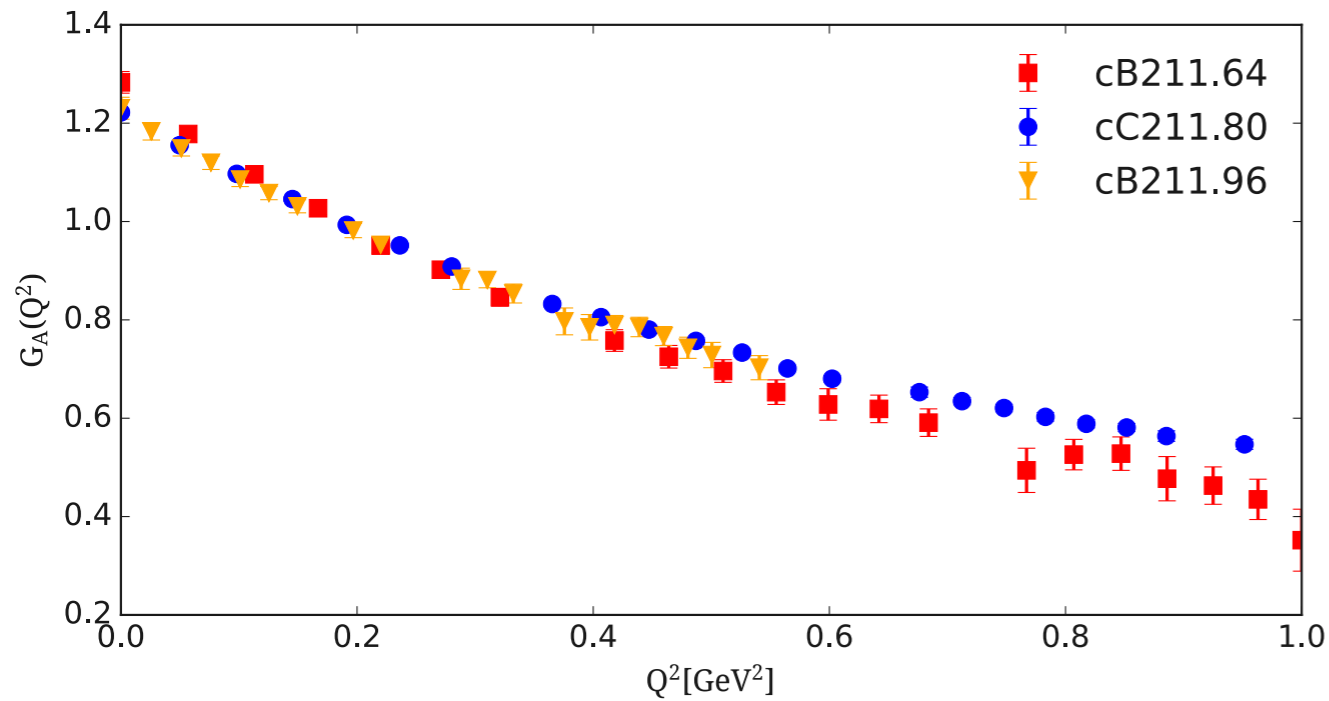
C.A, M. Constantinou, H. Panagopoulos, Phys. Rev. D95, 034505 (2017), 1509.00213

- Momentum source method leads to small statistical errors

M. Gockeler *et al.* (QCDSF) Nucl. Phys. B544, 699 (1999), hep-lat/9807044; Phys. Rev. D 82 (2010) 114511, 1003.5756



# Axial and pseudoscalar form factors for two lattice spacings



✳ Smaller cut-off effects on  $G_A$ ; Larger for  $G_P$  and  $G_5$

✳ Crucial to take the continuum limit. Analysis of the third ensemble is ongoing.

✳ Volume effects small but we statistical errors still large; increased of statistics is ongoing