# Rigorous reconstruction of gluon propagator in the presence of complex singularities

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#### Introduction

Analytic structure of a propagator: states and spectrum
 Physical case: Källén-Lehmann spectral representation

$$D(k^2) = \int_0^\infty d\sigma^2 \frac{\rho(\sigma^2)}{\sigma^2 - k^2},$$
  
$$\theta(k_0)\rho(k^2) := (2\pi)^d \sum_n |\langle 0|\phi(0)|P_n\rangle|^2 \delta^D(P_n - k),$$

singularities on complex  $k^2$ -plane  $\longleftrightarrow$  states non-orthogonal to  $\phi(0)|0\rangle$ 

 Analytic structures of the QCD propagators would be useful for understanding fundamental aspects of QCD, e.g., confinement.

Based on the progress on the Landau-gauge gluon, ghost, and quark propagators, there has been an increasing interest in their analytic structures.



## Review: recent approaches to analytic structure

Recent analyses suggest **complex singularity** of the gluon propagator invalidating the Källén-Lehmann spectral representation.

- 1. Modeling gluon propagator to fit lattice results
  - (refined-)Gribov-Zwanziger model [Dudal et. al. 2008]...
  - Massive-like gluon model [Siringo 2016] [YH and Kondo, 2018, 2020]
  - Padé approximation [Falcão, Oliveira, and Silva 2020]
  - (A variant of) Schlessinger-point method [Binosi and Tripolt 2019]
- 2. Dyson-Schwinger equation on the complex momentum plane [Strauss, Fischer, and Kellermann 2012] [Binosi and Tripolt 2019] [Huber and Fischer 2020]

However, the interpretation of complex singularities has been less studied...(short-lived gluon? non-locality? [Stingl 1986] etc.)

#### Plan

Introduction

Definition and main questions

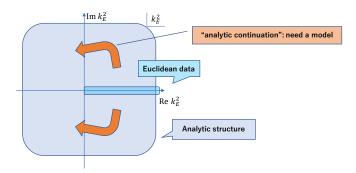
Reconstruction of the Wightman function and its general properties

Realization in quantum theory

Summary

### How to investigate analytic structures

- Aim: investigating analytic structures of the propagators from Euclidean data through "analytic continuation".
- The "analytic continuation" from finite data is in principle an ill-posed problem: use models consistent with the Euclidean data with some theoretical backgrounds.

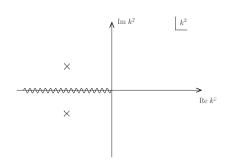


## What is "complex singularity" exactly?

Complex singularity: singularity off the real axis in the complex Euclidean momentum plane  $k_E^2$  of an analytically continued Euclidean propagator  $D(k_E^2)$ .

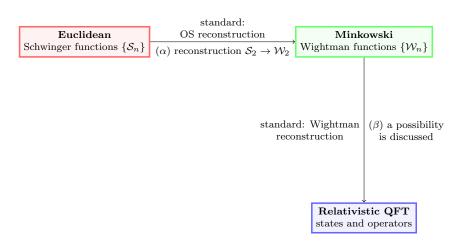
e.g.) complex poles: poles not on the real axis of analytically continued Euclidean propagator

$$D(k_E^2) = \frac{Z}{w + k_E^2} + \frac{Z^*}{w^* + k_E^2} + \int_0^\infty d\sigma^2 \frac{\rho(\sigma^2)}{\sigma^2 + k_E^2},$$



## Reconstruction from Euclidean field theory to QFT

[Osterwalder and Schrader 1973, 1975]



### Main Questions

In the presence of complex singularities, natural questions on this procedures are,

- ( $\alpha$ ) Is it possible to reconstruct a Wightman function  $W(\xi^0, \vec{\xi})$  on the Minkowski spacetime from the Schwinger function? Which conditions of the Wightman/OS axioms are preserved/violated?
- (eta) Does there exist a quantum theory reproducing the reconstructed Wightman function  $W(\xi^0, \vec{\xi})$  as a vacuum expectation value:  $W(\xi) = \langle 0|\phi(\xi)\phi(0)|0\rangle$ ? If it exists, what states cause complex singularities?

We will answer these questions affirmatively.

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## General properties of complex singularities

Wightman function  $W(t, \vec{x})$  is reconstructed from Schwinger function  $S(\tau, \vec{x})$  by identifying  $S(\tau, \vec{x}) = W(-i\tau, \vec{x})$  ( $\tau > 0$ ). In the presence of complex singularities (bounded in  $k_E^2$ -plane), we rigorously prove:

#### List of properties

- Holomorphy of  $W(\xi i\eta)$  in the tube  $\mathbb{R}^4 iV_+$  [ $V_+$ : forward lightcone]
- Existence of the boundary value  $W(t, \vec{x}) = \lim_{\tau \to +0} W(t i\tau, \vec{x})$  as a distribution.
- $W(t, \vec{x})$  satisfies Lorentz symmetry and locality (i.e. spacelike commutativity).
- Non-temperedness of the boundary value  $W(t, \vec{x})$
- Violation of the positivity of  $W(t, \vec{x})$ .

# Sketches of proofs: (0)holomorphy

Reconstruction: 
$$S(\tau, \vec{x}) \to W(\xi = (t, \vec{x}))$$

$$S(\tau, \vec{x}) \stackrel{\tau > 0}{=} W(-i\tau, \vec{x}) \to W(\xi - i\eta) \text{ for } \xi \in \mathbb{R}^4, \ \eta \in V_+$$

$$\to W(\xi) = \lim_{\eta \to 0, \ \eta \in V_+} W(\xi - i\eta)$$

e.g.) Complex poles  $(E_{\vec{p}}:=\sqrt{\vec{p}^2+M^2} \text{ with } \operatorname{Re} E_{\vec{p}}>0)$ 

$$\begin{split} &D(k_E^2) = \frac{Z}{k_E^2 + M^2} + \frac{Z^*}{k_E^2 + (M^*)^2} \\ &\to S(\tau, \vec{x}) = \int \frac{d^3 \vec{p}}{(2\pi)^3} e^{i\vec{p}\cdot\vec{x}} \left[ \frac{Ze^{-E_{\vec{p}}\tau}}{2E_{\vec{p}}} + \frac{Ze^{-E_{\vec{p}}^*\tau}}{2E_{\vec{p}}^*} \right] \\ &\to W(\xi - i\eta) = \int \frac{d^3 \vec{p}}{(2\pi)^3} e^{i\vec{p}\cdot(\vec{\xi} - i\vec{\eta})} \left[ \frac{Ze^{-iE_{\vec{p}}(\xi^0 - i\eta^0)}}{2E_{\vec{p}}} + \frac{Z^*e^{-iE_{\vec{p}}^*(\xi^0 - i\eta^0)}}{2E_{\vec{p}}^*} \right] \end{split}$$

converges (and is holomorphic in  $\xi - i\eta$ ) for  $\eta^0 > |\vec{\eta}|$ , i.e.,  $\eta \in V_+$ .

# Sketches of proofs:

# (1) boundary value and non-temperedness

Reconstruction: 
$$S(\tau, \vec{x}) \to W(\xi = (t, \vec{x}))$$

$$S(\tau, \vec{x}) \stackrel{\tau \geq 0}{=} W(-i\tau, \vec{x}) \to W(\xi - i\eta) \text{ in } \mathbb{R}^4 - iV_+$$

$$\to W(\xi) = \lim_{\eta \to 0, \ \eta \in V_+} W(\xi - i\eta)$$

e.g.) Gribov-type propagator (cont'd)

$$W(\xi - i\eta) = \int \frac{d^3\vec{p}}{(2\pi)^3} e^{i\vec{p}\cdot(\vec{\xi} - i\vec{\eta})} \left[ \frac{Ze^{-iE_{\vec{p}}(\xi^0 - i\eta^0)}}{2E_{\vec{p}}} + \frac{Z^*e^{-iE_{\vec{p}}^*(\xi^0 - i\eta^0)}}{2E_{\vec{p}}^*} \right]$$

- If smeared by a smooth compactly-supported function of  $\xi$ ,  $W(\xi i\eta)$  has a limit  $\eta \to 0$  ( $\eta \in V_+$ ):  $\exists W(\xi) = \lim_{\eta \to 0, \ \eta \in V_+} W(\xi i\eta) \text{ as a distribution.}$
- Since  $E_{\vec{p}}$  is complex,  $W(\xi)$  grows exponentially for  $\xi^0 \to \pm \infty$ .  $W(\xi)$  is **not tempered**.

# Sketches of proofs: (2) violation of positivity

The positivity is violated due to the non-temperedness.

For this, we show

positivity 
$$\Longrightarrow$$
 temperedness

#### Rough idea:

- Positivity of 2pt.-function  $\to$  the sector  $\{\phi(x)|0\rangle\}_{x\in\mathbb{R}^4}$  has a positive metric.
- translational invariance  $\rightarrow$  translation operator U(a):  $U(a)\phi(x)|0\rangle = \phi(x+a)|0\rangle$  is unitary.

Therefore, the Wightman function  $W(a) = \langle 0|\phi(0)U(-a)\phi(0)|0\rangle$  will be bounded above  $\Rightarrow$  tempered.



# Sketches of proofs: (3) Lorentz symmetry

The Schwinger function has the Euclidean rotation SO(4) invariance.

- $\rightarrow W(\xi i\eta)$  is invariant under infinitesimal Euclidean rotations.
- $\rightarrow W(\xi i\eta)$  is invariant under infinitesimal complex Lorentz transformations.
- $\to$   $^1$   $W(\xi-i\eta)$  is invariant under the proper complex Lorentz symmetry  $L_+(\mathbb{C})$  (within its domain of definition), where  $L_+(\mathbb{C}):=\{\Lambda\in\mathbb{C}^{4\times 4}\;;\; \Lambda^TG\Lambda=G, \det\Lambda=1\}$  with the metric  $G=\mathrm{diag}(1,-1,-1,-1)$ .
- $\rightarrow$  The Wightman function  $W(\xi)$  is invariant under the restricted Lorentz transformation<sup>2</sup>.

<sup>&</sup>lt;sup>1</sup>An argument similar to Bargmann-Hall-Wightman theorem is used here.

<sup>&</sup>lt;sup>2</sup>In the case of a scalar field, the invariance under Lorentz boosts can be explicitly checked by a contour deformation.

# Sketches of proofs: (4) locality

The spacelike commutativity  $[W_{ij}(\xi) = (-1)^{\sigma} W_{ji}(-\xi)]^3$  follows from

- permutation symmetry of Schwinger function  $S_{ij}(x-y) = (-1)^{\sigma} S_{ji}(y-x)$ ,
- single-valued continuation  $W_{ij}(\xi i\eta)$  in the 'extended tube'  $L_+(\mathbb{C})[\mathbb{R}^4 iV_+]$  including spacelike points ('Jost points').
- $W_{ij}(z) = (-1)^J W_{ij}(-z)$  from  $-1 \in SO(4) \subset L_+(\mathbb{C})$ .<sup>4</sup>

 $<sup>^{3}(-1)^{\</sup>sigma}$ : statistical factor

 $<sup>^4(-1)^</sup>J$ : the number of dotted indices in the correlator  $^4\mathcal{O} \rightarrow ^4\mathbb{R} \rightarrow ^4\mathbb{R$ 

# Summary: answer to the question $(\alpha)$

Main question  $(\alpha)$ 

Is it possible to reconstruct a Wightman function  $W(\xi^0,\vec{\xi})$  on the Minkowski spacetime from the Schwinger function? Which conditions of the Wightman/OS axioms are preserved/violated?

In this section, we have seen that it is possible to reconstruct the Wightman function as a distribution.

The violated/preserved conditions of the Wightman/OS axioms are summarized in the next slide.

# Summary of Wightman/OS axioms

Minkowski: Wightman axioms for Wightman functions

[W0] Temperedness	violated 🗡
[W1] Poincaré Symmetry	preserved 🗸
[W2] Spectral Condition	violated 🗡
[W3] Spacelike Commutativity	preserved 🗸
[W4] Positivity	violated 🗡
[W5] Cluster property	irrelevant

Euclidean: Osterwalder-Schrader axioms for Schwinger functions

[OS0] Temperedness	assumed <b>√</b>
[OS1] Euclidean Symmetry	assumed 🗸
[OS2] Reflection Positivity	violated 🗡
[OS3] Permutation Symmetry	assumed 🗸
[OS4] Cluster property	irrelevant
[OS0'] Laplace transform condition	violated (but irrelevant)

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# Interpretation in an indefinite metric state space (0)

Main question  $(\beta)$ 

Does there exist a quantum theory reproducing the reconstructed Wightman function  $W(\xi)$  as a vacuum expectation value:  $W(\xi) = \langle 0|\phi(\xi)\phi(0)|0\rangle$ ? If exists, what states cause complex singularities?

In this section, we argue that complex singularities can be realized in indefinite-metric QFTs and correspond to pairs of zero-norm eigenstates of complex energies.

## Interpretation in an indefinite metric state space (1)

An important observation is that complex-energy spectra can appear in an indefinite metric state space.

 Complex conjugate eigenvalues of a hermitian Hamiltonian can be realized by zero-norm pairs:

$$(|E\rangle, |E^*\rangle) \begin{cases} H|E\rangle = E|E\rangle, & H|E^*\rangle = E^*|E^*\rangle \\ \langle E|E\rangle = \langle E^*|E^*\rangle = 0, & \langle E|E^*\rangle \neq 0 \end{cases}$$

This pair contributes to the Wightman function,

$$\langle 0|\phi(t)\phi(0)|0\rangle \supset (\langle E^*|E\rangle)^{-1}e^{-iEt} \langle 0|\phi(0)|E\rangle \langle E^*|\phi(0)|0\rangle$$

$$+ (\langle E|E^*\rangle)^{-1}e^{-iE^*t} \langle 0|\phi(0)|E^*\rangle \langle E|\phi(0)|0\rangle .$$

• By preparing such states for all momentum  $\vec{p}$ , we can reproduce the Wightman function reconstructed from complex poles.

# Example: covariant operator formulation of Lee-Wick model (1)

To show this more concretely, we consider an example, the covariant operator formulation of Lee-Wick model.

The essential ingredients are as follows. [Lee and Wick 1969, Nakanishi 1972]

- Annihilation operators  $\alpha(\vec{p}), \beta(\vec{p})$ :  $[\alpha(\vec{p}), \beta^{\dagger}(\vec{q})] = [\beta(\vec{p}), \alpha^{\dagger}(\vec{q})] = (2\pi)^3 \delta(\vec{p} \vec{q})$ , and other commutators vanish.
- The scalar field operator is

$$\phi(x) = \int \frac{d^3p}{(2\pi)^3} \frac{1}{\sqrt{2E_{\vec{p}}}} \left[ \alpha(\vec{p}) e^{i\vec{p}\cdot\vec{x} - iE_{\vec{p}}t} + \beta^{\dagger}(\vec{p}) e^{-i\vec{p}\cdot\vec{x} + iE_{\vec{p}}t} \right],$$

where  $E_{\vec{p}}:=\sqrt{M^2+ar{p}^2}$  and  $M^2\in\mathbb{C}.$ 

- Vacuum state  $|0\rangle$ :  $\alpha(\vec{p}) |0\rangle = \beta(\vec{p}) |0\rangle = 0$ .
- Hamiltonian

$$H = \int \frac{d^3p}{(2\pi)^3} \left[ E_{\vec{p}} \beta^{\dagger}(\vec{p}) \alpha(\vec{p}) + E_{\vec{p}}^* \alpha^{\dagger}(\vec{p}) \beta(\vec{p}) \right].$$



# Example: covariant operator formulation of Lee-Wick model (2)

The states  $|\vec{p}, \alpha\rangle := \alpha^{\dagger}(\vec{p})|0\rangle$  and  $|\vec{p}, \beta\rangle := \beta^{\dagger}(\vec{p})|0\rangle$  form the pair of complex-energy zero-norm states for every  $\vec{p} \in \mathbb{R}^3$ :

$$H | \vec{p}, \alpha \rangle = E_{\vec{p}}^* | \vec{p}, \alpha \rangle , \quad H | \vec{p}, \beta \rangle = E_{\vec{p}} | \vec{p}, \beta \rangle ,$$
$$\langle \vec{p}, \alpha | \vec{q}, \alpha \rangle = \langle \vec{p}, \beta | \vec{q}, \beta \rangle = 0, \quad \langle \vec{p}, \alpha | \vec{q}, \beta \rangle = (2\pi)^3 \delta(\vec{p} - \vec{q}).$$

From these pairs, the Wightman functions are

$$\langle 0|\phi(x)\phi(0)|0\rangle = \int \frac{d^3p}{(2\pi)^3} \frac{1}{2E_{\vec{p}}} e^{i\vec{p}\cdot\vec{x} - iE_{\vec{p}}t},$$

$$\langle 0|\phi(x)\phi^{\dagger}(0)|0\rangle = \langle 0|\phi^{\dagger}(x)\phi(0)|0\rangle = 0,$$

$$\langle 0|\phi^{\dagger}(x)\phi^{\dagger}(0)|0\rangle = \int \frac{d^3p}{(2\pi)^3} \frac{1}{2E_{\vec{p}}^*} e^{i\vec{p}\cdot\vec{x} - iE_{\vec{p}}^*t},$$

which are indeed Wightman functions reconstructed from complex poles  $\frac{1}{k^2+M^2}$  and  $\frac{1}{k^2+(M^*)^2}$ .

### Remarks on the interpretation

Thus, complex singularities can be realized in an indefinite-metric QFT and understood as pairs of zero-norm states.

- Complex-energy states violate the spectral condition and make asymptotic states ill-defined.
- Complex singularity of the gluon propagator suggests

$$A_{\mu}^{A}(0)|0\rangle = |E\rangle + |E^{*}\rangle + \cdots$$

- Such zero-norm states should be **confined**. In the Kugo-Ojima scenario,  $|E\rangle$  and  $|E^*\rangle$  should be in BRST quartets.
- Both states  $|E\rangle$  and  $|E^*\rangle$  should contain BRST-parent states  $\rightarrow$  complex singularities in ghost-gluon bound states?

## Summary

Many studies suggest that the Landau-gauge gluon propagator has complex singularities. Therefore, we have considered the reconstruction procedure in the presence of complex singularities

- The 2pt. Wightman function can be reconstructed as a distribution.
- Complex singularities lead to non-temperedness of the Wightman function ⇒ violation of the positivity and spectral condition
- Complex singularities are consistent with Lorentz symmetry and locality.
- Complex singularities in a propagator can be realized in an indefinite-metric QFT and understood as pairs of zero-norm confined states.