

Exploring the existence of inhomogeneous phases in the $2 + 1$ -dimensional Gross-Neveu model in the limit of infinitely many flavors (part 2 – lattice field theory)

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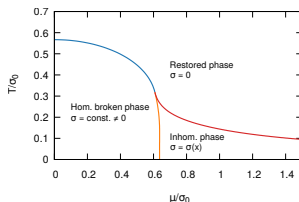
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Introduction

- **Long-term goal** (for many researchers in our field): Compute the phase diagram of QCD.
 - Extremely difficult ...
 - ... e.g. “sign problem” in lattice QCD for chemical potential $\mu \neq 0$.
- **QCD-inspired models in the $N_f \rightarrow \infty$ limit:**
 - QCD-inspired = symmetries similar as in QCD, e.g. chiral symmetry
 - $N_f \rightarrow \infty$ limit = infinite number of flavors
- **Inhomogeneous phases at large μ and small temperature T .**
 - inhomogeneous phase = phase with a spatially non-constant order parameter
- **Analytical results for the Gross-Neveu (GN) model in 1+1 dimensions.**
[O. Schnetz, M. Thies and K. Urlichs, *Annals Phys.* **314**, 425 (2004) [hep-th/0402014]]
- **This talk: lattice field theory investigation of the GN model in 2+1 dimensions.**
 - Do inhomogeneous phases exist in 2+1 dimensions?
 - Phase diagram?
 - Shape of the inhomogeneous condensate?



Outline

- 1 GN model in 2+1 dimensions, $N_f \rightarrow \infty$
- 2 Fermion discretization
- 3 Scale setting
- 4 Numerical results

GN model in 2+1 dimensions, $N_f \rightarrow \infty$

- Action:

$$S = \int d^3x \left(\sum_{f=1}^{N_f} \bar{\psi}_f (\gamma_\nu \partial_\nu + \gamma_0 \mu) \psi_f - \frac{g^2}{2} \left(\sum_{f=1}^{N_f} \bar{\psi}_f \psi_f \right)^2 \right).$$

- After introducing a scalar field σ and performing the integration over fermionic fields

$$S_{\text{eff}} = N_f \left(\frac{1}{2\lambda} \int d^3x \sigma^2 - \ln \left(\underbrace{\det(\gamma_\nu \partial_\nu + \gamma_0 \mu + \sigma)}_{=Q} \right) \right)$$

$$Z = \int D\sigma e^{-S_{\text{eff}}},$$

where $\lambda = N_f g^2$.

- One can show

$$\langle \sigma \rangle = \frac{\lambda}{N_f} \left\langle \sum_{f=1}^{N_f} \bar{\psi}_f \psi_f \right\rangle.$$

- $N_f \rightarrow \infty$:

- Only global minimum of S_{eff}/N important in $\int D\sigma e^{-S_{\text{eff}}}$.
- Assume t independence of this field configuration, i.e. $\sigma = \sigma(x, y)$.

Fermion discretization (1)

- For numerical treatment the degrees of freedom have to be reduced to a finite number.
→ Finite volume and discretization needed.
 - For example lattice field theory.
 - [P. de Forcrand, U. Wenger, PoS **LAT2006**, 152 (2006) [arXiv:hep-lat/0610117]]
 - [M. Winstel, J. Stoll, M. Wagner, arXiv:1909.00064]
 - [R. Narayanan, Phys. Rev. D **101**, 096001 (2020) [arXiv:2001.09200]]
 - There are other possibilities to discretize, e.g. finite mode discretization, discretization by piecewise polynomial functions, etc.
 - [M. Wagner, Phys. Rev. D **76**, 076002 (2007) [arXiv:0704.3023]]
 - [A. Heinz, F. Giacosa, M. Wagner, D. H. Rischke, Phys. Rev. D **93**, 014007 (2016) [arXiv:1508.06057]]

Fermion discretization (2)

- Plane wave expansion in t direction.
 - (+) Easy analytical simplifications possible, because $\sigma = \sigma(x, y)$, i.e. $\det(Q)$ factorizes.
- Lattice discretization with so-called naive fermions in x, y directions.
 - (+) Fermion doubling not a problem in the large- N limit (“ $2 \times \infty = \infty$ ”).
 - (+) Chiral symmetry intact (explicitly broken in other discretizations, e.g. Wilson).
 - (+) Rather cheap to evaluate (in contrast to other discretizations, e.g. overlap).

$$\begin{aligned} S_{\text{free}} &= \int d^3x \bar{\psi}(x_0, \mathbf{x}) \left(\gamma_0(\partial_0 + \mu) + \gamma_1\partial_1 + \gamma_2\partial_2 \right) \psi(x_0, \mathbf{x}) \rightarrow \\ &\rightarrow \sum_{n_0=-N_0+1}^{N_0} \sum_{\mathbf{x}} \bar{\chi}(n_0, \mathbf{x}) \left(\gamma_0(i\omega_{n_0} + \mu) \chi(n_0, \mathbf{x}) \right. \\ &\quad \left. + \sum_{\nu=1,2} \gamma_{\nu} \frac{\chi(n_0, \mathbf{x} + \mathbf{e}_{\nu}) - \chi(n_0, \mathbf{x} - \mathbf{e}_{\nu})}{2} \right) \end{aligned}$$

- Due to fermion doubling χ represents four fermion flavors and, thus, cannot be interpreted as a standard fermion field ψ . The relation between the components of χ and of ψ is non-trivial.

[Y. Cohen, S. Elitzur, E. Rabinovici, Nucl. Phys. B **220**, 102-118 (1983)]

[J. Lenz, L. Pannullo, M. Wagner, B. Wellegehausen, A. Wipf, Phys. Rev. D **101**, 094512 (2020) [arXiv:2004.00295]]

Fermion discretization (3)

- Discretized GN action:

$$S_\sigma = \int d^3x \left(\bar{\psi}(x_0, \mathbf{x}) \left(\gamma_\nu \partial_\nu + \gamma_0 \mu + \sigma(\mathbf{x}) \right) \psi(x_0, \mathbf{x}) + \frac{N_f}{2\lambda} \sigma^2(\mathbf{x}) \right) \rightarrow$$
$$\rightarrow \sum_{f=1}^{N_f/4} \left(S_{\text{free}} + \sum_{n_0=-N_0+1}^{N_0} \sum_{\mathbf{x}, \mathbf{y}} \bar{\chi}_f(n_0, \mathbf{x}) W_2(\mathbf{x} - \mathbf{y}) \sigma(\mathbf{y}) \chi_f(n_0, \mathbf{x}) \right) + \frac{N_f N_0}{\lambda} \sum_{\mathbf{x}} \sigma^2(\mathbf{x})$$

where W_2 is the Fourier transform of a function \tilde{W}_2 with

- $\tilde{W}_2(\mathbf{k}) \rightarrow 1$ for $\mathbf{k} \approx (0, 0)$,
 - $\tilde{W}_2(\mathbf{k}) \rightarrow 0$ for $\mathbf{k} \approx (\pi, 0)$, $\mathbf{k} \approx (0, \pi)$, $\mathbf{k} \approx (\pi, \pi)$.
- We study two different discretizations, which have both the correct continuum limit:
 - Cosinus function in momentum space:

$$W_2'(\mathbf{x} - \mathbf{y}) = \prod_{\nu=1,2} \left(\frac{1}{4} \delta_{x_\nu-1, y_\nu} + \frac{1}{2} \delta_{x_\nu, y_\nu} + \frac{1}{4} \delta_{x_\nu+1, y_\nu} \right).$$

- Step function in momentum space:

$$W_2''(\mathbf{x} - \mathbf{y}) = \prod_{\nu=1,2} \left(\frac{1}{N_s} \left(1 + \sum_{n=1}^{N_s/4-1} 2 \cos \left(\frac{2\pi n(x_\nu - y_\nu)}{L} \right) + \cos \left(\frac{\pi}{2} (x_\nu - y_\nu) \right) \right) \right).$$

Scale setting

- At $\mu = 0$ and for $1/T \rightarrow \infty$ the condensate tends to a constant, $\sigma \rightarrow \sigma_0$.
- Set the scale via σ_0 , i.e. express all dimensionful quantities in units of σ_0 , e.g. μ/σ_0 , T/σ_0 , $a\sigma_0$, ...
- The value of the lattice spacing $a\sigma_0$ depends on the coupling constant λ , i.e. can be changed by adjusting λ

Numerical results, 2+1-dimensional GN model (1)

● Phase diagram with restriction to homogeneous condensate σ :

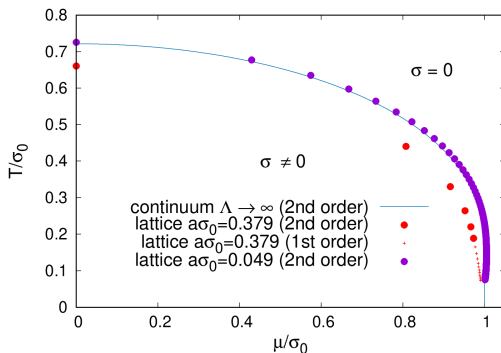
● A Test of our method and implementation:

- **Blue line:** K. G. Klimenko / K. Urlichs / continuum approach (part 1 of the talk by L. Kurth).

[K. G. Klimenko, Z. Phys. C 37, 457 (1988)]

[K. Urlichs, PhD thesis, University of Erlangen-Nuremberg (2007)]

- **Red points:** coarse lattice spacing.
- **Purple points:** fine lattice spacing.



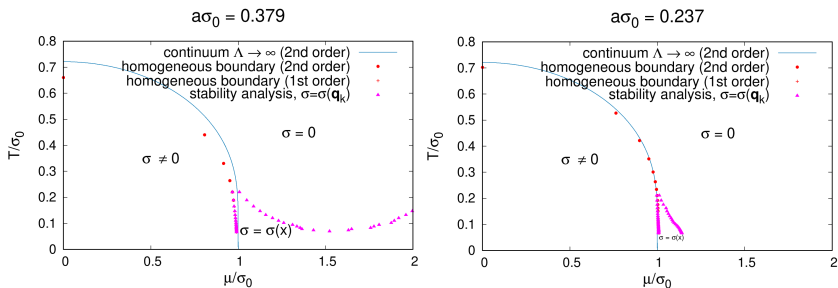
Numerical results, 2+1-dimensional GN model (2)

● Stability analysis:

- Starting point: homogeneous Minimum of S_{eff} .
- Are there inhomogeneous perturbations, which decrease S_{eff} ?
 - Lattice discretization W_2' :
No, "phase diagram" identical to the "homogeneous phase diagram".
 - Lattice discretization W_2'' :
Yes, but the region of instability strongly depends on the lattice spacing a and seems to vanish in the continuum limit $a \rightarrow 0$.

[M. Winstel, J. Stoll, M. Wagner, arXiv:1909.00064]

[R. Narayanan, Phys. Rev. D **101**, 096001 (2020) [arXiv:2001.09200]]

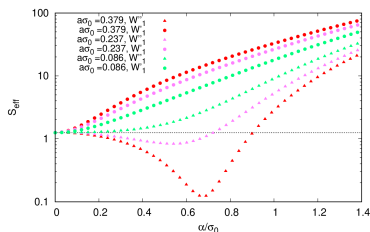


Numerical results, 2+1-dimensional GN model (3)

- **Comparison of S_{eff} for the discretizations W_2' and W_2'' :**
 - $(\mu/\sigma_0, T/\sigma_0) = (1.035, 0.110)$, i.e. inside the region of instability for W_2'' .
 - Ansatz for the condensate:

$$\sigma(\mathbf{x}) = \sigma(x_1) = \alpha \cos\left(\frac{2\pi x_1}{\tilde{\lambda}}\right).$$

- The plot shows S_{eff} as a function of α for W_2' (circles) and W_2'' (triangles):
 - **Red points:** coarse lattice spacing.
 - **Pink points:** intermediate lattice spacing.
 - **Green points:** fine lattice spacing.
- Significantly different for coarse lattice spacing.
- Seems to converge in the the continuum limit $a \rightarrow 0$.



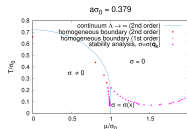
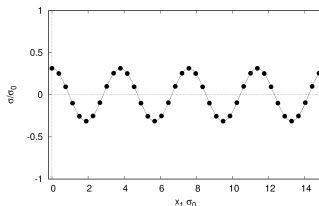
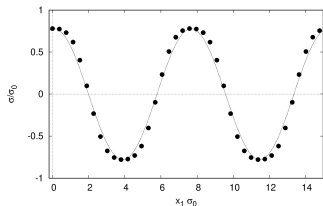
Numerical results, 2+1-dimensional GN model (4)

- Minimization of S_{eff} (at the moment restriction to $\sigma(x) = \sigma(x_1)$):

- Discretization W_2'' inside the region of instability:

- Left plot: $(\mu/\sigma_0, T/\sigma_0) = (0.98, 0.132)$, i.e. smaller μ .
→ Larger wavelength and amplitude, kink-antikink shape.
- Right plot: $(\mu/\sigma_0, T/\sigma_0) = (1.11, 0.132)$, i.e. larger μ .
→ Smaller wavelength and amplitude, cosine shape.
- Qualitative behavior as in the 1 + 1-dimensional GN model.

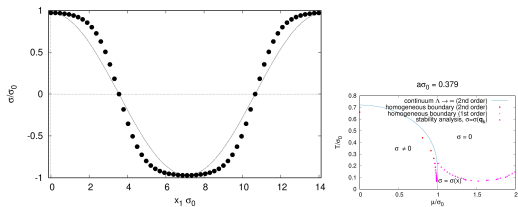
[O. Schnetz, M. Thies and K. Urlichs, *Annals Phys.* **314**, 425 (2004) [hep-th/0402014]]



Numerical results, 2+1-dimensional GN model (5)

- **Minimization of S_{eff} (at the moment restriction to $\sigma(\mathbf{x}) = \sigma(x_1)$):**
 - **Discretization W_2' and W_2'' inside the homogeneously broken region:**
 - $(\mu/\sigma_0, T/\sigma_0) = (0.60, 0.176)$.
 - Global minimum $\sigma = \text{const}$.
 - There are inhomogeneous local minima (example shown in plot).
 - For decreasing T the values of S_{eff} at the global and the local minima approach each other.
 - Consistent with a previous study at $T = 0$ and $\sigma(\mathbf{x}) = \sigma(x_1)$ restricted to an ansatz based on Jacobi elliptic functions.

[K. Urlichs, PhD thesis, University of Erlangen-Nuremberg (2007)]



Numerical results, 2+1-dimensional GN model (6)

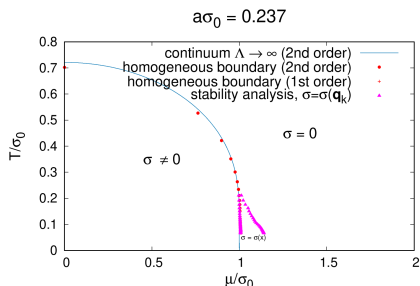
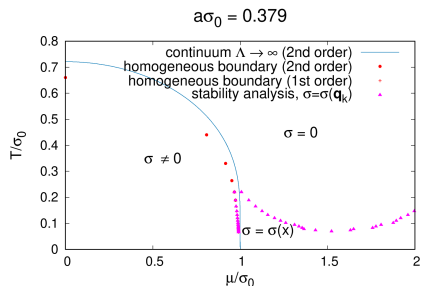
- **Minimization of S_{eff} (at the moment restriction to $\sigma(\mathbf{x}) = \sigma(x_1)$):**

- **Discretization W_2' and W_2'' inside the symmetric region:**

- Global minimum $\sigma = 0$.

- **Summary:**

- No inhomogeneous phase for discretization W_2' .
- Inhomogeneous phase for discretization W_2'' at finite a , which coincides with the instability region.
- In the continuum limit only a symmetric and a homogeneously broken phase, but no inhomogeneous phase.



Next steps

- Are there inhomogeneous phases with 2-dimensional modulations?
(Minimization of S_{eff} at the moment restricted to $\sigma(\mathbf{x}) = \sigma(x_1)$.)
- Extend studies to 3+1 dimensions.
- Study the phase diagram of more realistic QCD-inspired models (Nambu-Jona-Lasinio (NJL), quark-meson model, ...) with particular focus on inhomogeneous phases.
→ Phase structure not only with respect to μ and T but also isospin and strangeness chemical potential μ_I, μ_S ...?